

Spatial structure and nutrients promote invasion of IncP-1 plasmids in bacterial populations

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10 **Running Title: Spatial structure and plasmid invasion**

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Abstract

In spite of the importance of plasmids in bacterial adaptation we have a poor understanding
15 of their dynamics. It is not known if or how plasmids persist in and spread through (invade) a
bacterial population when there is no selection for plasmid-encoded traits. Moreover, the
differences in dynamics between spatially structured and mixed populations are poorly
understood. Through a joint experimental/theoretical approach we tested the hypothesis that
self-transmissible IncP-1 plasmids can invade a bacterial population in the absence of
20 selection when initially very rare, but only in spatially structured habitats and when nutrients
are regularly replenished. Using protocols that differed in degree of spatial structure and
nutrient levels, the invasiveness of plasmid pB10 in *E. coli* was monitored during at least 15
days, with an initial fraction of plasmid-bearing (p^+) cells as low as 10^{-7} . To further explore
the mechanisms underlying plasmid dynamics we developed a spatially explicit mathematical
25 model. When cells were grown on filters and transferred to fresh medium daily, the p^+
fraction increased to 13%, while almost complete invasion occurred when the population
structure was disturbed daily. The plasmid was unable to invade in liquid. When carbon
source levels were lower or not replenished, plasmid invasion was hampered. Simulations of
the mathematical model closely matched the experimental results, and produced estimates of
30 the effects of alternative experimental parameters. This allowed us to isolate the likely
mechanisms most responsible for the observations. In conclusion, spatial structure and
nutrient availability can be key determinants in the invasiveness of plasmids.

**Keywords: horizontal gene transfer / invasion / mathematical model / plasmid / spatial
35 structure /**

Introduction

Plasmids play an important role in the evolution and rapid adaptation of Bacteria and Archaea by spreading multi-drug resistance and many other traits among distantly related
40 hosts (Mazodier and Davies, 1991; Dröge *et al.*, 1999; van Elsas and Bailey, 2002; Smets and Barkay, 2005; Sørensen *et al.*, 2005; Thomas and Nielsen, 2005). In spite of their importance in bacterial adaptation, we currently have a poor understanding of the population dynamics of plasmids in the absence of selection for the traits they encode. The success of plasmids as gene shuttles depends on their ability to transfer to and maintain themselves in a variety of
45 hosts. It is easy to understand maintenance of plasmids in populations that are experiencing positive selection for plasmid-encoded traits (hereafter simply referred to as ‘selection’). While it is much less obvious if and how these plasmids persist in a bacterial community that has no apparent selection for the plasmid, plasmid persistence is known to depend on several factors: (1) the ability to replicate in multiple hosts; (2) missegregation of plasmid copies
50 during cell division (segregational loss) and post-segregational killing potential; (3) the relative growth rates of plasmid-bearing hosts and their plasmid-free counterparts, a function of the cost of the plasmid to its host, and (4) the conjugative transfer rate of the plasmid (Stewart and Levin, 1977; Levin *et al.*, 1979; Proctor, 1994; Bergstrom *et al.*, 2000). Therefore persistence of a self-transmissible plasmid requires successful horizontal transfer
55 and, perhaps, occasional selection to counteract the effects of segregational loss and (periods of) plasmid cost.

A key component in the long-term survival of populations is the ability to “invade when rare.” Changing environmental or biotic factors can eventually drive a population to extinction or low density in a given region. Survival on a larger scale depends on the ability
60 to either remain viable long enough for favourable conditions to return, or to disperse and colonize new territory starting from low densities (MacArthur and Wilson, 1967). Similarly,

for a plasmid to increase its frequency in a bacterial population or community in the absence of selection, it should be able to invade plasmid-free cells at a high rate, much like a parasite. In this study we define this phenomenon as plasmid invasion, i.e., the ability of a plasmid to significantly increase its proportion in the population (hereafter referred to as ‘plasmid frequency’) when it is initially rare. It has been postulated that plasmid invasion is unlikely to occur in the absence of selection (Levin and Lenski, 1983). This conclusion was based on estimates of parameters of models developed by Stewart and Levin ca. 30 years ago (Stewart and Levin, 1977; Levin *et al.*, 1979), and plasmid transfer rates experimentally observed in liquid cultures with F and F-like plasmids. Few studies have examined plasmid invasion, and only one demonstrated plasmid invasion when the plasmid-bearing fraction of the population was initially rare. Lundquist and Levin (1986) found in their chemostat cultures that two naturally occurring plasmids were able to invade a population from an initial frequency of 10^{-4} to almost 1. Although these results were intriguing and suggest that some drug resistance plasmids might be able to spread and persist within pathogen populations in the absence of any antibiotics, for the next 20 years, no additional studies have examined the conditions under which plasmids can invade a population by infectious transfer when initially rare. Moreover, this earlier invasion work was done only in completely mixed liquid systems. There is a great lack of information on plasmid dynamics in spatially structured bacterial populations, i.e., bacteria that grow in aggregates or on surfaces as microcolonies and biofilms. Here and throughout the paper, spatial structure refers to all surface-associated dynamics and the resultant spatial heterogeneities in the population (but not the environment). Given that the majority of bacterial populations are spatially structured, (Costerton *et al.*, 1994), we need to take into account the architecture of microbial communities when studying the kinetics of gene flow and plasmid invasion.

Plasmids of the incompatibility group P-1 (IncP-1 plasmids) transfer very efficiently

to a wide range of hosts, and are abundant in several environments (Thomas and Smith, 1987; Top *et al.*, 2000; Smalla *et al.*, 2006, Schlueter *et al.*, 2007). They are known to transfer at much higher rates on solid substrates than in mixed liquids. It has been postulated that mating aggregates, formed and held together in part by the short rigid pili of the IncP-1 plasmids, are too fragile for efficient conjugative transfer in stirred or shaken liquid cultures (Bradley *et al.*, 1980). Recently, Bahl *et al.* (2007a, 2007b) used a wild-type and conjugation-deficient mutant IncP-1 plasmid to show that the conjugation rate of an IncP-1 plasmid in bacteria growing on surfaces (filter on agar and the rat intestine) can be high enough to permit long-term persistence and even a ten-fold increase in plasmid frequency (from 0.1 to 1) in the absence of selection. This confirmed a previous report that conjugative plasmid transfer can play a key role in plasmid stability (Sia *et al.*, 1995), but the ability of the plasmid to invade a population from an initial frequency lower than 0.1 was not examined.

The dynamics of spatially structured bacterial populations can be very different from those grown in mixed liquids, and cannot be simulated by the ordinary differential equation models that assume complete mixing (Dieckman *et al.*, 2000). Conjugative plasmid transfer is no exception to this, as it requires cell contact and is therefore determined by the spatial configuration of a population or community. The failure of ordinary differential equation models to capture experimentally observed dynamics of plasmid transfer has already been demonstrated in several studies (Simonsen, 1990; Licht *et al.*, 1999; Pinedo and Smets, 2005; Krone *et al.*, 2007). Moreover, plasmids such as those of the IncP-1 group transfer at higher rates on solid substrates than in liquids. For these various reasons, the conclusions about plasmid invasiveness based on mass-action differential equation models and chemostat cultures with F-like and other plasmids as model systems, cannot be simply extended to IncP-1 plasmids in spatially structured populations.

Among the empirical results concerning plasmid spread in spatially structured

populations, some of the most intriguing ones have shown limitations to plasmid invasion. For example, the TOL plasmid pWWO transferred into cells at the edge of a colony of plasmid-free cells, but would not invade further into the colony in the absence of selection (Christensen *et al.*, 1996; Häagenen *et al.*, 2002). A similar observation was made for 115 bacteria grown in biofilm flow cells, where the deeper layers of the biofilm were not invaded by the plasmid (Christensen *et al.*, 1998). Hypotheses have been raised about possible plasmid transfer inhibition mechanisms, or the lack of nutrient availability in those deeper cell layers. Nutrients have been shown to positively affect plasmid transfer efficiency in some 120 cases, but not in others (Hausner and Wuertz, 1999; van Elsas and Bailey, 2002), and therefore it remains unclear why a plasmid does not completely invade a colony or biofilm, and if specific conditions may be conducive to plasmid invasion (Licht *et al.*, 1999; Molin and Tolker-Nielsen, 2003).

The goal of this study was to use a joint experimental/theoretical approach to try to 125 understand the factors that promote plasmid invasion in a bacterial population when the initial plasmid frequency is extremely low (as low as 10^{-7}). Specifically, we hypothesized that self-transmissible IncP-1 plasmids can invade a population of plasmid-free hosts in the absence of selection when initially very rare, but only in spatially structured habitats and when nutrients are regularly replenished. The model plasmid was the multi-drug resistance 130 plasmid pB10, a 64.5 kb IncP-1 β plasmid that was isolated from a wastewater treatment plant in Germany (Dröge *et al.*, 2000; Schlüter *et al.*, 2003). Both experimental and simulation results showed that, under certain conditions of spatial cell organization and nutrient availability, plasmid pB10 was able to invade *E. coli* hosts and almost completely infect the population, increasing its frequency from 10^{-7} up to almost 1.

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Materials and methods

Media and culture conditions

Luria broth medium (LB), LB agar medium (LBA), and mineral salts medium M9 were prepared according to Sambrook and Russel (2001) except that M9 was supplemented
140 with 5 mg/l of trace elements (Stanier *et al.*, 1966). All plasmid transfer, invasion, stability and fitness experiments were done at 37°C using M9 medium with 2 g/l glucose and 15 g/l agar unless otherwise stated. Antibiotics were used at the following concentrations unless otherwise mentioned: 50 mg/l rifampicin (Rif), 30 mg/l nalidixic acid (Nal) and 10 mg/l tetracycline (Tc). Antibiotic concentrations used in the media are abbreviated according to
145 the following pattern: LBRif50 stands for LB medium with rifampicin (50 mg/l).

Strain construction

The bacterial strain used in this study was *Escherichia coli* K12 MG1655, briefly called K12 from here on. To enable selective plating, rifampicin resistant (Rif^R) mutants and nalidixic acid resistant (Nal^R) mutants were obtained as previously described (De Gelder *et al.*, 2007).
150 The Rif^R mutant (K12Rif) was typically used as plasmid donor (initial plasmid-bearing cells), and the Nal^R mutant (K12Nal) as recipient (initial plasmid-free cells). To examine the possibility of bias in this choice of donor and recipient, a few experiments were performed with K12Nal serving as the donor and K12Rif as recipient. Recipient cells that received the plasmid are referred to as transconjugants.

155 The plasmid used in this study is the IncP-1 β plasmid pB10 (Dröge *et al.*, 2000; Schlüter *et al.*, 2003), which confers resistance to the antibiotics tetracycline, streptomycin, amoxicillin, and sulfonamides, and to HgCl₂. This plasmid was transferred into K12Rif by conjugation on LB agar using *E. coli* DH5 α (pB10) as the donor (Lejeune *et al.*, 1983),

followed by selection for K12Rif (pB10) on LB agar with Rif (50 mg/l) and tetracycline (Tc)
160 (10 mg/l).

Strains *E. coli* K12Nal::gfp and *E. coli* K12Rif(pB10::rfp) were constructed by
insertion of a mini-transposon containing a kanamycin (Km) resistance gene and a gene
coding for the green fluorescence protein (*gfp*) or red fluorescence protein (*dsRed*, also called
rfp), using vectors pJBA120 and pSM1833, respectively (Häagenen *et al.*, 2002; De Gelder
165 *et al.*, 2005). The location of the *rfp* cassette insertion in pB10 was determined as previously
described (De Gelder *et al.*, 2005).

Tests of plasmid stability, cost and transferability.

Stability experiments were performed in liquid medium as previously described (De
Gelder *et al.*, 2007). Briefly, after growing precultures with Tc, bacterial cultures were grown
170 in the absence of antibiotics for ca. 100 generations (ca. 10 generations/day). At regular time
points, cultures were plated onto LB and 50 colonies were replicated onto LBTc and LB, and
the fraction of Tc^S, thus plasmid-free cells, was calculated.

Pairwise competition experiments were performed to determine the relative fitness of
different strains and the cost of plasmids pB10 and pB10::rfp. To determine plasmid cost,
175 plasmid-free strains were competed against their plasmid-bearing counterparts (either pB10
or pB10::rfp). Competition experiments were performed as previously described (Bouma &
Lenski, 1988) and the plasmid cost (*c*) was calculated as 1-*W*, with *W* the relative fitness
(Heuer *et al.*, 2007).

To verify that genetic changes in strains or plasmids, due to insertion of fluorescent
180 protein genes or selection for resistance mutations, did not affect plasmid transfer
frequencies, filter matings were performed with all strain derivatives used in the invasion
experiments. Cultures of plasmid-bearing donor cells and plasmid-free recipient cells, grown
overnight with the appropriate antibiotics (e.g., in LBRif50Tc10 for K12Rif(pB10) and in

LBNa130 for K12Na1), were centrifuged, decanted, and resuspended in the same volume. A 5
185 μl droplet of recipient cells was placed in the centre of a 25 mm polycarbonate filter
(Millipore, Isopore Membrane Filter 0.4 μm HTBP), and as soon as the droplet had soaked
into the filter a 5 μl droplet of donor cells was placed directly on the recipient cells and left to
dry. The remaining filters were incubated for two hours at 37°C, and subsequently
transferred to 2 ml of saline. The cells were suspended using a vortex mixer, diluted, and
190 plated on the appropriate antibiotic concentrations to enumerate the number of donor,
recipient, and transconjugant cells. The ‘transfer frequency’ after the two-hour incubation
period was calculated by dividing the density of transconjugant cells by the density of donor
cells.

Plasmid invasion experiments

195 Five “plasmid invasion” protocols were designed to determine the extent of plasmid
spread through a population of plasmid-free bacteria in the absence of antibiotics, when the
initial donor fraction was very low (10^{-7}). The protocols, which are described in detail below,
were performed in triplicate and all were initiated as follows. Cells of donor and recipient
strains were harvested from one ml of overnight LB cultures (grown with the appropriate
200 antibiotics) by centrifuging, decanting the supernatant, resuspending the cells in saline, and
centrifuging and decanting again. The recipient cells were resuspended in 100 μl of saline
and the donors in 1 ml, and the donor cell suspension was further diluted to 10^{-4} . For the
invasion experiments performed on surfaces, a 100 μl aliquot of recipient cells and 1 μl of the
10⁻⁴ donor cell suspension were mixed and immediately spread plated (using a disposable cell
205 spreader) on a 47 mm-diameter polycarbonate filter (Millipore, Isopore Membrane Filter 0.4
 μm HTBP), which was placed on top of M9 glucose agar (ca. 5 ml) in a 60 mm petri dish.
This resulted in ca. 5×10^7 recipient cells per cm^2 and ca. 5 donor cells per cm^2 , and therefore

an initial donor/recipient ratio of 10^{-7} . For the invasion experiment performed in liquid medium, the same procedure was followed to initiate the experiments except that the donor
210 and recipient cell mixture was transferred to 2 ml liquid M9 glucose medium in 15 ml disposable conical tubes (Nalge Nunc International, Rochester, NY). These cultures were incubated on a rotary shaker (200 rpm).

Since filters (or tubes in the case of experiments in liquid medium) had to be sacrificed for enumeration of the donor, recipient, and transconjugant populations at each
215 time point, triplicate filters and tubes for each time point were set up at day zero. The following protocols were used, after initiating the experiments, to test the effects of spatial structure and nutrient availability on plasmid invasion:

Protocol 1 (“undisturbed filter transfer”): Bacteria were grown on filters and the filters were transferred daily to fresh agar medium by using flame-sterilized forceps. This
220 protocol provided the cells with fresh M9 glucose medium on a daily basis without altering the spatial structure of the population.

Protocol 2 (“disturbed filter transfer”): The spatial structure of the bacterial population was disrupted just prior to each daily transfer by removing the bacteria from the filters and suspending them in 2 ml saline through one minute of vortex mixing. The
225 suspension was then concentrated by centrifugation and resuspension of the pellet in 100 μ l saline, and transferred to a new filter on a new agar plate by spread plating. Cells were thus provided fresh medium every day, as in protocol 1, but they were also redistributed over the filter in such a way that their contact with neighbouring cells and proximity to the agar medium or air/colony interface was randomly changed.

230 Protocol 3 (“no filter transfer”): The filter remained on the same agar plate for the entire period of the 15-day experiment. Thus the initial supply of nutrients was not replenished and the spatial structure was maintained throughout the experiment.

Protocol 4 (“liquid medium transfer”): The disposable conical tubes were centrifuged daily, the supernatant was decanted, and the cells were resuspended in 2 ml of fresh medium. Thus cells were provided with fresh M9 glucose medium on a daily basis, just as in protocols 1 and 2, but there was no spatial structure as the cultures were continuously mixed in liquid medium on a rotary shaker at 200 rpm.

Protocol 5 (“undisturbed filter transfer-glucose limitation”): This was similar to protocol 1, but used M9 medium augmented with varying levels of glucose (2g/l, 0.2g/l, 0.02g/l, and 0g/l).

Once the protocols were set up, the donor, recipient, and transconjugant cell populations were enumerated as follows: On day 0 and at various other time points, cells were resuspended in 2 ml saline (as described under protocol 2), and appropriate dilutions (in saline) were plated on LB agar plates containing the appropriate antibiotic concentrations; they typically were LBRif50Tc10, LBNal30, and LBNal30Tc10, respectively. This was done using a Spiral Plater Autoplate 4000 and a Q-Count Colony Counting System (Spiral Biotech, Norwood, MA). In all figures the number of recipient cells represents the numbers of plasmid-free, rather than total recipients, and was calculated as the difference between cell counts on LBNal30 (representing all recipients including transconjugants) and those of LBNal30Tc10 (representing transconjugants). In one experiment (Fig. 1B), after day 5, the numbers of plasmid-free recipients could no longer be determined by subtracting the transconjugant numbers from total recipient numbers since they were indistinguishable within experimental error. Therefore, the fraction of plasmid-free clones within the recipient population was determined via replica plating by transferring colonies for each of the triplicate experiments from LBNal30 onto LBATc10, and calculating the fraction of tetracycline-sensitive clones.

Microscopy

To analyze the spatial patterns of the spread of plasmid pB10 by stereomicroscopy, similar ‘invasion experiments’ were performed as described above, using K12Rif (pB10::rfp) as the donor and K12Nal::gfp as the recipient strain. In this way the donor bacteria were represented by red, the recipients by green, and the transconjugants could be distinguished through the overlap of red and green signal. To be able to detect donor cells, the donor/recipient ratio was 1 000× higher than in the other invasion experiments, i.e., 10^{-4} . At the time of inoculation (day 0), and on day two and three, plates were viewed with a fluorescence stereoscope (LeicaMZ16F, Leica) at 115× magnification and images were taken with a digital camera (MicroPublisher 3.3 RTV, QImaging). Images were captured using the Qcapture Suite (QImaging), and then further processed for display using ImageJ (NIH).

