

# CARTIER'S FIRST THEOREM FOR WITT VECTORS ON $\mathbb{Z}_{\geq 0}^n - 0$

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ABSTRACT. We show that the dual of the Witt vectors on  $\mathbb{Z}_{\geq 0}^n - 0$  as defined by Angeltveit, Gerhardt, Hill, and Lindenstrauss represent the functor taking a commutative formal group  $G$  to the maps of formal schemes  $\hat{\mathbb{A}}^n \rightarrow G$ , and that the Witt vectors are self-dual for  $\mathbb{Q}$ -algebras or when  $n = 1$ .

## 1. INTRODUCTION

Hesselholt and Madsen computed the relative K-theory of  $k[x]/\langle x^a \rangle$  for  $k$  a perfect field of positive characteristic in [HM], and give the answer in terms of the Witt vectors of  $k$ . In the analogous computation for the ring  $A = k[x_1, \dots, x_n]/\langle x_1^{a_1}, \dots, x_n^{a_n} \rangle$ , Angeltveit, Gerhardt, Hill, and Lindenstrauss define an  $n$ -dimensional version of the Witt vectors, which they use to express the relative K-theory and topological cyclic homology of  $A$  [AGHL].

We show that the Cartier dual of the additive group underlying their Witt vectors on the truncation set  $\mathbb{Z}_{\geq 0}^n - 0$ , denoted  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}$ , represents the functor taking a commutative formal group  $G$  to the pointed maps of formal schemes  $\hat{\mathbb{A}}^n \rightarrow G$  (Theorem 2.2). We also show that the additive group of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}$  is self dual (Lemma 2.4) when  $n = 1$  or  $R$  is a  $\mathbb{Q}$ -algebra. Combining these results implies that the additive formal group of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}$  represents the functor sending  $G$  to the group of maps  $\hat{\mathbb{A}}^n \rightarrow G$  when  $n = 1$  or  $R$  is a  $\mathbb{Q}$ -algebra. The case of  $n = 1$  is Cartier's first theorem [C] [H, Th. 27.1.14] on the classical Witt vectors.

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## 2. CARTIER'S FIRST THEOREM FOR WITT VECTORS ON $\mathbb{Z}_{\geq 0}^n - 0$

Here is Angeltveit, Gerhardt, Hill, and Lindenstrauss's  $n$ -dimensional version of the Witt vectors, defined in Section 2 of [AGHL]: a set  $S \subseteq \mathbb{Z}_{\geq 0}^n - 0$  is a *truncation set* if

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$(kj_1, kj_2, \dots, kj_n)$  in  $S$  for  $k \in \mathbb{N} = \mathbb{Z}_{>0}$  implies that  $(j_1, j_2, \dots, j_n)$  is in  $S$ . For  $\vec{j} = (j_1, \dots, j_n)$  in  $\mathbb{Z}_{\geq 0}^n - 0$ , let  $\gcd(\vec{j})$  denote the greatest common divisor of the non-zero  $j_i$ . Given a ring  $R$  and a truncation set  $S$ , let the Witt vectors  $\mathbb{W}_S(R)$  be the ring with underlying set  $R^S$  and addition and multiplication defined so that the ghost map

$$\mathbb{W}_S(R) \rightarrow R^S$$

that takes  $\{r_{\vec{i}} : \vec{i} \in S\}$  to  $\{w_{\vec{i}} : \vec{i} \in S\}$  where

$$w_{\vec{i}} = \sum_{k\vec{j}=\vec{i}} \gcd(\vec{j}) r_{\vec{j}}^k$$

is a ring homomorphism, where in the above sum,  $k$  ranges over  $\mathbb{N}$  and  $\vec{j}$  is in  $S$ . In [AGHL], one requires  $S$  to be a subset of  $\mathbb{N}^n$ , but the same proof that there is a unique functorial way to define such a ring structure [AGHL, Lem 2.1] holds for  $S \subseteq \mathbb{Z}_{\geq 0}^n - 0$ . Note that

$$\mathbb{W}_S(R) = \prod_{Z \subseteq \{1, \dots, n\}} \mathbb{W}_{S_Z}(R)$$

where  $S_Z$  is defined  $S_Z = \{(j_1, \dots, j_n) \in S : j_i = 0 \text{ if and only if } i \in Z\}$ , and that for  $S = \mathbb{Z}_{\geq 0}^n - 0$ , we have  $\mathbb{W}_{S_Z}(R) \cong \mathbb{W}_{\mathbb{N}^m}(R)$  with  $m = n - |Z|$ .

Let  $R$  be a ring. For any truncation set  $S$ , the additive group underlying the ring  $\mathbb{W}_S(R)$  determines a commutative group scheme and formal group over  $R$ .

Let  $\hat{\mathbb{A}}^n = \text{Spf } R[[t_1, t_2, \dots, t_n]]$  be formal affine  $n$ -space and consider  $\hat{\mathbb{A}}^n$  as a pointed formal scheme, equipped with the point  $\text{Spf } R \rightarrow \hat{\mathbb{A}}^n$  corresponding to the ideal  $\langle t_1, \dots, t_n \rangle$ . Let  $\text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$  denote the morphisms of pointed formal schemes over  $R$  from  $\hat{\mathbb{A}}^n$  to a pointed formal  $R$ -scheme  $G$ . The identity of a formal group  $G$  gives  $G$  the structure of a pointed formal scheme.

For commutative formal groups  $G_1$  and  $G_2$  over  $R$ , let  $\text{Mor}_{\text{fg}}(G_1, G_2)$  denote the corresponding morphisms.

**2.1. Theorem.** — *Suppose  $R$  is a  $\mathbb{Q}$ -algebra or  $n = 1$ . The additive formal group of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(R)$  represents the functor*

$$G \mapsto \text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$$

*from commutative formal groups over  $R$  to groups, i.e. there is a natural identification*

$$\text{Mor}_{\text{fg}}(\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(R), G) \cong \text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$$

*for commutative formal groups  $G$  over  $R$ .*

Theorem 2.1 is proven by combining Theorem 2.2 and Lemma 2.6 below.

Cartier duality gives a contravariant equivalence between certain topological  $R$ -algebras and  $R$ -coalgebras [H, Prop 37.2.7]. For such a topological  $R$ -algebra (respectively coalgebra)  $B$ , let  $B^*$  denote its Cartier dual

$$B^* = \text{Mor}_R(B, R)$$

where  $\text{Mor}_{\mathbb{R}}(B, \mathbb{R})$  denotes the continuous  $\mathbb{R}$ -module homomorphisms from  $B$  to  $\mathbb{R}$  (respectively the  $\mathbb{R}$ -module homomorphisms from  $B$  to  $\mathbb{R}$ ). Say that an algebra or coalgebra is *augmented* if it is equipped with a splitting of the unit or counit map. It is straightforward to see that Cartier duality induces an equivalence between augmented topological  $\mathbb{R}$ -algebras satisfying the conditions of [H, 37.2.4] and augmented  $\mathbb{R}$ -coalgebras satisfying the conditions of [H, 37.2.5]. Denote the morphisms in the former category by  $\text{Mor}_{\text{top alg}}(-, -)$  and the morphisms in the latter category by  $\text{Mor}_{\text{coalg}}(-, -)$ .

The commutative group scheme determined by the additive group underlying  $\mathbb{W}_{\mathbb{S}}(\mathbb{R})$  has a Cartier dual  $\mathbb{W}_{\mathbb{S}}(\mathbb{R})^*$  which is a topological Hopf algebra or formal group.

**2.2. Theorem.** — *The Cartier dual of the additive group scheme of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$  represents the functor*

$$G \mapsto \text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$$

*from commutative formal groups over  $\mathbb{R}$  to groups, i.e. there is a natural identification*

$$\text{Mor}_{\text{fg}}(\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})^*, G) \cong \text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$$

*for commutative formal groups  $G$  over  $\mathbb{R}$ .*

*Proof.* First assume that the formal group  $G$  is affine. Let  $A$  denote the functions of  $G$ , so  $A$  is a Hopf algebra and  $G = \text{Spf } A$ .

$$\text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G) = \text{Mor}_{\text{top alg}}(A, \mathbb{R}[[t_1, t_2, \dots, t_n]]).$$

By Cartier duality,

$$\text{Mor}_{\text{top alg}}(A, \mathbb{R}[[t_1, t_2, \dots, t_n]]) = \text{Mor}_{\text{coalg}}(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*, A^*).$$