Statistical Analyses

The standard Student *t* test was used to determine the significance of invasion by comparing fractions of plasmid-bearing cells on days 0 and 15, and to determine whether final plasmid-bearing fractions were significantly different between the various plasmid invasion protocols. The *t* test was also used to determine significant differences in plasmid transfer frequencies between marked and unmarked plasmids. In each of these analyses, a significance level of 0.05 was used.

Mathematical model

Before describing the mathematical model used to simulate plasmid dynamics on surfaces, we outline the features of the experimental system we wish to capture. When cells have grown to a significant density on the plate (by the end of the first day), nutrients, which are diffusing from the agar through the filter up into the cell layer (here called a colony), are

limited due to consumption by cells; thus nutrients are plentiful only at the beginning of a passage, before they are depleted, and diminish in concentration as one moves up the colony away from the nutrient source. This differential access of nutrients is a consequence of the 3-dimensional (3D) structure of the colony and leads to a gradient of nutrient concentration, with higher concentration at the bottom than at the top. One of our hypotheses is that nutrient levels influence plasmid spread. To address this while keeping the model simple, we distinguish only between cells that have access to nutrients and cells that do not, instead of modelling the full time-dependent three-dimensional gradient of nutrients. While this is an obvious oversimplification, we claim it is sufficient to capture the main effects of nutrients and spatial structure in the experiments, and to match the empirical observations. That such a simplification of the underlying mechanisms of nutrient diffusion would be enough to accurately simulate the multiple features of the experimental results suggests that these mechanisms play a dominant role in determining those features. Indeed, we show below that the qualitative behaviour of the simulations is quite robust to changes in model parameters. This further suggests, not surprisingly (yet nontrivially), that more complex 3D biofilm models with more detailed modelling of nutrient diffusion should predict the same results.

The mathematical model is built on a 2D (rather than 3D) square lattice of sites, but with certain essential aspects of the 3D structure codified by distinguishing between two “levels” of cells (“top” and “bottom”) and designating a time interval $[0, L]$ just after each transfer of the population to fresh medium during which nutrients are available to all bottom cells. The labels “top” and “bottom” are used to suggest that the cells in the bottom category have access to nutrients diffusing up from the agar, while those in the top category do not. We assign these labels in such a way that cells in the “top” category can only be assigned when all the “bottom” slots are filled. The maximum number of cells at a site of the lattice is assumed to be M . The first m of these ($m \leq M$) to appear are considered “bottom” cells, and

have access to nutrients (when present); the remaining cells (up to $M-m$ of them) appearing at the site are considered “top” cells and cannot access nutrients. Cells that have access to nutrients will be said to be “active,” meaning that they are capable of reproduction and conjugation; cells without access to nutrients will be called “inactive” and cannot take part in reproduction or conjugation.

Our model is from the class of interacting particle systems (IPS) or stochastic cellular automata (CA). Because of the fact that sites on the lattice are updated using only summary statistics that condense the 3D information about the colony, this model can be thought of as a stochastic “coupled map lattice” approximation to an individual-based model. A related IPS model of plasmid population dynamics was developed by us previously (Krone *et al.*, 2007), but that model did not include the aspects of 3D structure that are essential in this study. Simulations of the model were carried out on a $2\,000 \times 2\,000$ lattice with periodic boundary; the commonly used periodic boundary condition, equating the top edge of the lattice to the bottom edge and the left edge to the right edge, eliminates complicating edge effects. Each site of the lattice can contain cells of several types: donors (D), recipients (R), and transconjugants (T). In addition to these types, each cell also carries a designation of top or bottom that does not change in the “undisturbed filter transfer” simulations, but can change in the “disturbed filter transfer” simulations.

A ‘local neighbourhood’ specifies which sites are neighbours for the purposes of determining conjugation events and the locations of daughter cells after cell division. We took the local neighbourhood of a given site x to be the 9 sites on the lattice consisting of the 8 adjacent sites plus the site itself (i.e., all the sites in the 3×3 box centered at x). At each step of a simulation, a “focal site” was randomly chosen and, by comparing the rates of various events, it was determined whether or not a change should be made to the focal site (or one of

330 its neighbours) and, if so, what that change would be. Thus, the focal site can be thought of
as initiating a local change in the current configuration. We synchronized the time scale in
the simulations with actual time in the experiments by setting the doubling time of recipient
cells at low density to 80 minutes. This doubling time was determined experimentally by
monitoring recipient cell growth every hour during the first 8 hours of a typical invasion
335 experiment on M9 glucose (2 g/l) agar (data not shown).

Rates (of reproduction and conjugation) in the model depend on nutrient levels only
indirectly through the parameters m and L that can be thought of roughly as proxies for
nutrient diffusivity and amount of daily nutrient, respectively. We specified constant growth
rates ψ_R , ψ_D , ψ_T for R, D, and T, respectively, and a conjugation rate γ . These rates were
340 assumed to be in effect when the cells are “active,” and otherwise the corresponding rates
were 0. When an active cell at the focal site is chosen to reproduce, the offspring is placed at
the same site as the “parent” with probability $1 - p_g$ and at one of the eight neighbouring sites
with probability p_g . (The latter probability is sometimes called a “coupling parameter” in the
literature on coupled map lattices.) Similarly, $1 - p_c$ was used for the probability that a
345 conjugation event initiated by a plasmid-bearing cell at the focal site occurs with a plasmid-
free cell at the same site. Segregative plasmid loss occurs with probability τ when a daughter
of a plasmid-bearing cell (D or T) fails to receive a plasmid molecule during cell doubling.
Thus, when a transconjugant divides, the daughter cell will be a T with probability $1 - \tau$
(resulting in vertical transmission of the plasmid) and an R with probability τ . Let n_R^w be the
350 number of R’s at the focal site (w for “within”) and n_R^{nbr} the total number of R’s at the eight
neighbouring sites (nbr for “neighbour”), with similar designations for D and T. The number
of active cells in each category is denoted using a subscript “*act*”; for example, $n_{R,act}^{nbr}$
indicates the total number of R’s with access to nutrients at the eight sites neighbouring the

focal site. Furthermore, we write $n_V^w = M - n_R^w - n_D^w - n_T^w$ for the amount of vacant “space” at
 355 the focal site and $n_V^{nbr} = 8M - n_R^{nbr} - n_D^{nbr} - n_T^{nbr}$ the total amount of vacant space at the eight
 neighbouring sites. If we divide the “within” quantities by M , we get the fraction of the focal
 site consisting of that state: $f_{\bullet}^w = n_{\bullet}^w / M$, where the \bullet can represent any of the subscripts.
 Similarly, dividing the “neighbour” quantities by $8M$ yields the corresponding fractions:
 $f_{\bullet}^{nbr} = n_{\bullet}^{nbr} / 8M$.

360 The rate at which the focal site produces a new R is

$$(\psi_R n_{R,act}^w + \tau \psi_T n_{T,act}^w + \tau \psi_D n_{D,act}^w) [(1 - p_g) f_V^w + p_g f_V^{nbr}]$$

while new D’s appear at rate

$$(1 - \tau) \psi_D n_{D,act}^w [(1 - p_g) f_V^w + p_g f_V^{nbr}],$$

and new T’s appear at rate

365
$$(1 - \tau) \psi_T n_{T,act}^w [(1 - p_g) f_V^w + p_g f_V^{nbr}] + \gamma (n_{T,act}^w + n_{D,act}^w) [(1 - p_c) f_{R,act}^w + p_c f_{R,act}^{nbr}].$$

In the last expression, terms involving γ correspond to conjugation events and, in
 addition to an increase in the number of T’s at the site of the recipient selected for
 conjugation, there is the corresponding loss of an R at that site. In a reproduction event,
 when an offspring is placed at a neighbouring site, corresponding to terms involving the
 370 factor $p_g n_V^{nbr}$, the site is chosen at random from among the eight neighbours with each site’s
 probability proportional to the fraction of vacant space at that site. In all cases, the new cell
 will be in the bottom level unless there are already at least m cells at that site. Note that a site
 holding a full complement of M cells cannot accept any offspring. . The per capita rate of
 growth for recipients, $\psi_R \cdot [(1 - p_g) f_V^w + p_g f_V^{nbr}]$, is expressed in a way that depends on the
 375 number of vacant sites (and hence cell density) at the focal and nearby sites. There are
 similar expressions for T and D. Note that, as the number of vacant sites decreases due to an

increase in local population density, the effective growth rate decreases. Thus, the combination of a nutrient clock (L) and density dependent growth accounts for the fact that, as population density increases, a larger proportion of the nutrient goes toward cell
380 maintenance. A overview of all model parameters is given in Table 1.

Finally, to keep the model description simple, we have omitted from the model plasmid-free cells that arise through segregative loss in donor cells. These cells are of a different type than the recipient cells, but have no noticeable effect on the simulations in this study (data not shown) since donor frequencies always remained low.

385 In the simulations of the “undisturbed filter transfer” protocol, we simply reset the nutrient clock to 0 at the start of each passage (so that there are L hours of nutrient accessibility for cells in the active zone), leaving the spatial structure and cell states unchanged. In simulations of the “disturbed filter transfer” protocol, the start of a new passage involved first randomly distributing the cells among the sites with at most M per site,
390 and then randomly determining which cells go in the active zone; any cells remaining after filling the active zone are put in the inactive zone.

Note that, unlike the mass-action models that are based on bulk concentrations and densities that must be specified in terms of units, the individual-based nature of the IPS model means that ‘rates’ simply signify (inverse) times until given events occur. To see how
395 length scales in the IPS model compared to those in experiments, each lattice site should be thought of as the location of a micro-cluster of up to M cells. Thus accounting for a cell size of about one or two μm and intercellular distances also on the order of a μm , and with 2 000 lattice points per side for a typical simulation grid in this paper, we should consider our ‘simulation window’ to be one to several mm per side.

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Results

Effect of spatial structure on plasmid invasion

The effect of spatial structure on the ability of plasmid pB10 to invade a plasmid-free
405 population of bacteria when initially rare was tested under a variety of conditions. We
defined invasion as a significant increase in the fraction of plasmid-bearing cells from day 0
to 15 ($p=0.05$) in the absence of selection for the plasmid. Three plasmid invasion experiment
protocols were compared, ‘undisturbed filter transfer’ (Fig. 1A), ‘disturbed filter transfer’
(Fig. 1B), and ‘liquid medium’ (Fig. 2), each providing daily nutrient replenishment. First,
410 there was a stark contrast between the significant plasmid invasion ($p<0.001$) on agar under
the undisturbed filter transfer protocol (Fig. 1A) and the inability of the plasmid to invade in
a mixed liquid environment (Fig. 2). While the transconjugant (T) densities increased sharply
in these spatially structured populations (Fig. 1A), the D and R densities remained relatively
steady. This strongly suggests that the observed plasmid invasion was caused by horizontal
415 transfer from donor and especially transconjugant cells to the dense lawn of recipients.
Second, comparison of the results of the disturbed and undisturbed filter transfer protocols
(Fig. 1B versus 1A) shows that combinations of 24 h periods of sustained spatial structure
with daily disturbance of that structure was more conducive to plasmid invasion. Under this
protocol the transconjugants became the numerically dominant population, and were 50 times
420 more abundant than plasmid-free recipient cells by day 15 (Fig. 1B). Thus daily nutrient
replenishment, in combination with rearrangement of the spatial structure, resulted in an
almost complete invasion of the *E. coli* population by plasmid pB10.

Parameter estimation in mathematical model

The parameters in the mathematical model (Table 1) were chosen to match multiple
425 features of the experimental data. It is important to note that we required the same parameters

to be used in both the ‘undisturbed filter transfer’ and ‘disturbed filter transfer’ models. In matching the population densities of R, D, and T over time in each experiment, we paid special attention to the initial rates of rapid increase (with R and D depending on cell growth, and T depending on both growth and conjugation) prior to stationary phase, and the
430 stationary phase densities, including the final difference between T and R densities.

The growth rates ψ_R , ψ_D , ψ_T were estimated first, using independent filter experiments, since these rates were independent of the other parameters. For example, to match the doubling time of 80 min = 1.33 h for recipients growing under conditions similar to those of the invasion experiments (data now shown), we set the per capita growth rate
435 $\psi_R = 0.5$ (since $e^{(0.5)(1.33)} \approx 2$) when there were four cells per site. Once these rates were set in the model, we began choosing the parameters L , m , and γ . The procedure involved systematically eliminating parameter combinations that were not consistent with the observed time-course data (Fig 1). The allowable values of m and L were quickly restricted by matching the 8-fold increase in population size that was observed on the first day of the
440 experiments (Fig 1). For each such pair of values, the conjugation rate was varied and simulations were compared to the trajectories of the transconjugant densities (in both disturbed and undisturbed protocols) (cf. Fig. 3). This led to a very narrow range of parameters that were consistent with the empirical data. Additional simulations showed that the results were very insensitive to the value of τ , as well as to our choice of coupling
445 parameters; a large change (say, increasing p_g from 0.5 to 0.9), however, would require an adjustment of the other parameters. An important feature captured by the model is the large difference in the extent of plasmid invasion between undisturbed and disturbed filter transfer experiments. While the model is an abstraction of the full biological system, it appeared to capture the most important mechanisms that determine the rates and extent of plasmid
450 invasion under the different protocols. The final choice of parameters for fitting simulations

to empirical data (Fig 1) was made by minimizing the mean squared error over all time points and experimental conditions; parameter values are summarized in Table 1.

Effect of nutrient concentration on plasmid invasion

To examine if nutrient concentration is critical for successful plasmid invasion in an
455 *E. coli* population, we compared results from the ‘undisturbed filter transfer’ protocol (Fig. 1A), described above, with those of the ‘no filter transfer’ protocol (Fig. 1C). As shown in Fig. 1C, when nutrients were not replenished daily, initial horizontal plasmid transfer occurred, but there was no further increase of transconjugants after day 1. This resulted in a much lower final fraction of plasmid-bearing cells than when nutrients were replenished daily
460 (3.0×10^{-5} versus 1.3×10^{-1}) ($p < 0.001$). A similar behaviour was observed in simulations of the model with no filter transfer (Fig. 1 F) where, once the original nutrients were depleted, all densities remained constant. One feature in the experiments that was not present in the model was the slight decay of cell densities under the ‘no filter transfer’ protocol. This was most likely a result of cell death, which was not included in the model. Our findings strongly
465 suggest that prolonged availability of nutrients is critical for an IncP-1 plasmid to continue to spread through an *E. coli* population in the absence of any known selection for the plasmid.

To further examine the effect of nutrients on the ability of the plasmid to invade an *E. coli* population, ‘undisturbed filter transfer’ experiments were carried out at several concentrations of glucose (2 g/l, 0.2 g/l, 0.02 g/l, and 0 g/l). Whereas there was no significant
470 difference in the final plasmid-bearing cell fractions between the experiments with the two highest glucose concentrations after 15 days, when the concentration was only 0.02 g/l the fraction was significantly lower on day 15 ($p = 0.002$) (Fig. 4). However, even at this low glucose concentration the plasmid-bearing fraction further increased when we continued the experiment, and by day 38 it was significantly higher than initially ($p < 0.001$). When no
475 glucose was added to the medium, transconjugants were formed, but their density stabilized

at a much lower level, and no significant invasion was observed (Fig. 4). Thus plasmid invasion was positively correlated with the amount of carbon source in the medium, with the greatest sensitivity occurring at very low concentrations.

The simulations of the mathematical model also captured the dependence of plasmid invasion on nutrient availability (Fig. 3). This was done by varying the values of L and m . Of course, since these parameters are abstractions meant to mimic aspects of nutrient level and diffusivity, we were not able to set up an exact correspondence between glucose levels and values of L and m . Nevertheless, these simulations further validate the hypothesis that nutrient access and spatial distribution control the rate and extent of plasmid invasion.

485 *Microscopy of plasmid invasion*

To visualize the process of plasmid invasion, an invasion experiment was set up with an initial D/R ratio of 10^{-4} under the undisturbed filter transfer and disturbed filter transfer protocols. The filters were observed microscopically and micrographs were taken at three time points (Fig. 5). After three days of the disturbed filter transfer protocol, the recipient population was completely transformed into transconjugants (Fig. 5B), whereas the recipient population experienced visibly less invasion in the undisturbed filter transfer protocol (Fig. 5A). These visual observations qualitatively confirm the findings presented above, obtained by cell enumeration, that spatial organization mediates the extent of plasmid invasion.

495 *Effects of rifampicin resistance and nalidixic acid resistance mutations on plasmid invasiveness*

To determine the effects of the rifampicin and the nalidixic acid resistance mutations in hosts K12Rif and K12Nal on the growth rate (fitness) of the host and the plasmid transferability, relative fitness values and transfer frequencies were determined. There was no significant difference in the transfer frequency (T/D) between matings with K12Rif as donor

500 and K12Nal as recipient, and matings with K12Nal as the donor and K12Rif as the recipient (respectively 2.36×10^{-1} 2.71×10^{-1}). From results of pairwise competition experiments in liquid medium the relative fitness of K12Rif vs. K12Nal was 80%, indicating that the Rif^R mutation has a large negative effect on the host fitness.

To determine if this growth rate difference between K12Rif and K12Nal in liquid
505 medium had an effect on plasmid invasion, we reversed the strains used in the ‘undisturbed filter transfer’ invasion experiment. Thus K12Nal was now the donor and the K12Rif the recipient strain. A similar drastic increase in transconjugant density was observed over 15 days, resulting in significant invasion ($p < 0.001$) (data not shown). Thus even in the case where the transconjugant cells (K12Rif(pB10)) grew more slowly than the donor cells
510 (K12Nal(pB10)), the transconjugant fraction significantly increased ($p < 0.001$) over time in the presence of nutrients, while the donors did not. Given that the plasmid cost was ca. 5% (see below), these results confirm that the rise in transconjugants, and thus plasmid invasion, can only be explained by continued horizontal transfer of pB10 into the numerically abundant recipient cells.

515 *Effects of rfp gene insertion on plasmid pB10 transfer, stability and cost*

The stability, cost and transferability of pB10 and pB10::rfp were compared to verify if results from the microscopic analysis, performed with pB10::rfp, could be compared with all other invasion experiments conducted with the wild-type plasmid pB10. Our assays did not detect an effect of the insertion of the mini*Tn5-rfp* transposon on these plasmid
520 characteristics (data not shown). Sequence determination showed that the minitransposon was inserted into the *klcB* gene, a part of the *klc/kle* operon. While the operon is known to have a role in plasmid stability, the function of *klcB* is not known (Adamczyk & Jagura-Burdzy, 2003).