Let  $F$  denote the left adjoint to the functor taking a Hopf algebra (as defined [H, 37.1.7]) to its underlying augmented coalgebra. Since  $A$  is a Hopf algebra, so is  $A^*$ . Therefore,

$$\begin{aligned} \text{Mor}_{\text{coalg}}(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*, A^*) &= \text{Mor}_{\text{Hopf alg}}(F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*), A^*) \\ &= \text{Mor}_{\text{top Hopf alg}}(A, F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*) = \text{Mor}_{\text{fg}}(\text{Spf } F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*, G), \end{aligned}$$

where  $\text{Mor}_{\text{top Hopf alg}}(-, -)$  denotes morphisms of topological Hopf algebras whose underlying topological  $\mathbb{R}$ -algebra is as before.

By Lemma 2.3 proven below, the formal group  $\text{Spf } F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  is isomorphic to the Cartier dual of the additive group scheme of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$ .

Thus  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})^*$  represents the functor  $G \mapsto \text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$  restricted to affine commutative formal groups  $G$ . Since  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})^*$  is an affine formal group, the identity morphism determines an element of  $\text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, \mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})^*)$ , which in turn defines a natural transformation

$$\eta : \text{Mor}_{\text{fg}}(\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})^*, -) \rightarrow \text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, -).$$

For any formal group  $G$ , the sets  $\text{Mor}_{\text{fg}}(\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})^*, G)$  and  $\text{Mor}_{\text{fs}}(\hat{\mathbb{A}}^n, G)$  extend to sheaves on  $\text{Spf } \mathbb{R}$ . Since locally on  $\text{Spf } \mathbb{R}$ , every formal group  $G$  is affine,  $\eta$  is a natural isomorphism.

□

**2.3. Lemma.** — *The group scheme determined by the Hopf algebra  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)$  is isomorphic to the additive group scheme of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n}(\mathbb{R})$ .*

*Proof.* For notational convenience, given  $\vec{I} = (i_1, i_2, \dots, i_n)$  and  $\vec{J} = (j_1, \dots, j_n)$  in  $\mathbb{Z}_{\geq 0}^n$ , let  $t^{\vec{I}} = t_1^{i_1} t_2^{i_2} \cdots t_n^{i_n}$ , and write  $\vec{I} \leq \vec{J}$  when  $i_k \leq j_k$  for all  $k$ .

$\mathbb{R}[[t_1, t_2, \dots, t_n]]^*$  is a free  $\mathbb{R}$ -module on the basis  $\{b_{\vec{I}} : \vec{I} = (i_1, i_2, \dots, i_n) \in \mathbb{Z}_{\geq 0}^n\}$  where  $b_{\vec{I}}$  is dual to  $t_1^{i_1} t_2^{i_2} \cdots t_n^{i_n}$ . The  $\mathbb{R}$ -coalgebra structure is given by the comultiplication

$$(1) \quad b_{\vec{I}} \mapsto \sum_{0 \leq \vec{J} \leq \vec{I}} b_{\vec{J}} \otimes b_{\vec{I}-\vec{J}},$$

and the augmentation  $\mathbb{R} \rightarrow \mathbb{R}[[t_1, t_2, \dots, t_n]]^*$  sends  $r$  to  $rb_{\vec{0}}$ .

It follows that  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)$  is the polynomial algebra

$$\mathbb{R}[b_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n] / \langle b_{\vec{0}} - 1 \rangle$$

with comultiplication equal to the  $\mathbb{R}$ -algebra morphism determined by (1). Thus, for any  $\mathbb{R}$ -algebra  $B$

$$\text{Mor}_{\text{alg}}(F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*), B)$$

is the group under multiplication of power series in  $n$  variables  $t_1, t_2, \dots, t_n$  with leading coefficient 1 and coefficients in  $B$

$$(2) \quad \left\{ 1 + \sum_{\vec{I} \in \mathbb{Z}_{\geq 0}^n - 0} b_{\vec{I}} t^{\vec{I}} : b_{\vec{I}} \in B \right\}.$$

Any such power series can be written uniquely in the form

$$(3) \quad \prod_{\vec{I} \in \mathbb{Z}_{\geq 0}^n - 0} (1 - \alpha_{\vec{I}} t^{\vec{I}})$$

with  $\alpha_{\vec{I}} \in B$ . It follows that  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)$  is isomorphic as a Hopf algebra to the polynomial algebra  $\mathbb{R}[\alpha_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n - 0]$  with comultiplication determined by multiplication of power series of the form (3). By the definition of the Witt vectors, it suffices to show that the Witt polynomials  $\sum_{k\vec{j}=\vec{I}} \text{gcd}(\vec{j}) \alpha_{\vec{j}}^k$  are primitives for this comultiplication for all  $\vec{I}$  in  $\mathbb{Z}_{\geq 0}^n - 0$ . To show this, we may assume that  $\mathbb{R}$  is a free ring, since every ring is a quotient of a free ring. Then  $\mathbb{R}$  embeds into its field of fractions, so we may further assume that  $k$

is invertible for all  $k \in \mathbb{Z}_{>0}$ . Note that

$$\begin{aligned}
\log \prod_{\vec{I} \in \mathbb{Z}_{\geq 0}^n - 0} (1 - a_{\vec{I}} t^{\vec{I}}) &= - \sum_{\vec{I} \in \mathbb{Z}_{\geq 0}^n - 0} \sum_{k \in \mathbb{N}} \frac{a_{\vec{I}}^k}{k} t^{k\vec{I}} \\
&= - \sum_{\vec{I} \in \mathbb{Z}_{\geq 0}^n - 0} \sum_{k\vec{J}=\vec{I}} \frac{a_{\vec{J}}^k}{k} t^{\vec{I}} \\
&= \sum_{\vec{I} \in \mathbb{Z}_{\geq 0}^n - 0} \left( \sum_{k\vec{J}=\vec{I}} \gcd(\vec{J}) a_{\vec{J}}^k \right) \frac{-t^{\vec{I}}}{\gcd(\vec{I})}.
\end{aligned}$$

Thus the group under multiplication with elements (3) is isomorphic to the group with elements  $\{a_{\vec{I}} \in B\}$  and whose group operation is such that  $(\sum_{k\vec{J}=\vec{I}} \gcd(\vec{J}) a_{\vec{J}}^k)$  is an additive homomorphism, i.e. the Witt polynomials  $\sum_{k\vec{J}=\vec{I}} \gcd(\vec{J}) a_{\vec{J}}^k$  are indeed primitives as desired.  $\square$

The additive group scheme of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$  corresponds to a *graded* Hopf algebra, meaning that there is a grading on the underlying  $\mathbb{R}$ -module such that the structure maps are maps of graded  $\mathbb{R}$ -modules. This grading can be defined by giving  $a_{\vec{J}}$  as in Lemma 2.3 degree  $j_1 + j_2 + \dots + j_n$ . A graded Hopf algebra  $B$  whose underlying graded  $\mathbb{R}$ -module is free and finite rank in each degree has a graded Hopf algebra dual  $B^*$  which we define to have  $m$ th graded piece  $\text{Gr}_m B^* = \text{Hom}_{\mathbb{R}}(\text{Gr}_m B, \mathbb{R})$  and

$$B^* = \bigoplus_m \text{Gr}_m B^*.$$

Note the difference with the Cartier dual

$$B^* = \prod_m \text{Gr}_m B^*.$$

Say that a graded Hopf algebra  $B$  is *self dual* if there is an isomorphism  $B \cong B^*$ . An affine group scheme corresponding to a graded Hopf algebra will be called *self dual* if its corresponding graded Hopf algebra is self dual.

**2.4. Lemma.** — *The graded additive group scheme of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$  is self dual if  $\mathbb{R}$  is a  $\mathbb{Q}$ -algebra or if  $n = 1$ .*

*Proof.* We give an isomorphism of graded Hopf algebras

$$F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*) \cong F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$$

which is equivalent to the claim by Lemma 2.3.

We saw above that  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)$  is the polynomial algebra

$$\mathbb{R}[b_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n] / \langle b_{\vec{0}} - 1 \rangle$$

with comultiplication determined by (1). Thus, an  $\mathbb{R}$ -basis for  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)$  is given by the collection of monomials  $b_{\vec{I}_1}^{m_1} b_{\vec{I}_2}^{m_2} b_{\vec{I}_3}^{m_3} \dots b_{\vec{I}_k}^{m_k}$  in the variables  $\{b_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n - 0\}$ . Let

$\mathcal{C} = \{c_{\vec{I}_1^{m_1} \vec{I}_2^{m_2} \vec{I}_3^{m_3} \dots \vec{I}_k^{m_k}} : m_j > 0, \vec{I}_j \in \mathbb{Z}_{\geq 0}^n - 0\}$  denote the dual basis of  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$ . For notational convenience, we will also write  $c_{\vec{I}_1^{m_1} \vec{I}_2^{m_2} \vec{I}_3^{m_3} \dots \vec{I}_k^{m_k}}$  even when some of the  $m_j$  are 0; it is to be understood that such an expression is identified with the corresponding expression with the  $\vec{I}_j^{m_j}$  terms with  $m_j = 0$  removed.