525 **Discussion**

Our study has shown that the IncP-1 β broad-host-range multi-drug-resistance plasmid pB10 can invade a plasmid-free population in the absence of antibiotics under certain conditions. Even though pB10 donor cells were introduced at an extremely low density of ca. 5 cfu/cm² in a population of 10⁷-10⁸ plasmid-free recipient cells, invasion occurred and after 530 two weeks the plasmid-bearing cells represented up to 98% of the population or 10⁹ cfu/cm², the carrying capacity of the system. Our results suggest that either i) IncP-1 plasmids can act as genetic parasites due to their high infectious transfer rates, or ii) unknown selection for plasmid pB10 existed in a spatially structured environment. For example Ghigo (2001) showed that IncP-1 and other plasmids promote biofilm formation. However, a similar form 535 of improved adhesion to the filter cannot have been under selection in our experimental system because cells were retained on the filter, i.e., there was no cell washout. Thus, the data strongly suggest that in *E. coli* populations grown on surfaces, plasmid pB10 can act as a genetic parasite due to its high infectious transfer rate.

Microscopic observations of plasmid transfer in bacterial colonies on agar media and 540 in biofilms (Häagensen *et al.*, 2002; Molin & Tolker-Nielsen, 2003) have shown that plasmid transfer occurred only at the interface of plasmid-bearing and plasmid-free cells, and the wave of infectious transfer did not extend very far into the colony or biofilm. Since agar plates have a limited nutrient supply and steep nutrient gradients exist in biofilm flow cells, we hypothesized that nutrient limitation explains the previously observed lack of extensive 545 plasmid invasion in a colony or biofilm. Our results supported this hypothesis, as plasmid invasion was more pronounced with increasing concentrations of glucose (Fig. 4) and when nutrients were replenished daily (Fig. 1A compared to 1C). Thus drug-resistance plasmids may be able, at least temporarily, to spread through a bacterial population in the absence of antibiotics as long as sufficient nutrients are available.

550 So far as we know, the only other study that examined plasmid invasion in a bacterial
population from a very low fraction of plasmid-bearing cells was that by Lundquist & Levin
(1986). However, their study was performed in liquid medium in completely mixed
chemostat reactors, with plasmids other than those of the IncP-1 group. They concluded that
two of the seven plasmids they studied were able to invade because of their very high transfer
555 rate during the period of transitory derepression of transfer, right after a plasmid enters a
recipient cell, and that the invasion was dependent on the high cell density of the recipient
population. IncP-1 plasmids like pB10 are known to transfer very efficiently on surfaces
(Bradley *et al.*, 1980). Thus our data support the authors' conclusion that under some
conditions plasmids can be parasitic thanks to their high conjugative transfer rate. One factor
560 that the authors did not mention in 1986 was the continuous supply of nutrients to the
bacterial populations, a characteristic of chemostats. Moreover, no systematic comparison has
ever been made between plasmid invasiveness in liquids (no spatial structure) and on surfaces
(spatial structure). This is surprising given the known differences in transfer efficiencies
under these two conditions for different plasmid types (Bradley *et al.*, 1980), and the
565 important effects of spatial structure on the fate of plasmids (Simonsen, 1990; Krone *et al.*,
2007).

Our finding that plasmid pB10 can infectiously spread through an *E. coli* population
support results by Bahl *et al.* (2007a; 2007b), who observed that the IncP-1 plasmid pMIB4
was able to spread through a bacterial population on agar and in the rat gastrointestinal tract.
570 On agar, horizontal transfer of plasmid pMIB4, which was initially present in 0.1-0.9 of the
population, resulted in 100% plasmid-bearing cells after three days. By including tests with a
transfer-deficient plasmid variant they also showed that horizontal transfer, and not
differential fitness, caused of this increase in the fraction of plasmid-bearing cells on agar and
counteracted plasmid loss in the rat intestine. While we did not use a transfer-deficient

575 mutant of pB10 in our study, the observed drastic increase (a factor 10^6 - 10^7) in the fraction of transconjugants in both the *E coli* K12Nal and K12Rif populations can only be explained by infectious plasmid spread. Our work also differed from theirs in two important ways. The first difference was in the degree of plasmid invasion (a ca. one million-fold increase in the fraction of plasmid-bearing cells over two weeks compared to a maximum of only 10-fold
580 over three days in their study). Second, we specifically demonstrated the positive effects of nutrients and spatial structure on plasmid invasion. Together, our studies show the importance of the highly efficient conjugation systems of IncP-1 plasmids in the sweep of unselected traits such as drug resistance through bacterial populations.

A novel and intriguing observation in our study is that plasmid invasion was
585 promoted by regular disturbance and random reorganization of the spatial population structure. While the plasmid had invaded 13% of the population after 15 days in the ‘undisturbed filter transfer’ experiment (Fig. 1A), 98% of all cells were invaded by the plasmid when the spatial structure was regularly disturbed (‘disturbed filter transfer’ protocol, Fig. 1B). There are at least two possible explanations for this difference. The first
590 involves the amount of contact between plasmid-bearing cells and plasmid-free cells in the population. In the undisturbed filter transfer protocol, the cells were in fixed positions. Therefore the plasmid could only transfer radially from the original donor cells to surrounding recipients, which in turn became transconjugants that could further transfer the plasmid to adjacent recipient cells. Thus, isolated clusters of recipients not in contact with the
595 donor or transconjugant cells might remain plasmid-free. Since the bacteria were spread over the filter with a cell spreader, the cell distribution was uneven and gaps between cell clusters were observed (Fig. 5). In the experiments with daily disturbance of the spatial structure, the cells were redistributed across the filter every day, and hence donors and transconjugants were more likely to be surrounded again by plasmid-free recipients, which were in the

600 majority during the first several days. Through this process, more plasmid-bearing cells had access to plasmid-free cells than in a situation with no regular disturbance. Microscopic analysis of our invasion experiments (Fig. 5) showed that i) as expected, the pattern of plasmid spread from the few original foci was a radial process, and ii) the regular disturbance of spatial structure indeed increased the rate and extent of plasmid invasion.

605 A second possible explanation for the difference in invasiveness between undisturbed and disturbed transfer protocols, is the difference in the location of cells relative to the agar and air interfaces. Random redistribution of cells on a daily basis results in different cells being closer to the agar surface or the air-colony interface, than in the previous 24-hour period. Over time this may give all cells the same probability to reside in a location that is most conducive to plasmid transfer. There are, of course, other possible factors influencing the results. For example, in the undisturbed filter transfer protocol, it is possible that an accumulation of toxins or signalling molecules led to suppression of plasmid transfer, while these compounds would be removed daily in the disturbed filter transfer protocol. Moreover, in the latter protocol, the physical structure of the colony was altered daily by disrupting the extracellular polymeric matrix and possibly changing intercellular distances, and cells were subject to physical stresses due to resuspension by vortexing, centrifugation, and replating on new filters. The effect of such stress factors on conjugation efficiencies has not been investigated, but recent studies have shown the importance of stress response mechanisms in conjugative gene transfer (Beaber *et al.*, 2003; Zahrl *et al.*, 2007). One additional caveat might be a slight loss of cells during every transfer due to the cell spreading procedure, which may affect the plasmid dynamics. While these alternative explanations should not be ignored, we believe that the first and second interpretations of the observed differences in plasmid invasiveness between undisturbed and disturbed population architecture are more plausible and are, in fact, supported by our model simulations (see below).

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625 To further explore factors mediating plasmid invasion in spatial populations, we expanded
our earlier spatially explicit 2-dimensional lattice model (Krone *et al.*, 2007) by incorporating
enough 3-dimensional structure to simulate features of the experiments that appear to be
essential in determining the extent of plasmid invasion. The mathematical results were
consistent with the experimental results and support our intuition that plasmid invasion
630 depends strongly on a combination of both nutrient access and the geometry of contact
between plasmid-containing and plasmid-free cells. The simulations nicely captured, in a way
that was not overly sensitive to changes in parameters, the difference in invasiveness between
the disturbed and undisturbed protocols. The simulations of the disturbed filter transfer
protocol showed a final fraction of transconjugants that was slightly higher than in the
635 corresponding experiments (Fig. 1E versus 1B). We were able to get an even better fit by
adjusting parameters that control conjugation rate and nutrient access, but this then led to an
underestimation of transconjugants in the undisturbed-transfer protocol. In other words, we
were not able to use one set of parameters to fit all the experimental results simultaneously
with the accuracy we would have liked—although the qualitative patterns are unmistakable.
640 This could be due to the simplifying assumptions in the model. However, further exploration
of the model suggests that there may be an interesting biological explanation. In the
simulations, disturbed transfer resulted in complete mixing (i.e., randomization of cell
locations). This, in principle, would maximize the potential for conjugation. In the
experiments, on the other hand, this mixing step was implemented for 1 minute by using a
645 vortex mixer. Previous studies (Achtman, 1975) suggest that up to 5 min of vigorous
agitation may be necessary to completely disrupt mating aggregates in *E. coli*. While it
would have taken us too far beyond the scope of our current study to include modelling of
mating aggregates, we were able to simulate some of the effects of decreased mixing by
running simulations that disturbed the spatial structure of the population only every other

650 transfer. This, in fact, resulted in an almost perfect fit to the experimental data when using the same parameters as in Fig. 1 (simulation data not shown). This is an example of how mathematical modelling can lead to testable hypotheses and thus help drive empirical work. Future work will include testing the effects of different vortexing times (representing various degrees of aggregate disruption) on plasmid invasion.

655 In general, one of the purposes of mathematical modelling is to help transform empirical data into understanding. By probing the sensitivity of the model to changes in parameters, we were able to note the generality of the results and to explore the specific mechanisms most responsible for generating the observed behaviour. For example, the robustness of the qualitative behaviour in the simulations to changes in certain parameters (Fig. 3) strongly suggests that the empirical observations are not particular to IncP plasmids and *E. coli* hosts, but rather should be applicable to any plasmid-host system that share certain basic features. By determining which combinations of parameter values did *not* lead to a fit of simulations to experiments (Fig. 3), we were able to isolate the importance of different mechanisms. In additional simulations (data not shown), we found that both the 660 spatial limitations to nutrient diffusion (parameter m) and time of nutrient availability (parameter L) were essential in capturing the observed behavior. For example, if we assumed all cells were active during the period of nutrient availability ($m=40$), then a given a set of parameters either overestimated the 'disturbed' case or underestimated the 'undisturbed' case, but could not fit both cases simultaneously.

670 A few remarks regarding the model assumptions are in order. (1) The main reason for the assumption of a local carrying capacity (M) was to match the approximate levelling off of population size in the experiments. (2) One could argue that during the first day of growth there are fewer cells and hence nutrients should be used up more slowly than on succeeding days. While we could have chosen different values of L for these two scenarios, we had no

675 direct measurements that would have rendered such choices less ad hoc. In addition, as the
population reaches carrying capacity, most nutrient consumption goes toward cell
maintenance, not growth. Thus, it could well be that a constant value of L is a reasonable
assumption. (3) The assumption of a constant conjugation rate for cells in the active state is
in accord with some previous empirical work on surface-grown cultures, showing that little
680 energy is required for plasmid transfer and, above a threshold activity level, transfer is not
limited by metabolic activity (Normander *et al.*, 1998; Hausner and Wuertz, 1999) (see also
Fig. 3). This is an area that is far from resolved, however, and results may be influenced by
strains, experimental conditions, and even the methods used to infer transfer rates (cf. Smets
et al., 1993). (4) As discussed earlier, even the large 2 000×2 000 lattices correspond to a
685 significantly smaller area than the filters used in the experiments. Thus, scalability of the
model is important. We checked this by comparing simulations on 200×200 and 2 000×2
000 lattices and found that the results were consistent.

In conclusion, this study shows that under certain environmental conditions, plasmids
can invade a plasmid-free population in the absence of selection for plasmid-encoded traits,
690 but spatial structure and nutrients are essential to this invasive ability. This is consistent with
observations that spatially structured, nutrient-rich habitats such as the gastrointestinal tract,
manure-treated soils, wastewater treatment plants, the rhizo- and phyllosphere, and other
surfaces that occasionally receive high supplies of nutrients, are hot-spots for horizontal gene
transfer (van Elsas and Bailey, 2002). For planktonic bacteria, the chance of contacting
695 another bacterial cell is rare, unless cells densities are high ($>10^{10}$ cfu/ml). But as bacteria
adhere to surfaces, form close associations with other bacteria, and have available nutrients,
conjugation events can be much more favoured. A better understanding of the factors that
promote plasmid invasion may eventually allow us to control horizontal spread of desirable
traits such as pollutant degradation, or undesirable phenotypes such as multi-drug resistance,

700 in bacterial communities. Our approach for exploring these factors was to combine laboratory experiments with mathematical models and simulations. The combination of experimental and theoretical results provided insights that are more far-ranging than what can be inferred from either approach alone.

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Title and legends to figures

Fig. 1. Effect of spatial structure and nutrients on plasmid invasion. The dynamics of *E. coli* K12 donors (dotted, ●), recipients (dashed, ■), transconjugants (solid, ▲) on filters on M9 agar plates with 2 g/l glucose are shown. Panels A-C: Experimental data; panels D-F: simulations. Invasion experiments were initiated at a donor to recipient ratio of 10^{-7} and three protocols were followed: (A, D), the spatial structure was left intact with daily transfer of the filters; (B, E), the spatial structure was disturbed with each daily transfer of the filters; (C, F) the spatial structure was left intact and there was no daily transfer of the filters, thus no nutrient replenishment. Data present averages and standard deviations of triplicate experiments and simulations. Grid size for simulation: $2\ 000 \times 2\ 000$; parameters: $\psi_R = 0.5\ \text{h}^{-1}$, $\psi_T = 0.48\ \text{h}^{-1}$, $\psi_D = 0.38\ \text{h}^{-1}$, $\gamma = 2\ \text{h}^{-1}$, $L = 9\ \text{h}$, $m = 16\ \text{cells}$, $\tau = 0$, $p_g = 0.5$, and $p_c = 0.9$.

Fig. 2. Dynamics of *E. coli* K12 donors (dotted, ●), recipients (dashed, ■), transconjugants (solid, ▲) in mixed liquid M9 medium with 2 g/l glucose. Invasion experiments were initiated at a donor to recipient ratio of 10^{-7} and liquid medium was exchanged daily. Data present averages and standard deviations of triplicate experiments.

Fig. 3: Effect of parameters L and m on simulations of plasmid invasion. The dynamics of *E. coli* K12 donors (dotted, ●), recipients (dashed, ■), transconjugants (solid, ▲) with different values of L and m are shown. Other parameters: $\psi_R = 0.5\ \text{h}^{-1}$, $\psi_T = 0.48\ \text{h}^{-1}$, $\psi_D = 0.38\ \text{h}^{-1}$, $\gamma = 2\ \text{h}^{-1}$, $\tau = 0$, $p_g = 0.5$, and $p_c = 0.9$. Grid size for simulation: $2\ 000 \times 2\ 000$.

Fig. 4. Effect of different glucose concentrations on plasmid invasion. The dynamics of *E. coli* K12 donors (dotted, ●), recipients (dashed, ■), transconjugants (solid, ▲) on filters on M9 agar plates with different concentrations of glucose or no glucose are shown. Invasion

experiments were initiated with a donor to recipient ratio of 10^{-7} . Data present averages and standard deviations of triplicate experiments.

Fig. 5. Stereoscope photographs of invasion experiments with an initial donor to recipient ratio of 10^{-4} , at a magnification of $115\times$. Two protocols were followed: A) the spatial structure was preserved during daily transfer of the filter and B) the spatial structure was disturbed daily during transfer of the filter. Recipient cells are green; the entire field of each frame has a recipient-covered background. Donor cells are red, and transconjugants are yellow/orange.