Let  $\{e_1, e_2, \dots, e_n\}$  be the standard basis of  $\mathbb{Z}^n$ , so  $e_1 = (1, 0, 0, \dots, 0)$ ,  $e_2 = (0, 1, 0, \dots, 0)$  etc. For notational convenience, for  $\vec{M} = (m_1, m_2, \dots, m_n)$  in  $\mathbb{Z}_{\geq 0}^n - 0$ , let  $C_{\vec{M}}$  abbreviate  $c_{e_1^{m_1} e_2^{m_2} \dots e_n^{m_n}}$ .

Note that

$$\mu(C_{\vec{M}}) = \sum_{0 \leq \vec{J} \leq \vec{M}} C_{\vec{J}} \otimes C_{\vec{M} - \vec{J}}$$

where  $\mu$  denotes the comultiplication of  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$ .

Sending  $b_{\vec{I}}$  to  $C_{\vec{I}}$  thus defines a morphism of Hopf algebras

$$F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*) \rightarrow F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*,$$

and to prove the lemma it suffices to see that the  $C_{\vec{I}}$  are free  $\mathbb{R}$ -algebra generators of  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  when either  $n = 1$  or  $\mathbb{Q} \subseteq \mathbb{R}$ .

We first show that the  $C_{\vec{I}}$  generate  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  as an  $\mathbb{R}$ -algebra in both cases:

First assume that  $n = 1$ . We show that the  $C_m = c_{e_1^m}$  for  $m = 1, 2, 3, \dots$  generate  $F(\mathbb{R}[[t_1]]^*)^*$  as an  $\mathbb{R}$ -algebra. An arbitrary element  $c$  of  $\mathcal{C}$  is of the form  $c_{i_1, i_2, \dots, i_k}$  with the  $i_k$  not necessarily distinct in  $\mathbb{Z}_{>0}$ . Define the degree of  $c$  to be  $d = \sum_{j=1}^k i_j$ . Assume by induction that any element of  $\mathcal{C}$  of degree less than  $d$  is in the subalgebra generated by the  $C_m$ . Define the length of  $c$  to be  $k$ . The length of  $c$  must be less than or equal to  $d$ . If the length of  $c$  equals  $d$ , then  $c_{i_1, i_2, \dots, i_k} = C_k$  and  $c$  is in the subalgebra. So we may assume by induction that any element of  $\mathcal{C}$  of degree  $d$  and length greater than  $k$  is in the subalgebra. The multiplication on  $F(\mathbb{R}[[t_1]]^*)^*$  is dual to

$$b_{i_1} b_{i_2} b_{i_3} \cdots b_{i_k} \mapsto \prod_{j=1}^k \left( \sum_{0 \leq J \leq i_j} b_J \otimes b_{i_j - J} \right).$$

Thus the difference

$$c - c_{i_1-1, i_2-1, \dots, i_k-1} C_k$$

is a sum of terms of degree  $d$  and length greater than  $k$ . It follows by induction that the  $C_m = c_{e_1^m}$  generate  $F(\mathbb{R}[[t_1]]^*)^*$  as claimed.

Now let  $n$  be arbitrary. Consider the map  $f : \mathbb{R}[[t]] \rightarrow \mathbb{R}[[t_1, \dots, t_n]]$  defined by

$$f(t) = t_1 + t_2 + \dots + t_n.$$

There is an induced map

$$f : F(\mathbb{R}[[t_1, \dots, t_n]]^*) \cong \mathbb{R}[b_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n - 0] \rightarrow \mathbb{R}[b_m : m \in \mathbb{Z}_{>0}] \cong F(\mathbb{R}[[t]]^*)$$

which is determined by the following calculation of  $f(\mathbf{b}_{\vec{I}})$  for  $\vec{I} = (i_1, i_2, \dots, i_n)$ .

$$\begin{aligned} f(\mathbf{b}_{\vec{I}})(t^m) &= \mathbf{b}_{\vec{I}}(f(t^m)) = \mathbf{b}_{\vec{I}}(t_1 + \dots + t_n)^m \\ &= \mathbf{b}_{\vec{I}}\left(\sum_{a_1, \dots, a_n \geq 0} \binom{m}{a_1 a_2 \dots a_n} t_1^{a_1} t_2^{a_2} \dots t_n^{a_n}\right), \end{aligned}$$

where the sum runs over non-negative  $a_i$  whose sum is  $m$  and where

$$\binom{m}{a_1 a_2 \dots a_n} = \frac{m!}{a_1! a_2! \dots a_n!}.$$

Thus

$$f(\mathbf{b}_{\vec{I}}) = \binom{d}{i_1 i_2 \dots i_n} \mathbf{b}_d,$$

where  $d = \sum_{j=1}^n i_j$ . There is likewise an induced map

$$f : F(\mathbb{R}[[t]]^*)^* \cong \mathbb{R}[c_{i_1, i_2, \dots, i_k} : i_j \in \mathbb{Z}_{>0}] \rightarrow \mathbb{R}[c_{\vec{I}_1, \vec{I}_2, \dots, \vec{I}_k} : \vec{I}_j \in \mathbb{Z}_{\geq 0}^n - 0] \cong F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*.$$

By calculation as above, this map satisfies

$$f(C_m) = \sum_{\text{degree } \vec{I}=m} C_{\vec{I}}$$

where the sum runs over  $\vec{I} \in \mathbb{Z}_{\geq 0}^n$  of degree  $m$ , and

$$f(c_m) = \sum_{\text{degree } \vec{I}=m} \binom{m}{\vec{I}} c_{\vec{I}},$$

where

$$\binom{m}{\vec{I}} c_{\vec{I}} = \binom{m}{i_1 i_2 \dots i_n}$$

when  $\vec{I} = (i_1, i_2, \dots, i_n)$ . By the  $n = 1$  case,  $f(c_m)$  is in the  $\mathbb{R}$ -subalgebra generated by the  $f(C_m)$ . Since  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  is a  $\mathbb{Z}^n$ -graded Hopf algebra, it follows that the homogenous pieces of  $f(c_m)$  are in the  $\mathbb{R}$ -subalgebra generated by the homogeneous pieces of  $f(C_m)$ . Thus  $\binom{m}{\vec{I}} c_{\vec{I}}$  is in the  $\mathbb{R}$ -subalgebra generated by the  $C_{\vec{I}}$ . Since  $\binom{m}{\vec{I}}$  is invertible in  $\mathbb{R}$ , it follows that  $c_{\vec{I}}$  is in this subalgebra.

An arbitrary element  $c$  of  $\mathcal{C}$  is of the form  $c_{\vec{I}_1, \vec{I}_2, \dots, \vec{I}_k}$ . The multiplication on  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  is dual to

$$\mathbf{b}_{\vec{I}_1} \mathbf{b}_{\vec{I}_2} \mathbf{b}_{\vec{I}_3} \dots \mathbf{b}_{\vec{I}_k} \mapsto \prod_{j=1}^k \left( \sum_{0 \leq \vec{J} \leq \vec{I}_j} \mathbf{b}_{\vec{J}} \otimes \mathbf{b}_{\vec{I}_j - \vec{J}} \right).$$

It follows that the difference  $c - c_{\vec{I}_1, \vec{I}_2, \dots, \vec{I}_{k-1}} c_{\vec{I}_k}$  is a linear combination of elements of  $\mathcal{C}$  of length less than  $k$ . Thus  $c$  is in the  $\mathbb{R}$ -subalgebra generated by the  $C_{\vec{I}}$  by induction on the length  $k$ .