Figure 1

Experiments

Simulations

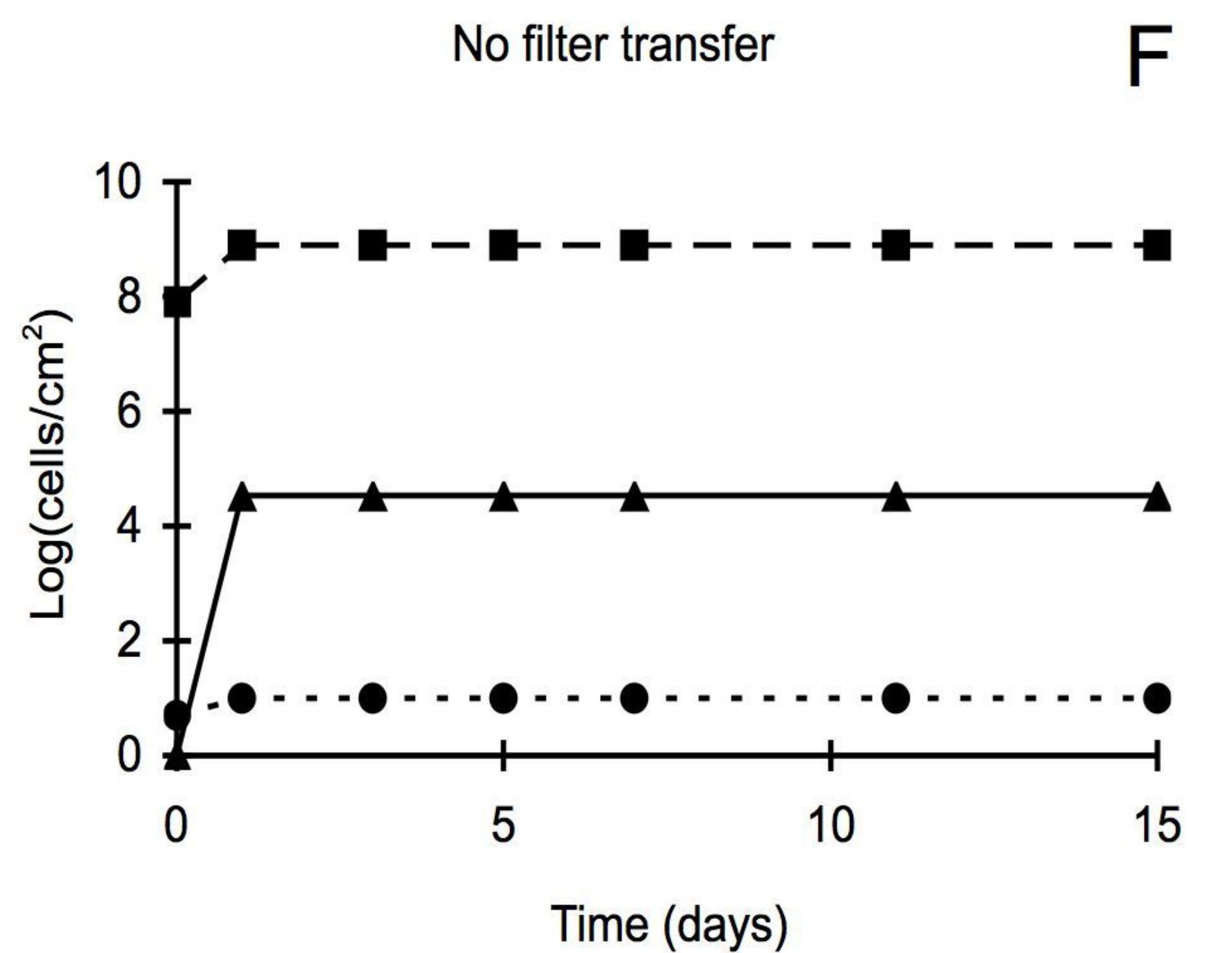
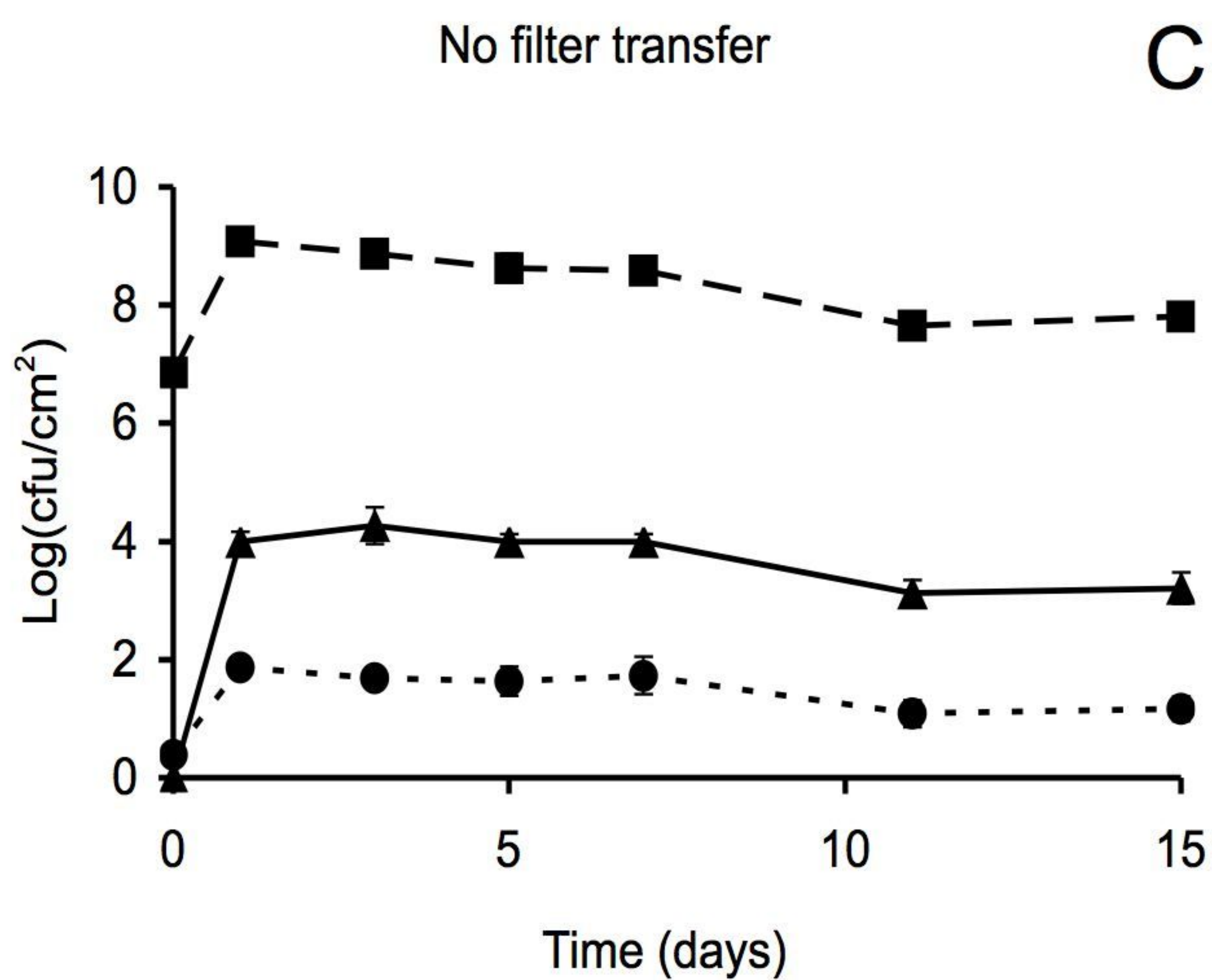
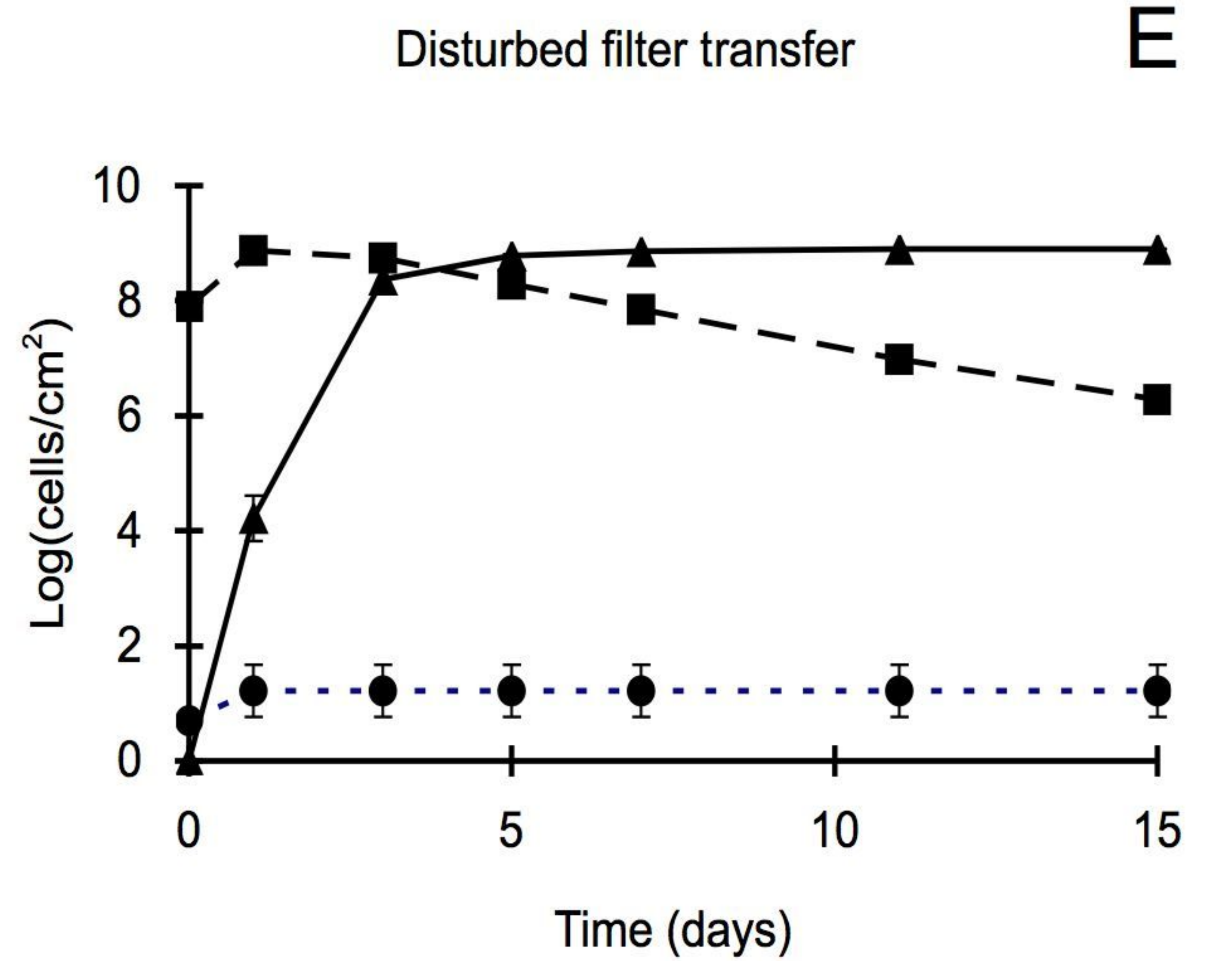
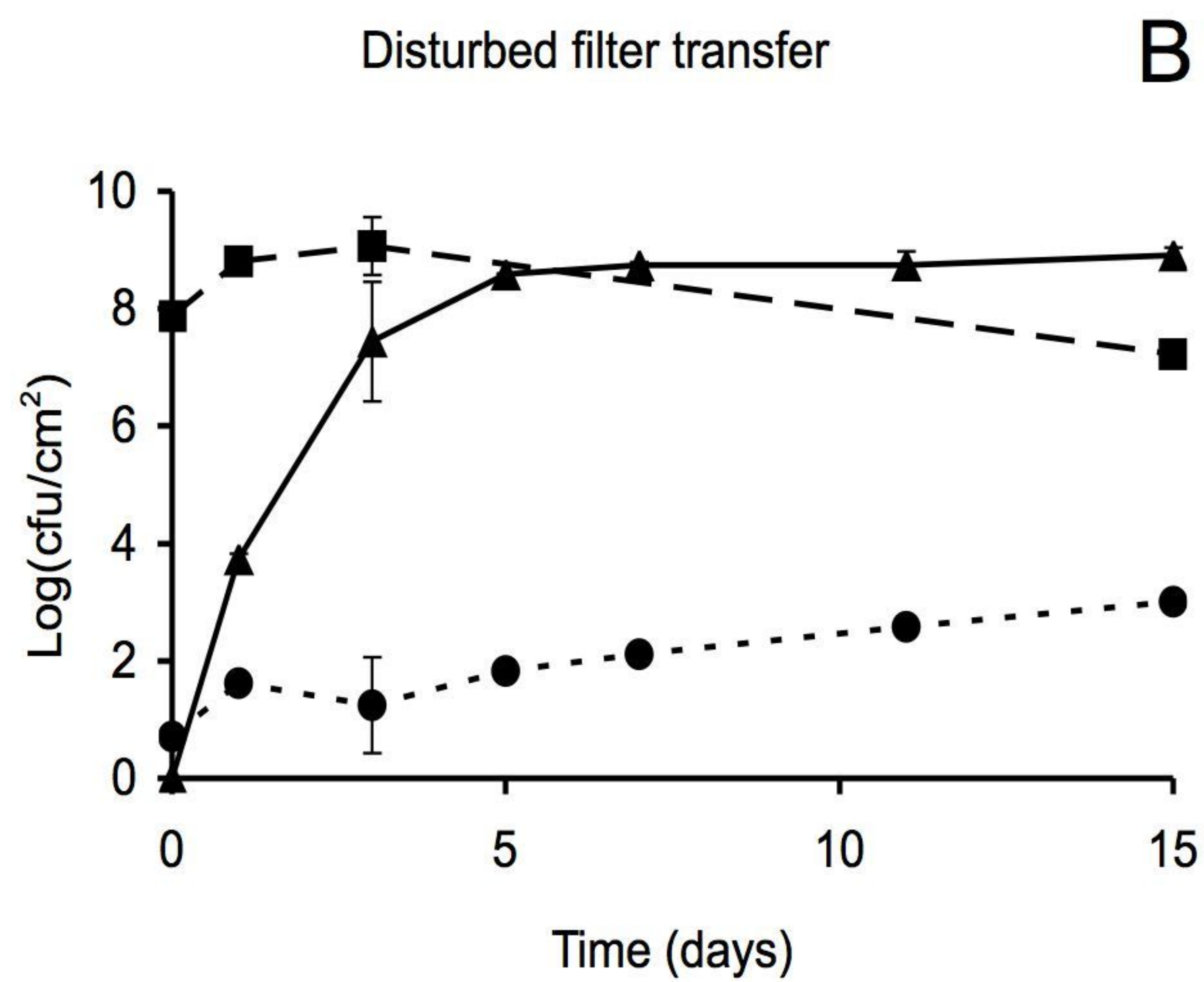
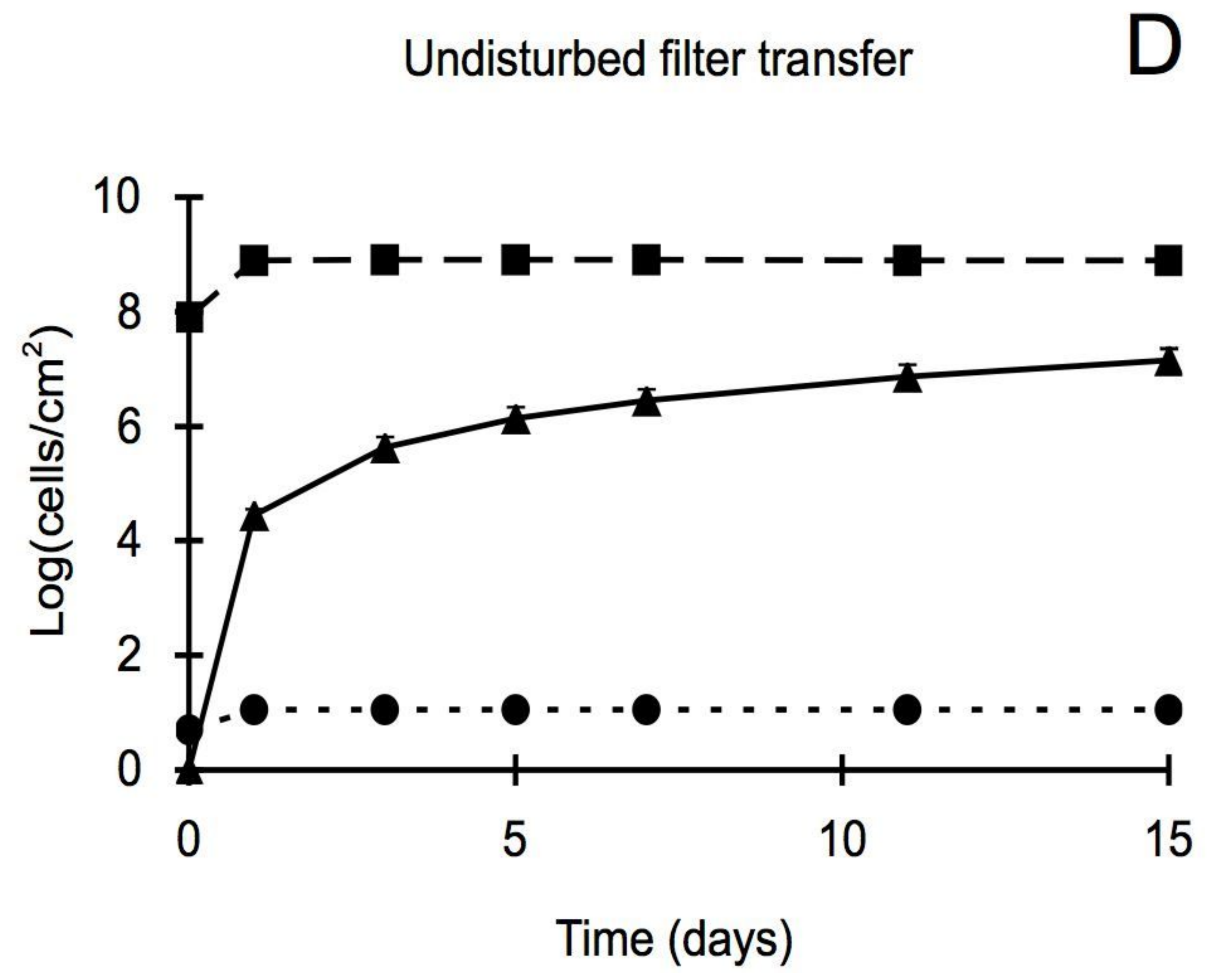
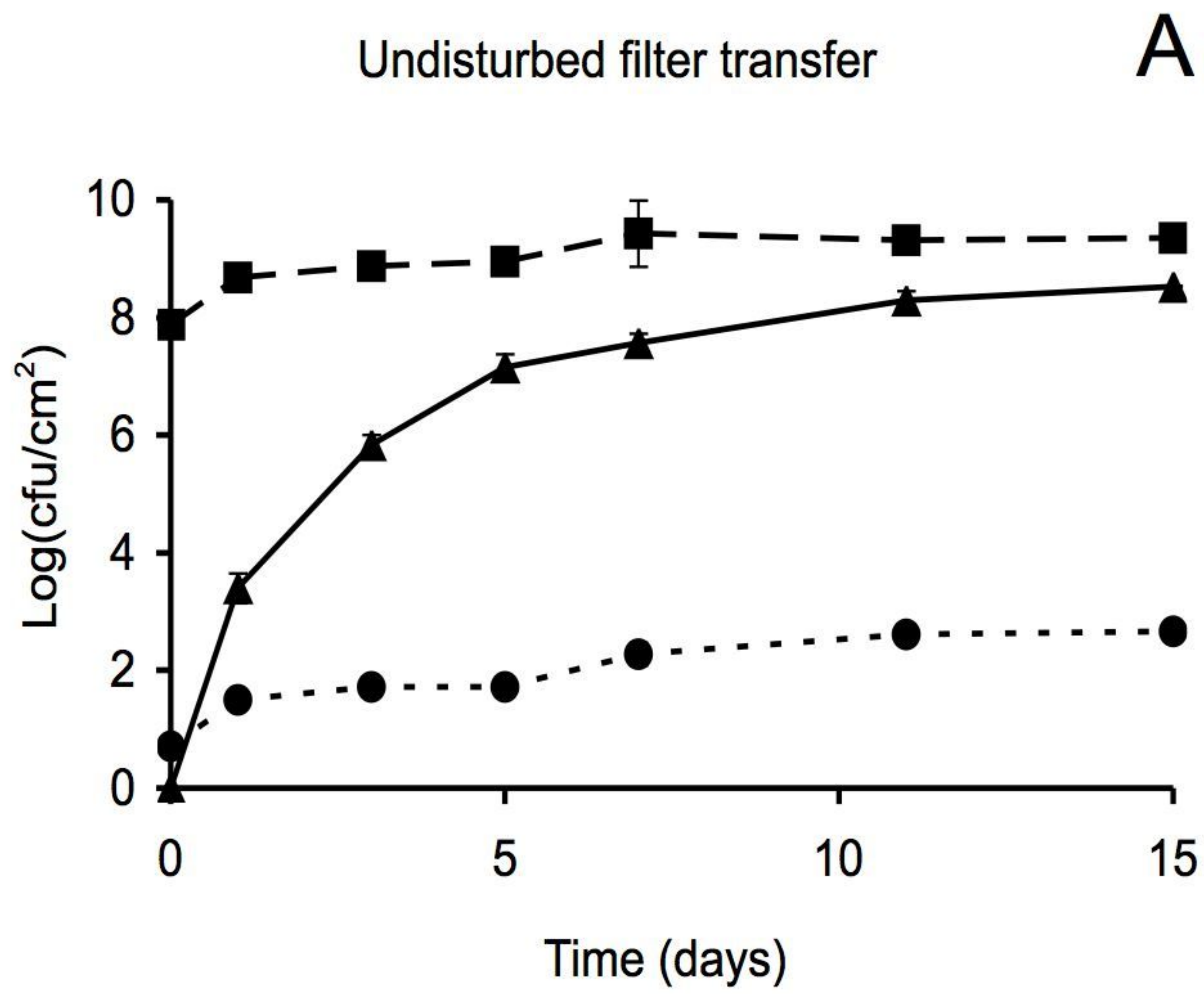


Figure 2

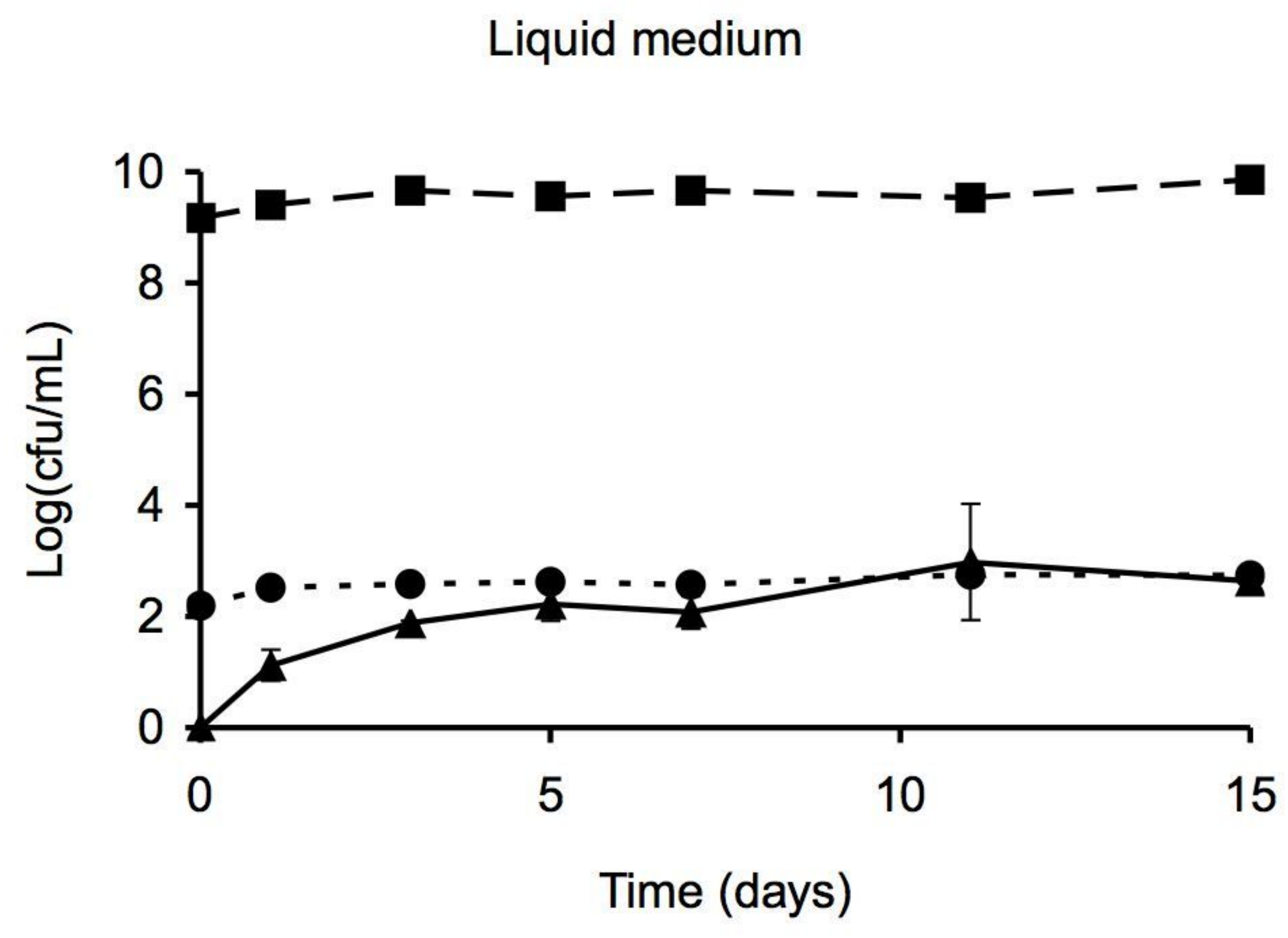


Figure 3

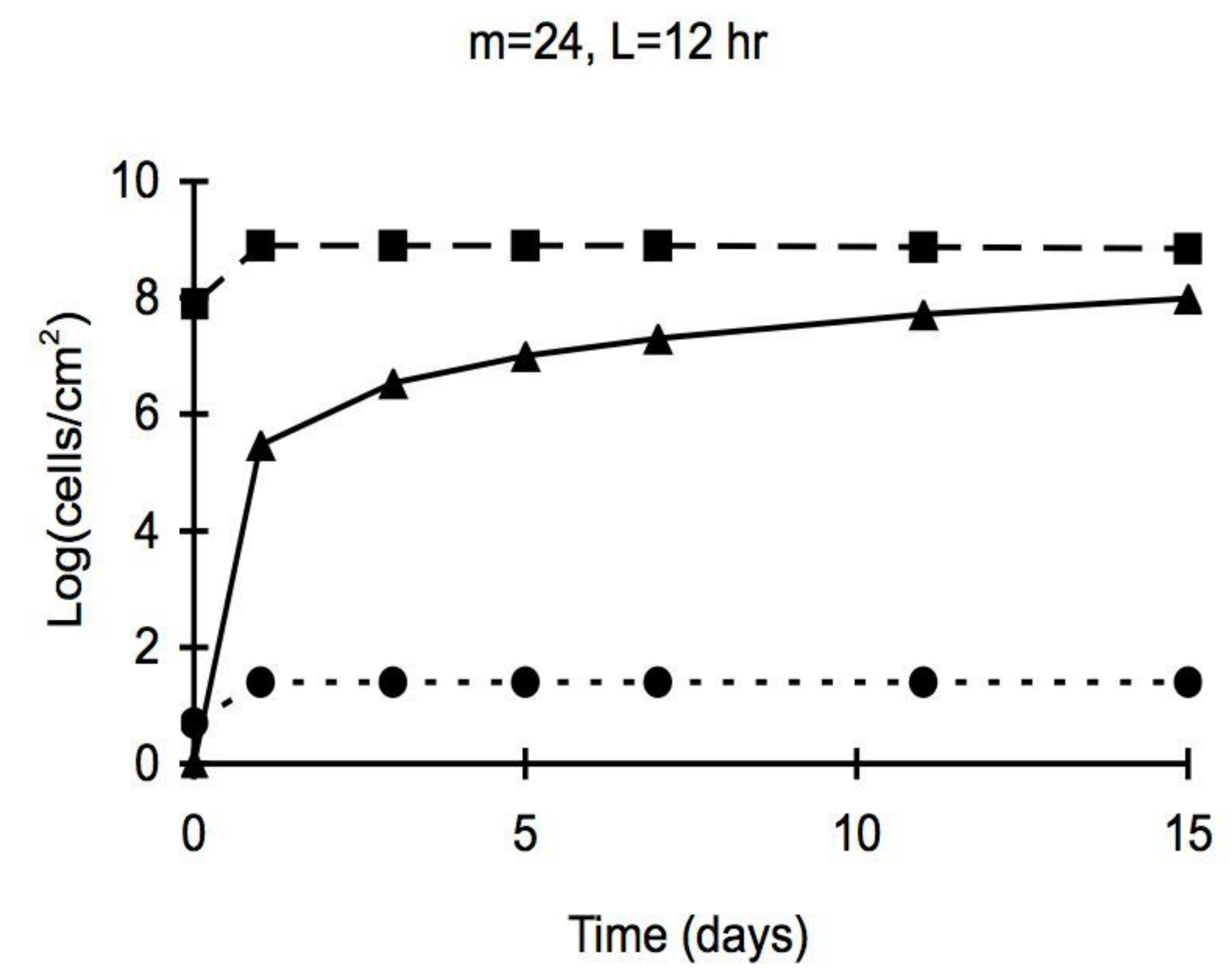
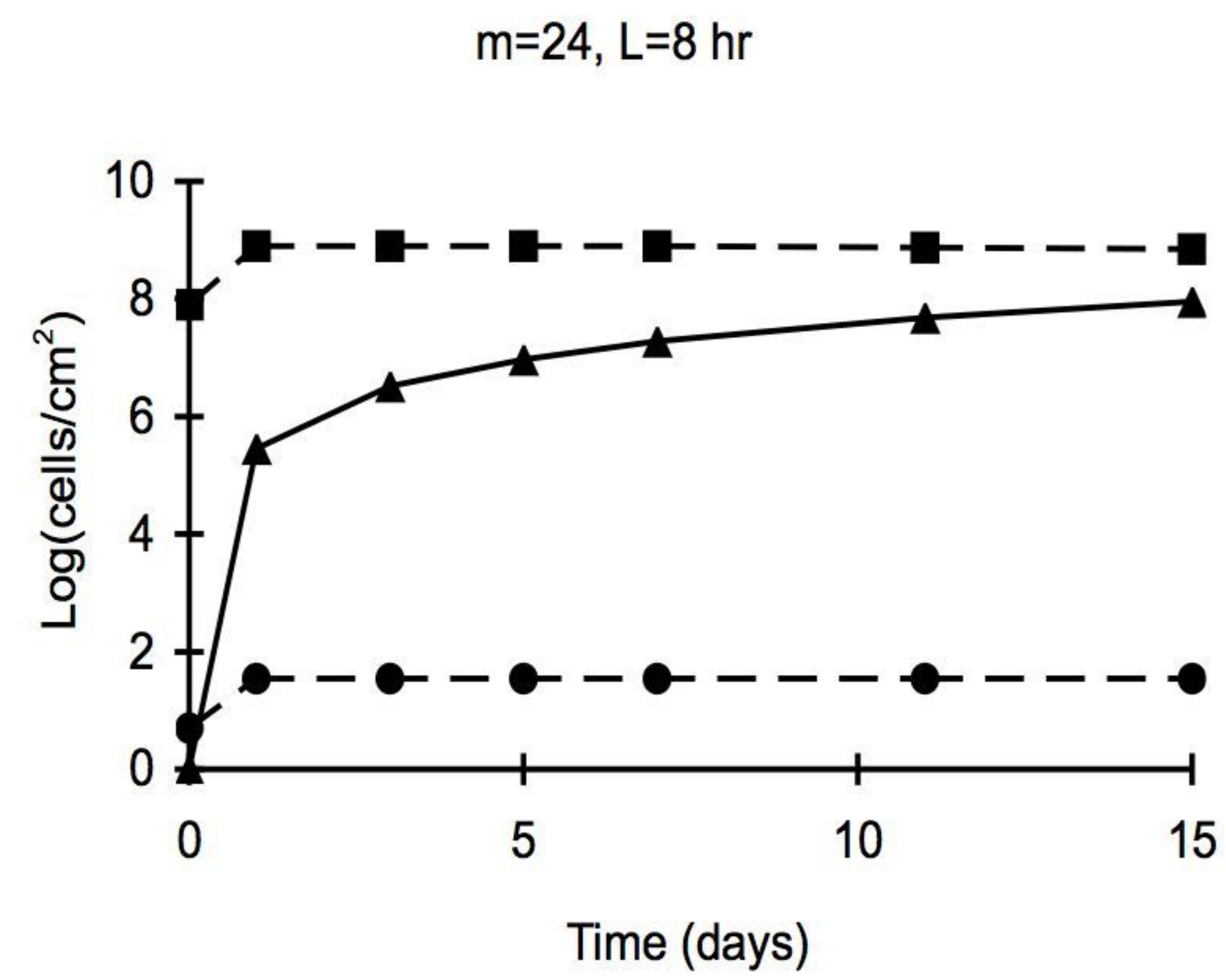
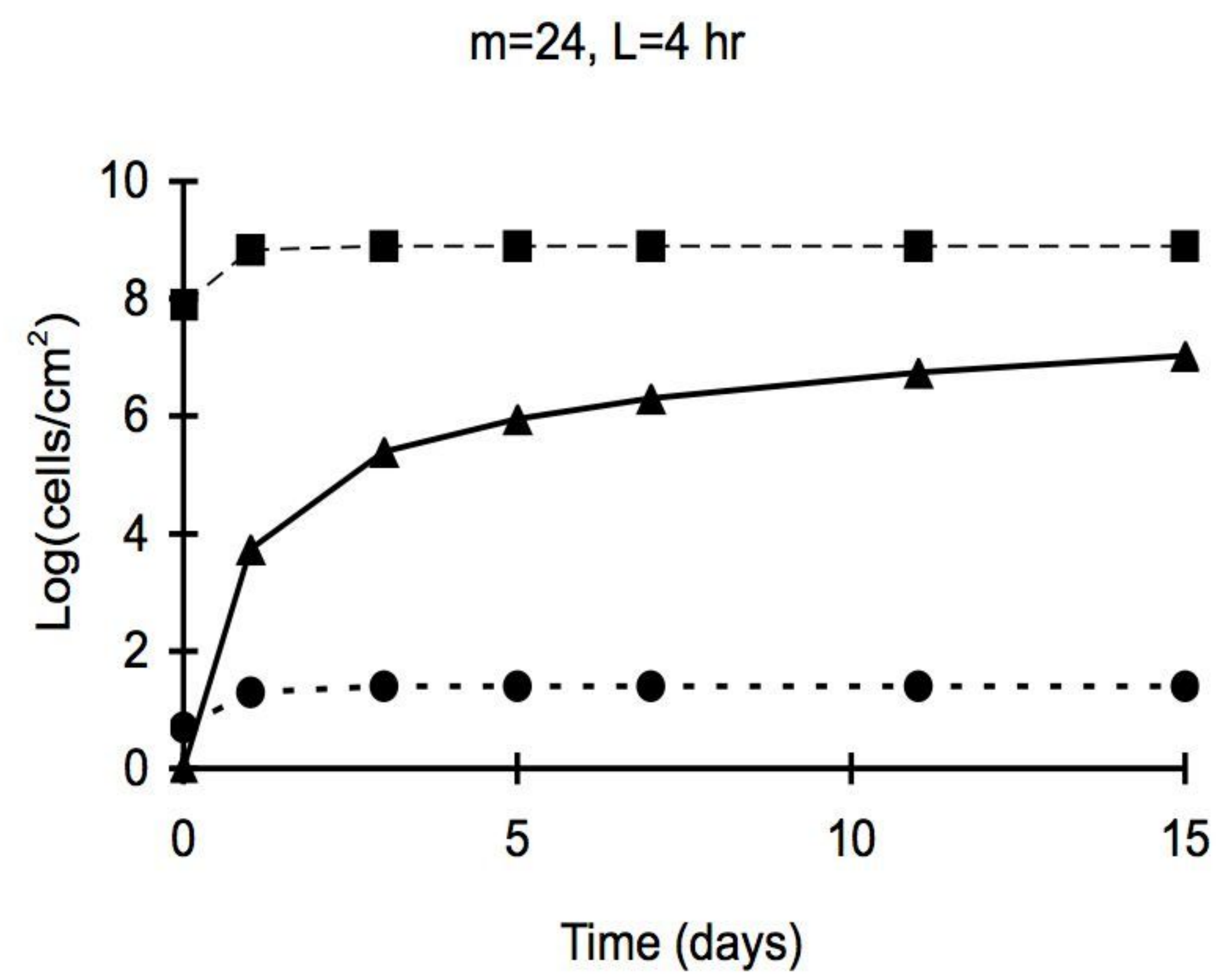
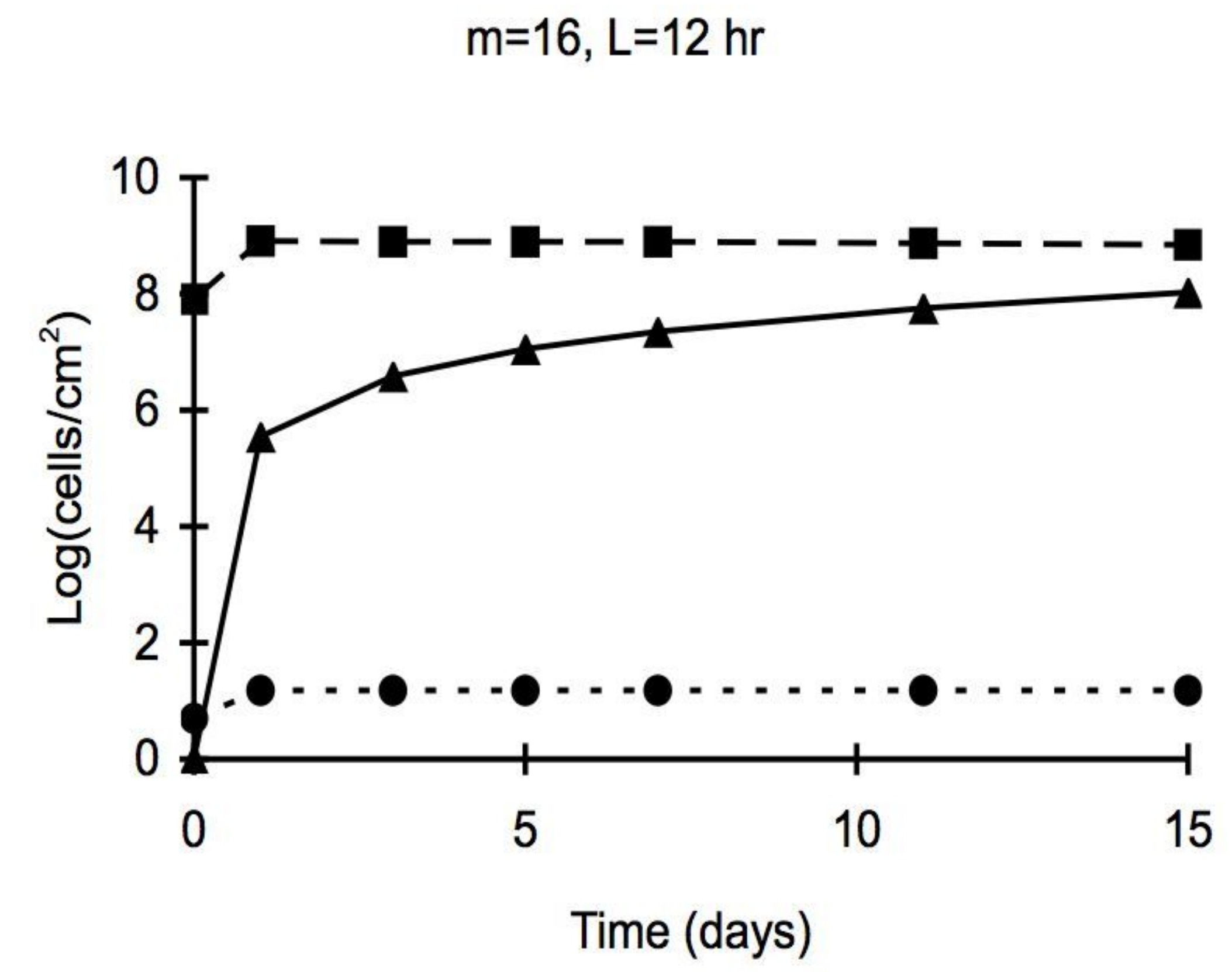
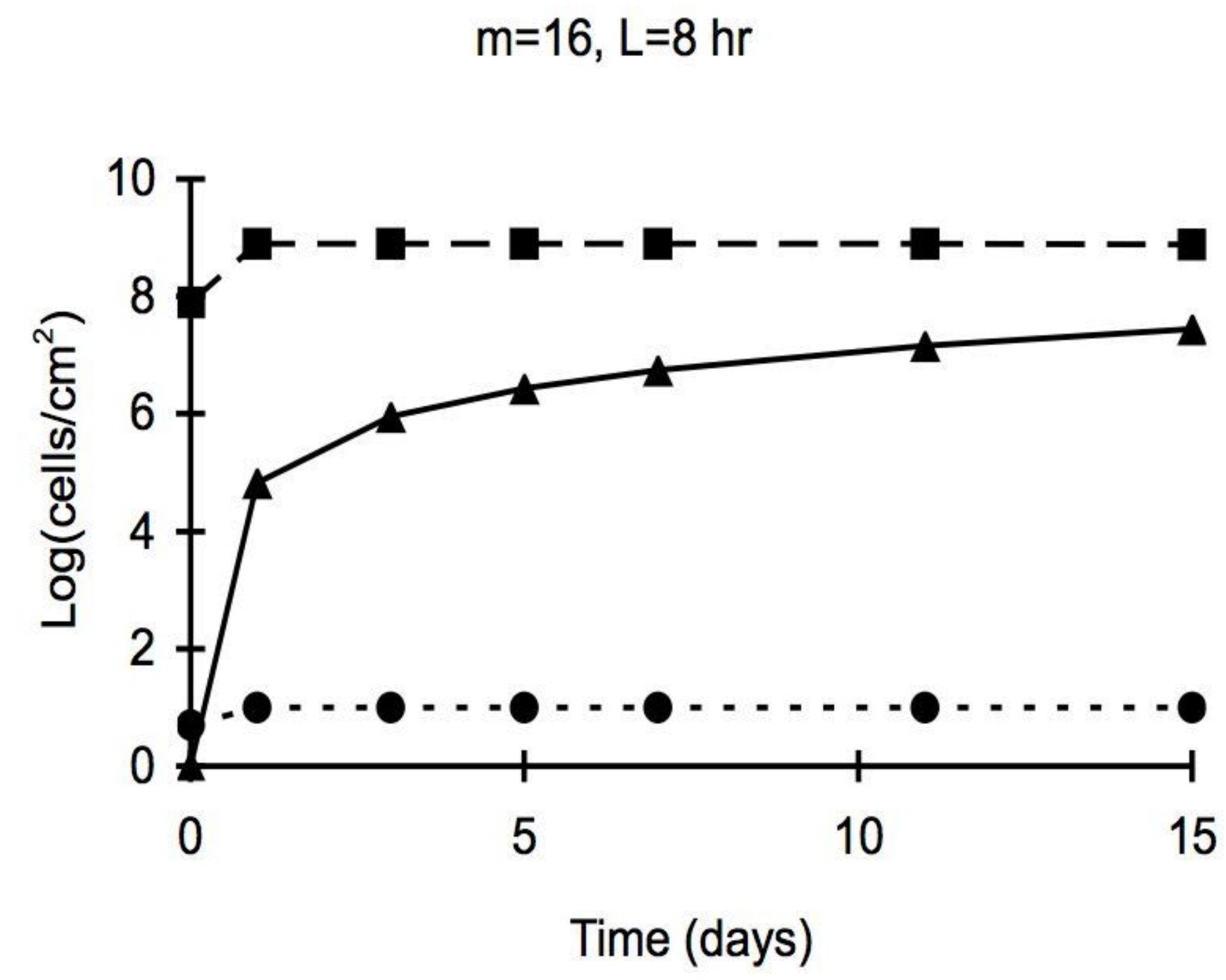