We now show that there are no relations among the  $C_{\vec{I}}$  i.e. that the distinct monomials  $C_{\vec{I}_1} C_{\vec{I}_2} \dots C_{\vec{I}_k}$  form an  $\mathbb{R}$ -linearly independent subset of  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$ :

Fix  $\vec{M}$  in  $\mathbb{Z}_{\geq 0}^n - 0$ . Let  $\mathcal{I}$  denote the set of finite sets  $\{\vec{I}_1, \vec{I}_2, \dots, \vec{I}_k\}$  with  $\vec{I}_j$  in  $\mathbb{Z}_{\geq 0}^n - 0$  and  $\sum_{j=1}^k \vec{I}_j = \vec{M}$ . For  $S$  in  $\mathcal{I}$  with  $S = \{\vec{I}_1, \vec{I}_2, \dots, \vec{I}_k\}$ , let  $C_S = \prod_{j=1}^k C_{\vec{I}_j}$  in  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$

and let  $c_S = c_{\vec{i}_1 \vec{i}_2 \dots \vec{i}_k}$  in  $\mathcal{C}$ . Note that for all  $S$  in  $\mathcal{I}$ ,  $C_S$  is in the sub-R-module  $\mathcal{F}_{\vec{M}}$  spanned by  $\{c_S : S \in \mathcal{I}\}$ . By the above,  $\{C_S : S \in \mathcal{I}\}$  spans  $\mathcal{F}_{\vec{M}}$ . Since  $\mathcal{F}_{\vec{M}}$  is isomorphic to  $\mathbb{R}^N$  where  $N$  is the (finite) cardinality of  $\mathcal{I}$ , any spanning set of size  $N$  is also a basis [AM, Ch 3 Exercise 15]. In particular  $\{C_S : S \in \mathcal{I}\}$  is an R-linearly independent set. Since any monomial in the  $C_{\vec{I}}$  is of the form  $C_S$  for some  $\vec{M}$ , it follows that the distinct monomials in the  $C_{\vec{I}}$  form a linearly independent set.  $\square$

**2.5. Remark.** The  $C_{\vec{I}}$  do not generate  $F(\mathbb{R}[[t_1, t_2, t_3]]^*)^*$  when 2 is not invertible in  $\mathbb{R}$  as can be checked by computing that the homogenous degree-(1, 1, 1) component of the R-subalgebra generated by the  $C_{\vec{I}}$  is the span of the following five vectors

$$\begin{aligned} C_{e_1} C_{e_2} C_{e_3} &= c_{(1,1,1)} + c_{(1,1,0)(0,0,1)} + c_{(1,0,1)(0,1,0)} + c_{(0,1,1)(1,0,0)} + c_{e_1 e_2 e_3}, \\ C_{(0,1,1)} C_{e_1} &= c_{(1,1,0)(0,0,1)} + c_{(1,0,1)(0,1,0)} + c_{e_1 e_2 e_3} \\ C_{(1,0,1)} C_{e_2} &= c_{(0,1,1)(1,0,0)} + c_{(1,1,0)(0,0,1)} + c_{e_1 e_2 e_3} \\ C_{(1,1,0)} C_{e_3} &= c_{(1,0,1)(0,1,0)} + c_{(0,1,1)(1,0,0)} + c_{e_1 e_2 e_3} \\ C_{(1,1,1)} &= c_{e_1 e_2 e_3}. \end{aligned}$$

**2.6. Lemma.** — If  $\mathbb{R}$  is a  $\mathbb{Q}$ -algebra or if  $n = 1$ , the Cartier dual of the additive group scheme of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$  is the formal group associated to the additive group of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$ .

*Proof.* By Lemma 2.3, the claim is equivalent to showing that the topological Hopf algebra  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  is the ring of functions of the formal group associated to the additive group of  $\mathbb{W}_{\mathbb{Z}_{\geq 0}^n - 0}(\mathbb{R})$ .

The Cartier dual  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$  of the Hopf algebra  $F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)$  is the product

$$F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^* \cong \prod_{m=0}^{\infty} \text{Gr}_m F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^*$$

over  $m$  of the  $m$ th graded pieces of the graded Hopf algebra dual. By Lemma 2.4,

$$F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^* \cong F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*) \cong \mathbb{R}[\mathbf{b}_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n] / \langle \mathbf{b}_{\vec{0}} - 1 \rangle,$$

with comultiplication determined by (1). So

$$\prod_{m=0}^{\infty} \text{Gr}_m F(\mathbb{R}[[t_1, t_2, \dots, t_n]]^*)^* \cong \mathbb{R}[\mathbf{b}_{\vec{I}} : \vec{I} \in \mathbb{Z}_{\geq 0}^n] / \langle \mathbf{b}_{\vec{0}} - 1 \rangle,$$

and applying Lemma 2.3 completes the proof.  $\square$

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