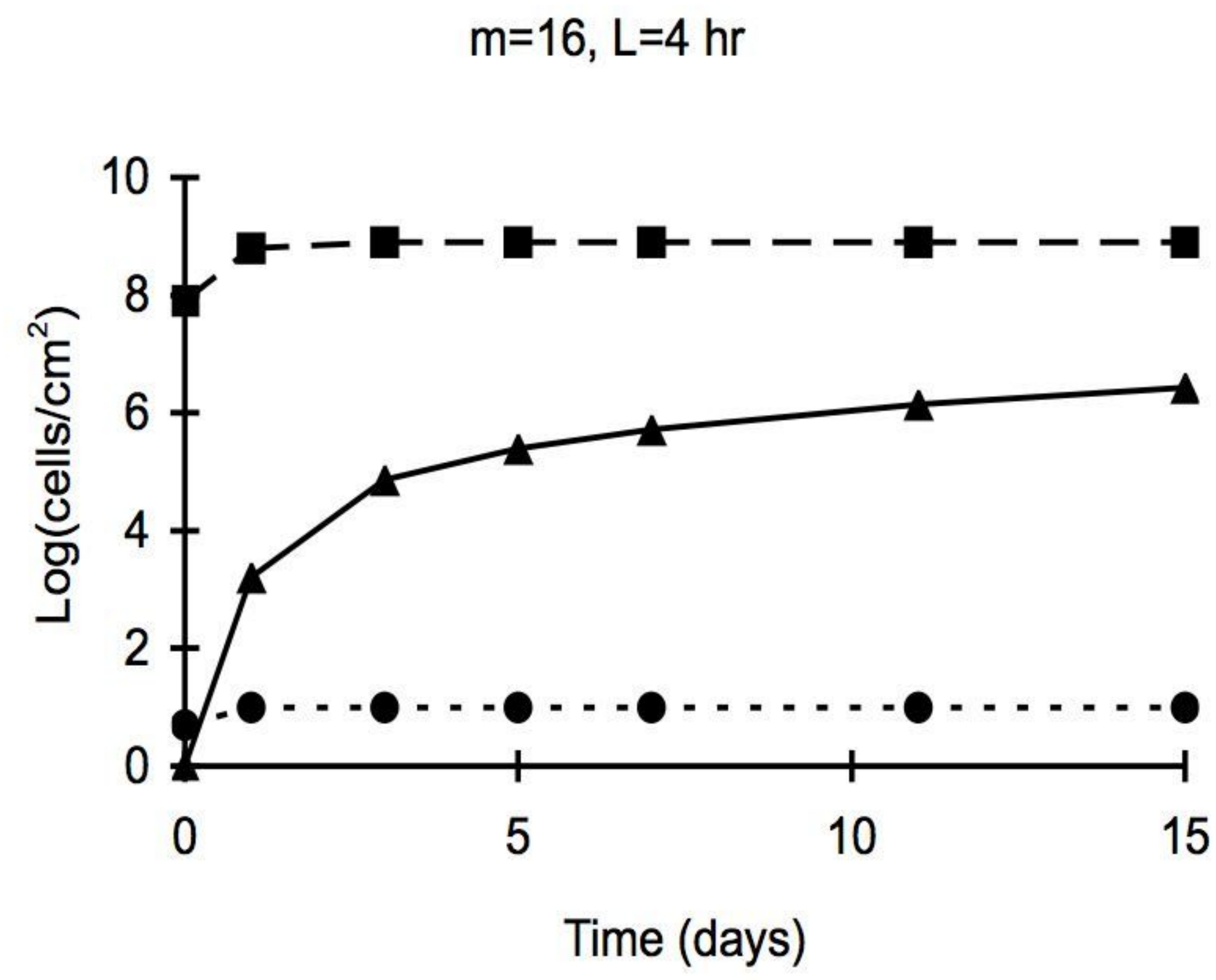
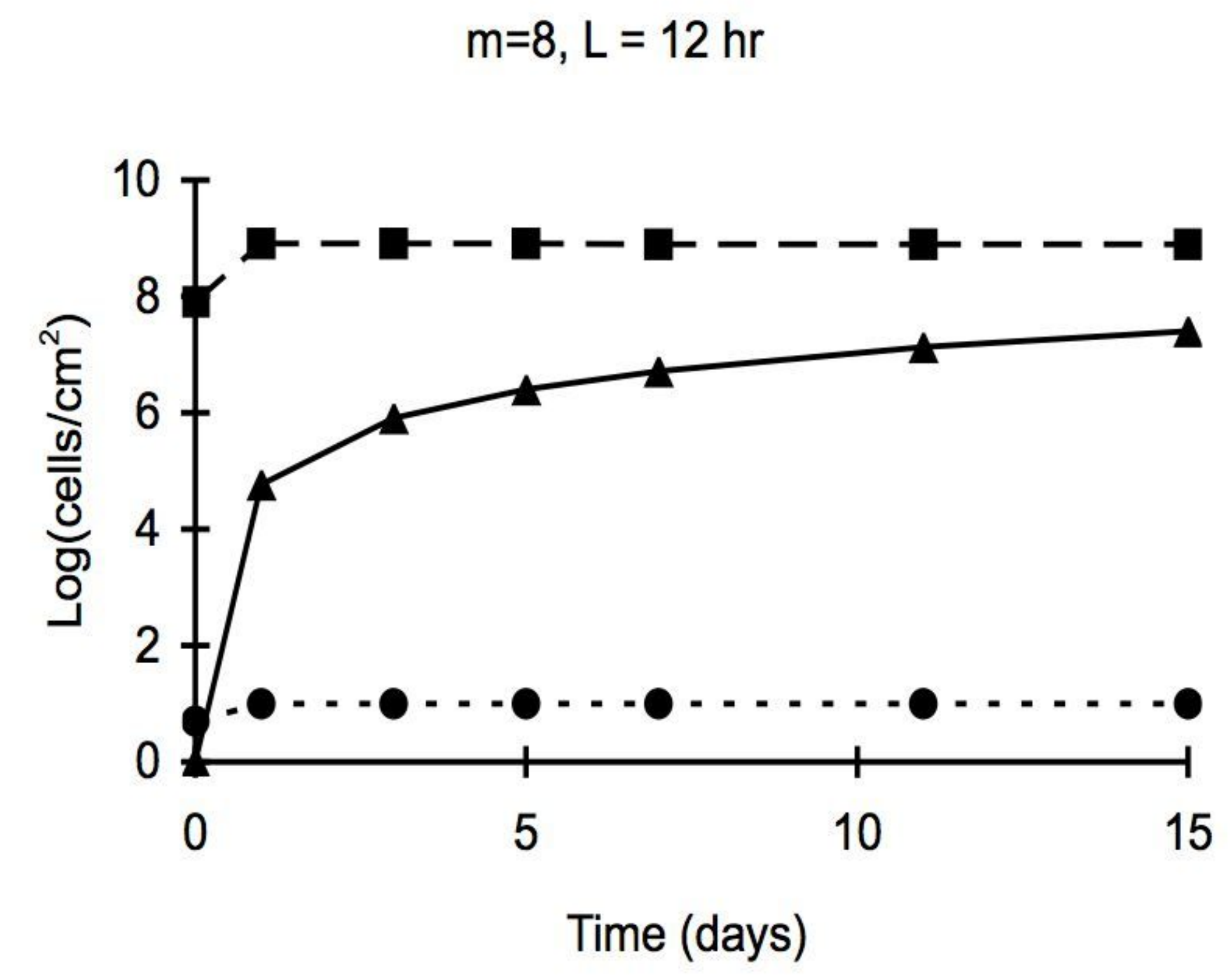
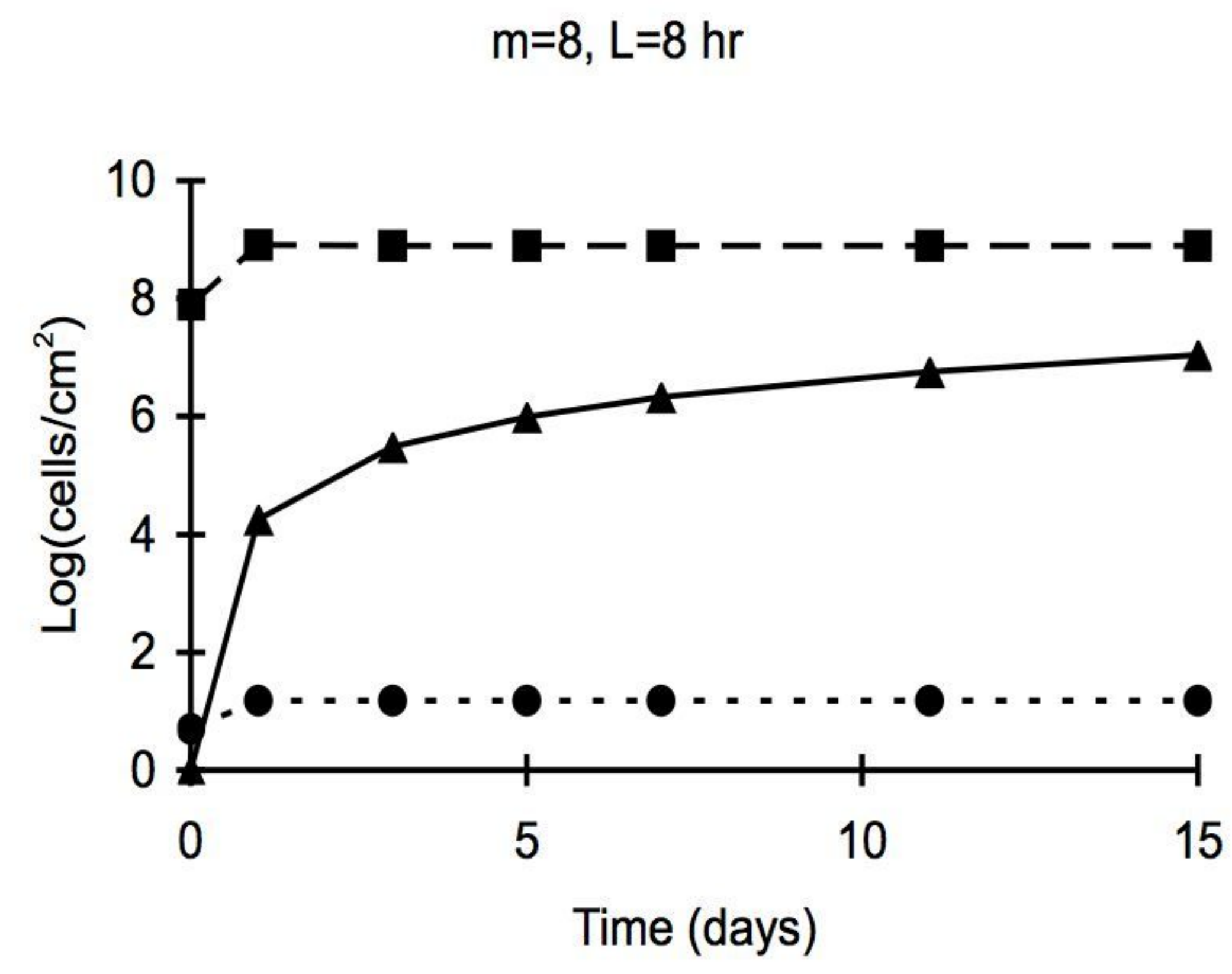
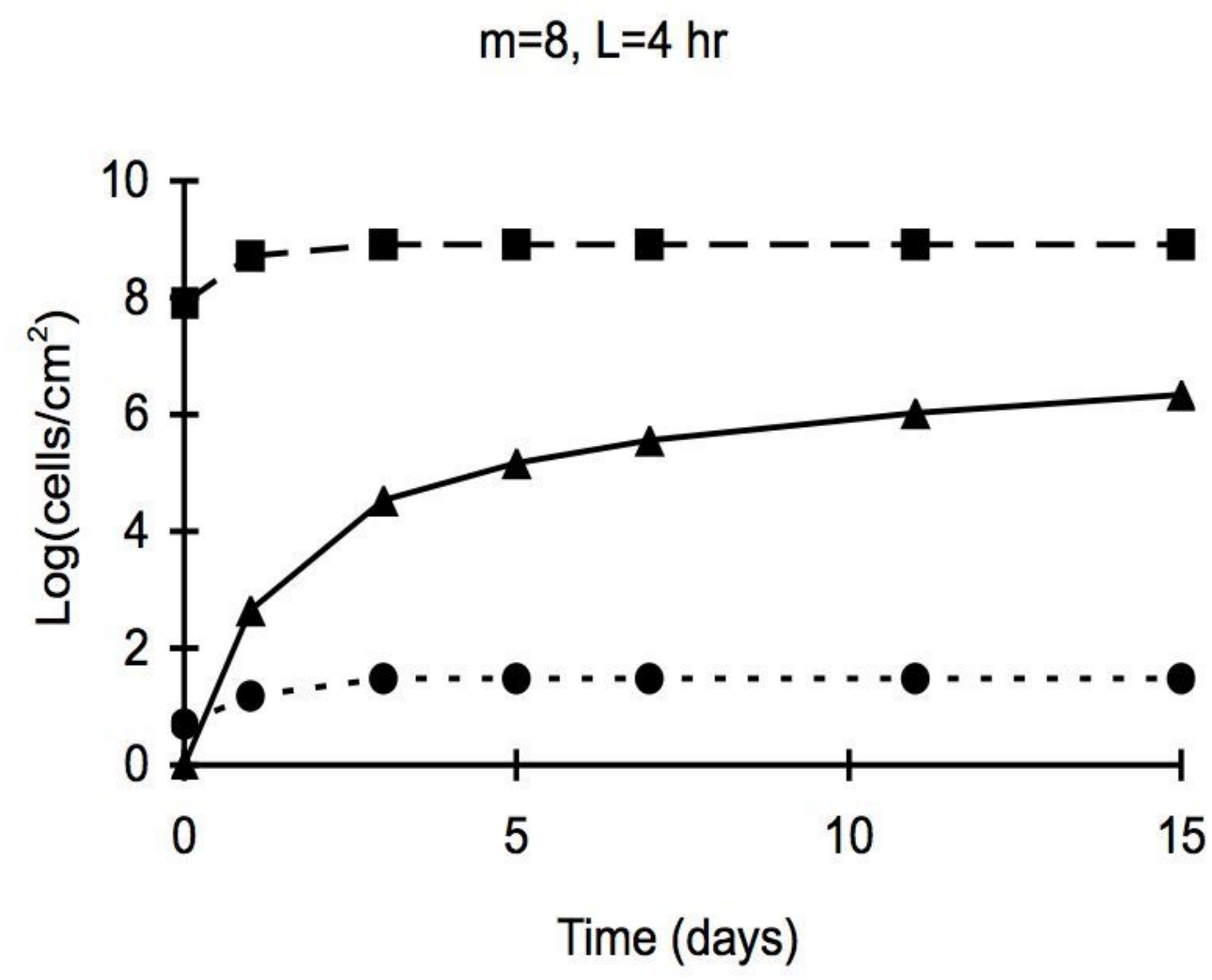
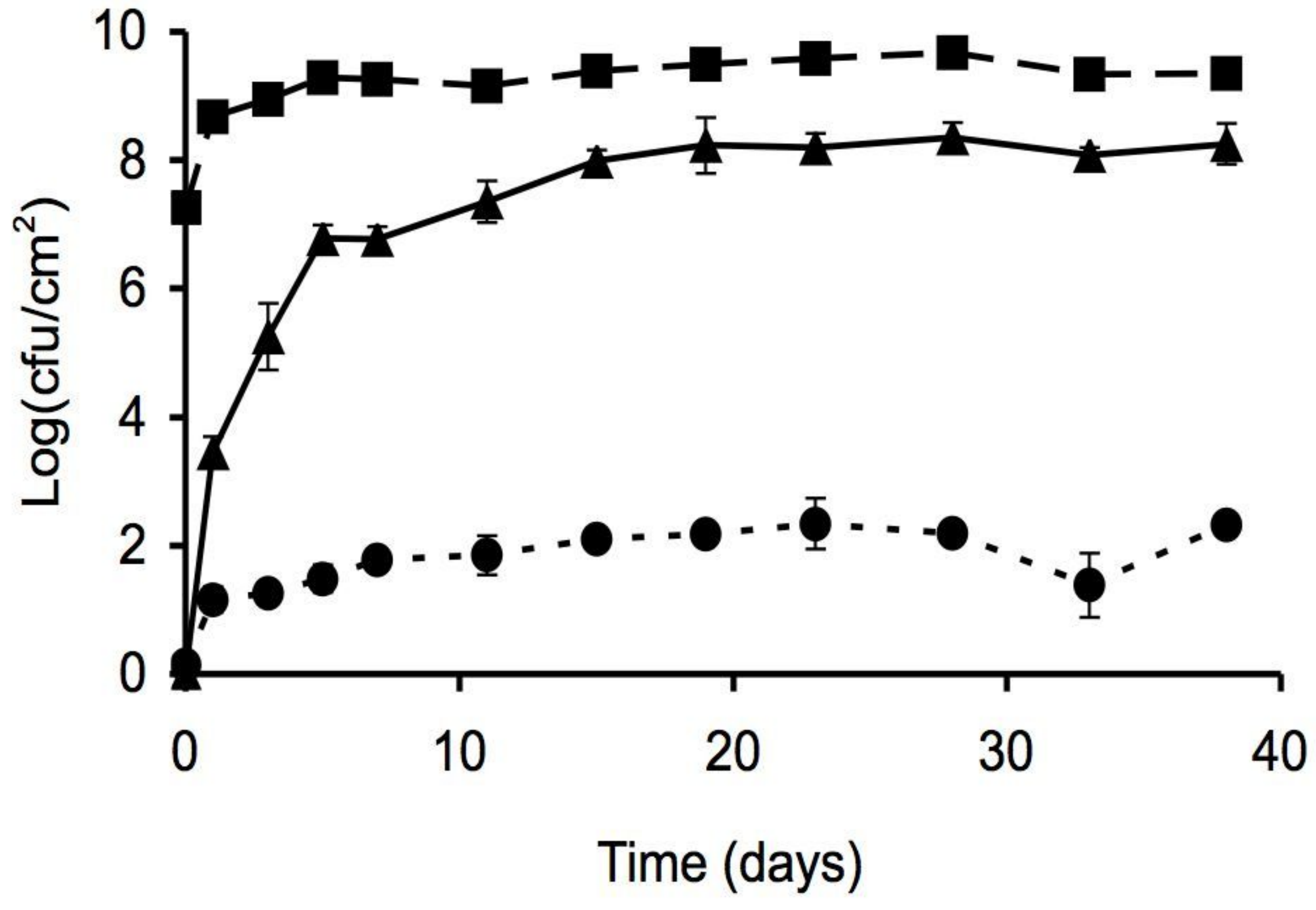
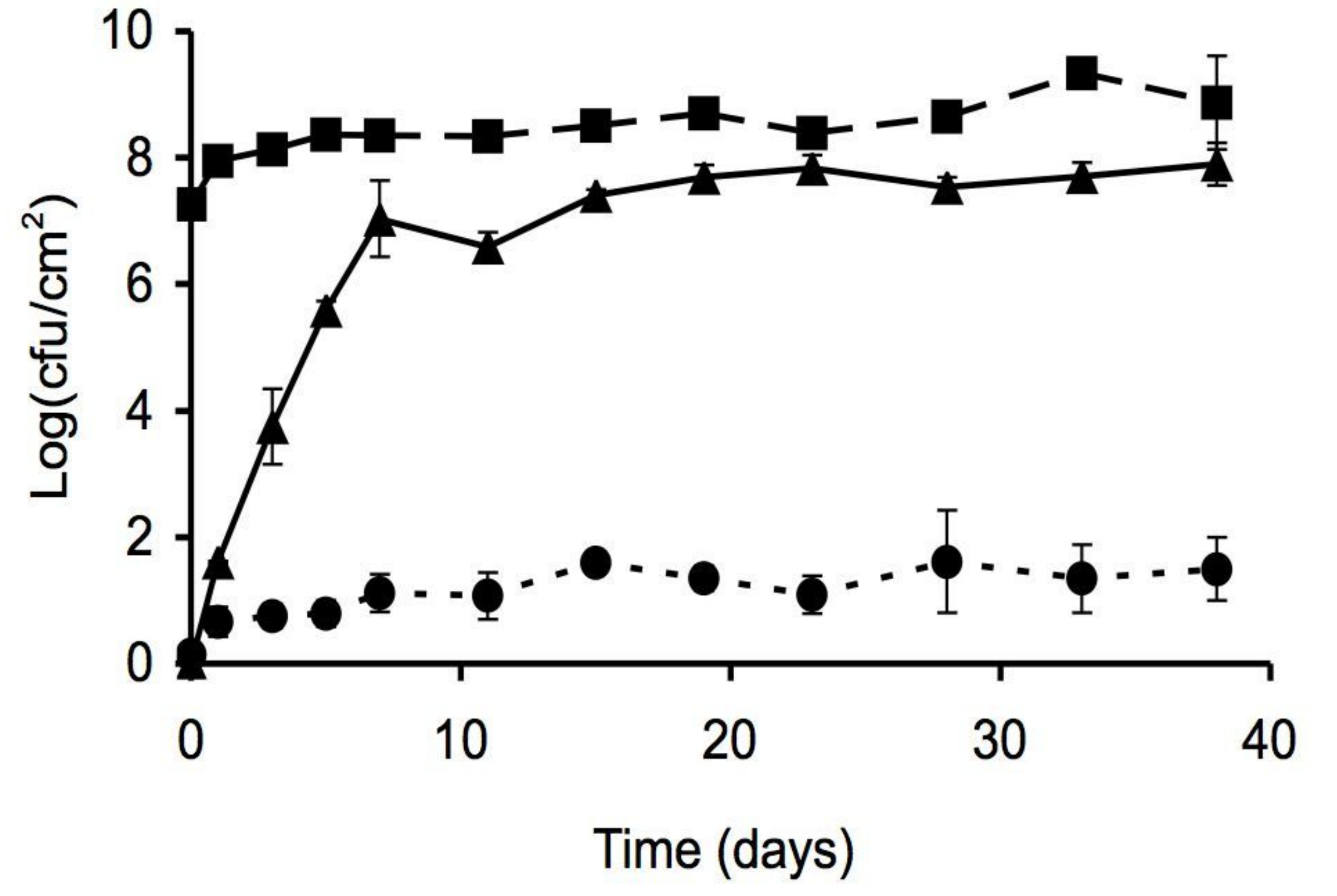


Figure 4

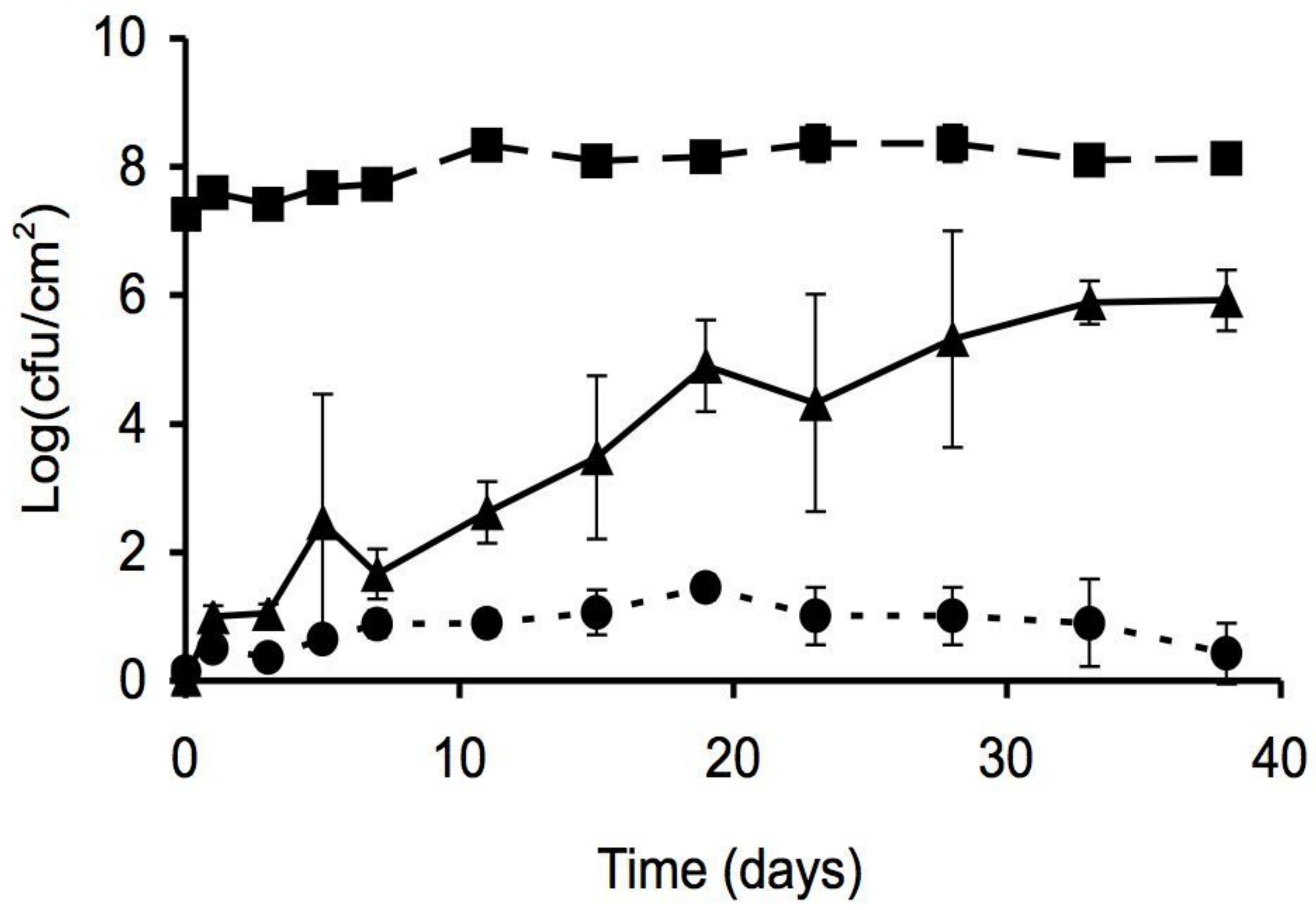
2 g/L glucose



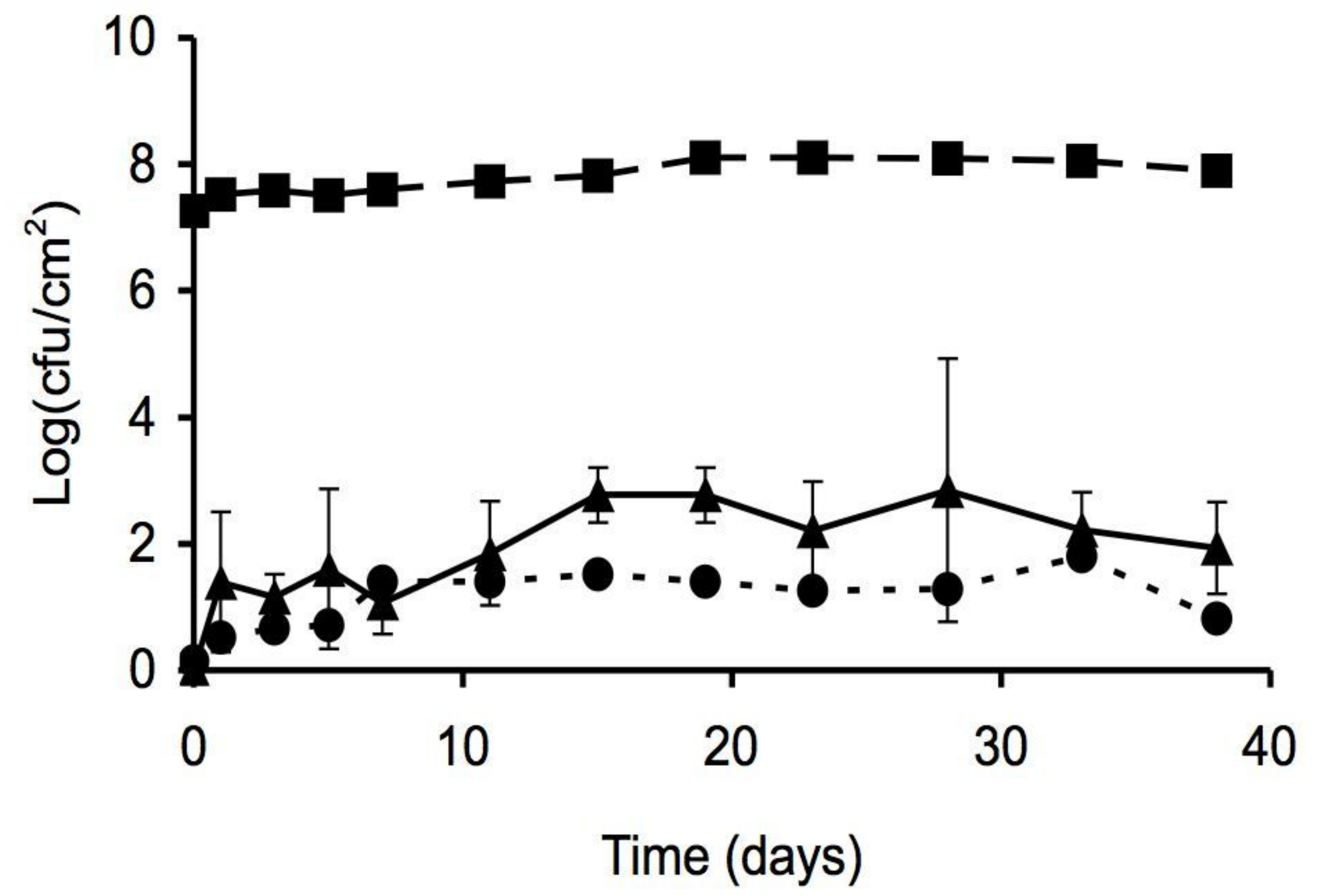
0.2 g/L glucose



0.02 g/L glucose



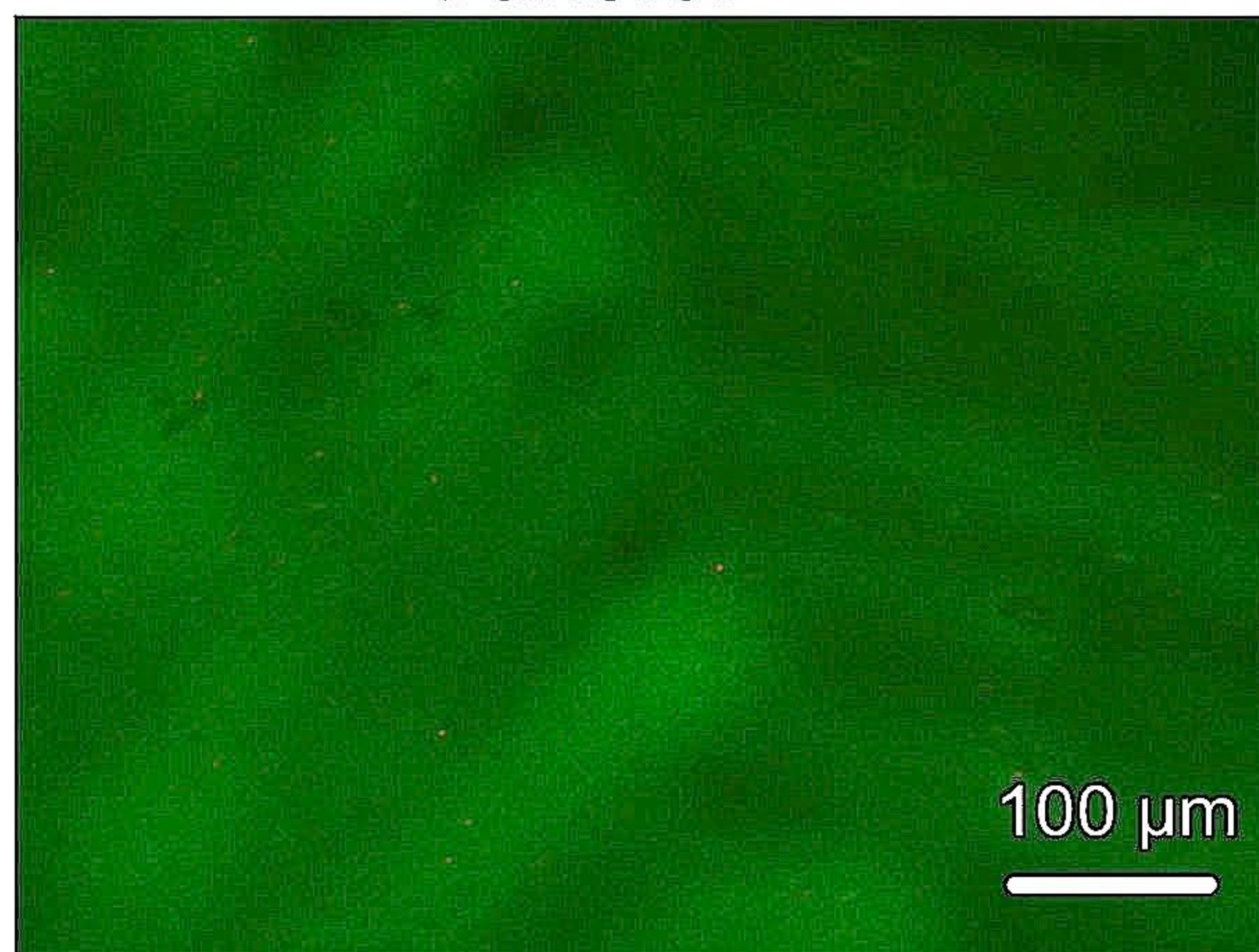
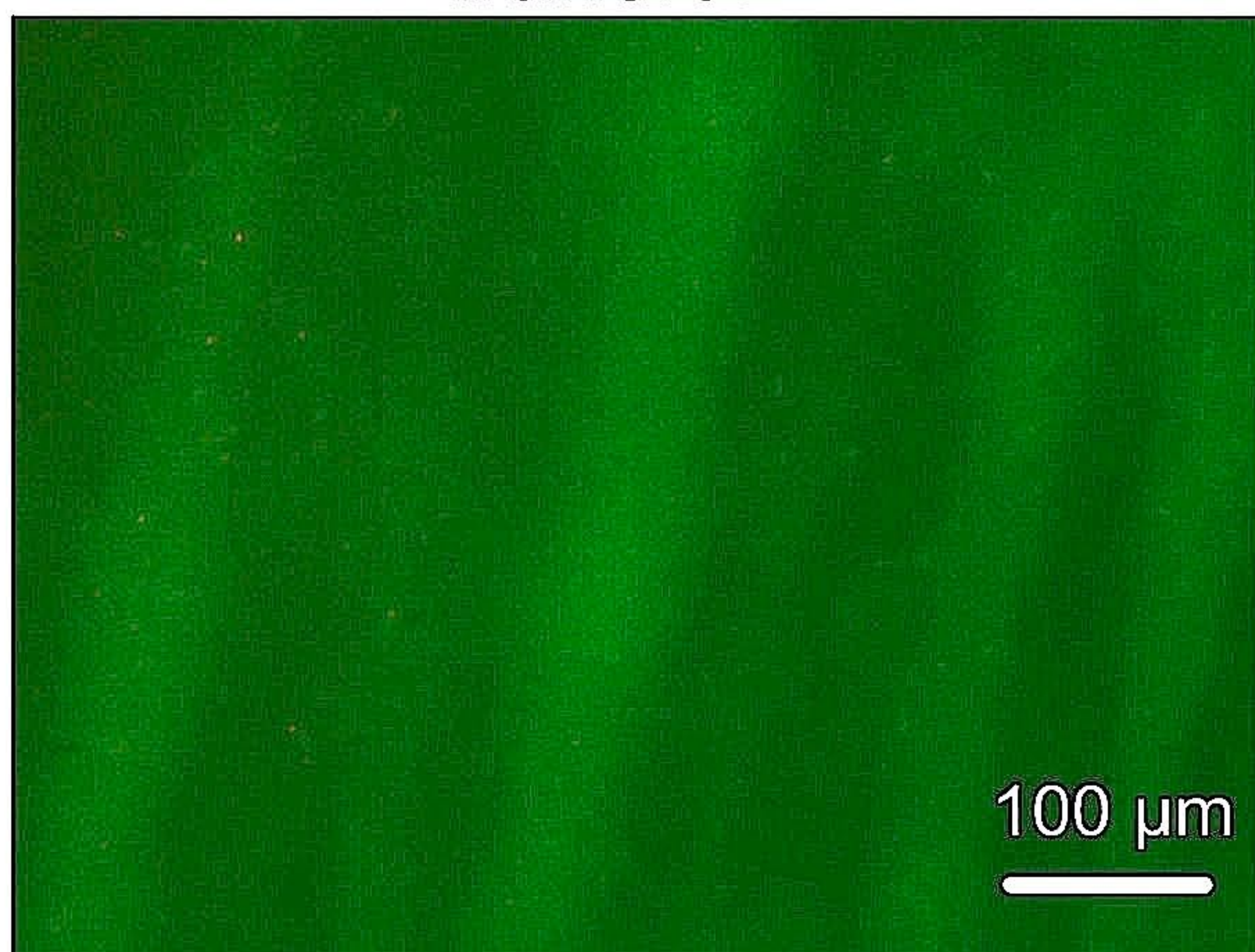
0 g/L glucose



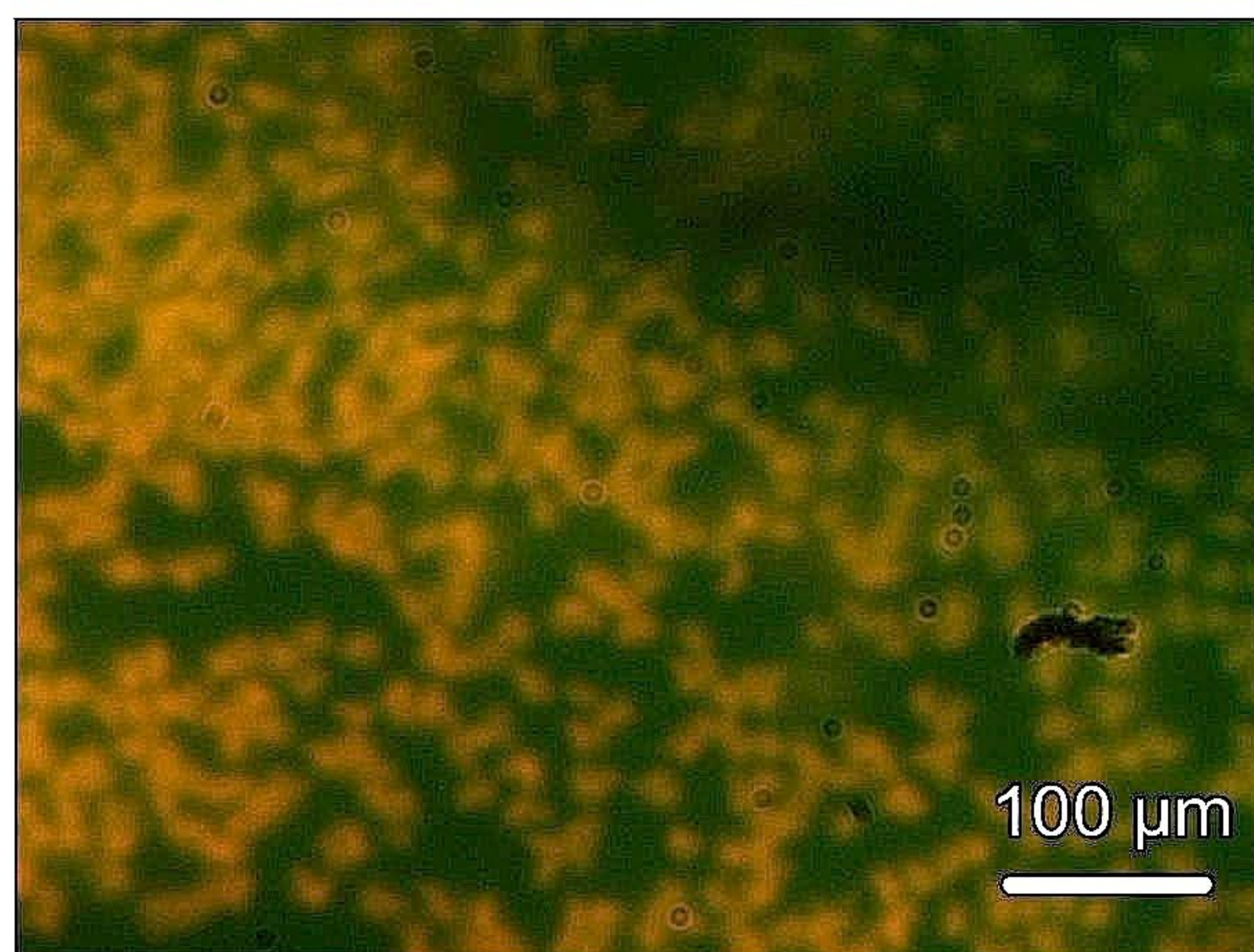
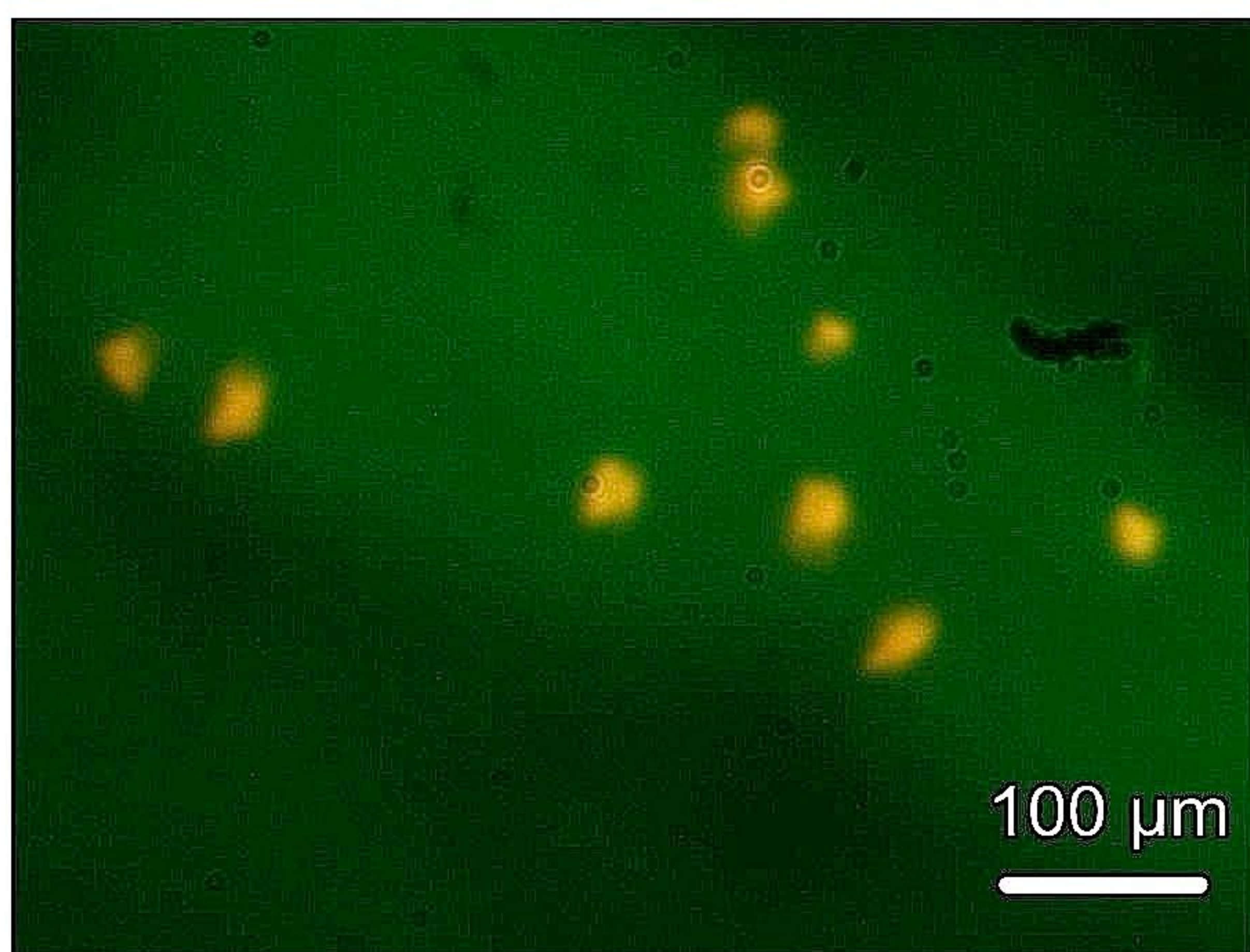
A) Undisturbed filter transfer

B) Disturbed filter transfer

Day 0



Day 2



Day 3

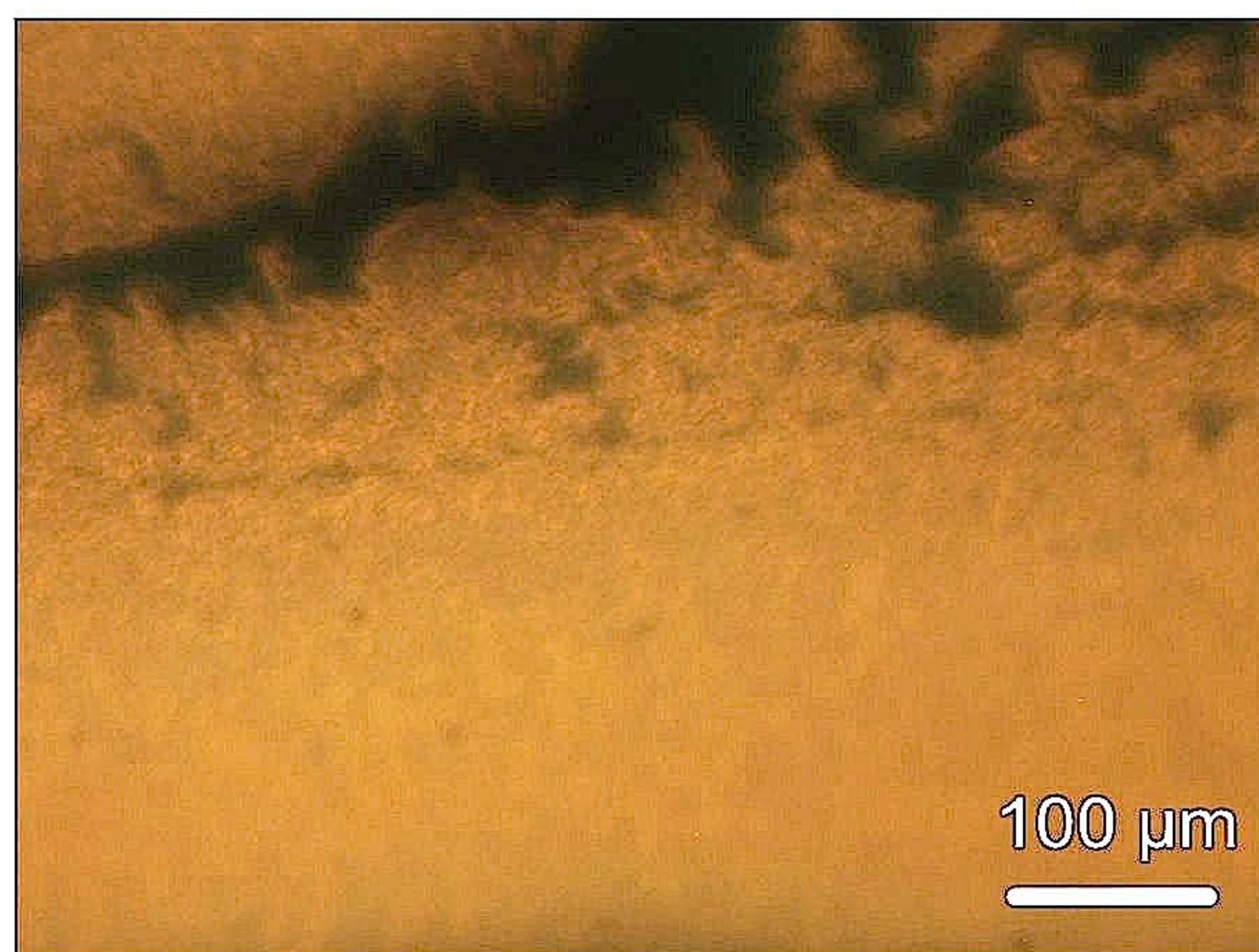
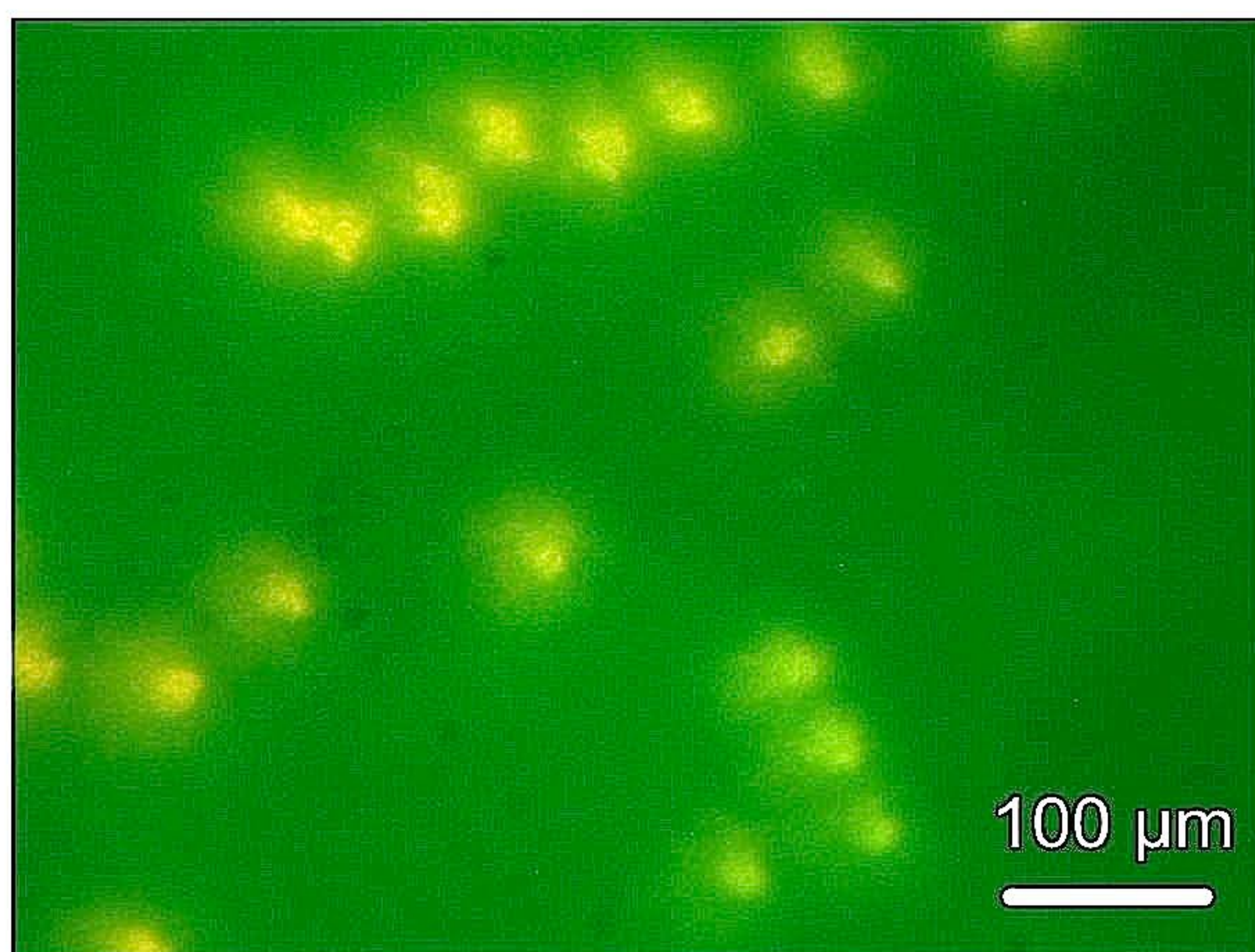


Table 1 Model parameters

Parameter	Definition	Value	Units	Source
M	Maximum number of cells per site	40	cells	Set
R_0	Initial number of recipients per site	4	cells	Set
D_0	Initial number of donors in total	5	cells/grid	Set
d_R	Doubling time of the recipient	80	min	Measured
ψ_T/ψ_R	Relative growth rate of transconjugant to recipient	0.95	1	Measured
ψ_D/ψ_R	Relative growth rate of donor to recipient	0.76	1	Measured
m	Number of active cells per site	16	cells	Parameter fit
L	Period of nutrient availability	9	h	Parameter fit
γ	Conjugation rate	2	h ⁻¹	Parameter fit
p_g	Coupling parameter for growth	0.5	1	Parameter fit
p_c	Coupling parameter for conjugation	0.9	1	Parameter fit