

# Lecture 9: Alexander Duality

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Let  $X$  be a finite CW complex embedded cellularly into  $S^n$ , i.e.  $X \hookrightarrow S^n$ . Let  $A \subset S^n - X$  be a finite CW complex such that  $A$  is homotopy equivalent to  $S^n - X$ .

**Example 1.1.**  $X = S^{p-1}$ ,  $A = S^{q-1}$ ,  $n = p + q - 1$ .

The *join* of  $X$  and  $A$  is  $X \star A = X \times [0, 1] \times A / \sim$  where  $(x, 0, a_1) \sim (x, 0, a_2)$  and  $(x_1, 1, a) \sim (x_2, 1, a)$ . It can be expressed as the colimit or push-out

$$\begin{array}{ccc} X \times A & \longrightarrow & \mathcal{C}X \times A, \\ \downarrow & & \downarrow \\ X \times \mathcal{C}A & \longrightarrow & X \star A \end{array}$$

where  $\mathcal{C}X$  denotes the non-reduced cone on  $X$ . (Push-out means a colimit of this shape.) There is a homotopy equivalence  $X \star A \rightarrow \Sigma(X \wedge A)$ . You can see this by hand, or alternatively note that the columns of the diagram

$$\begin{array}{ccccc} X & \longleftarrow & X \vee A & \longrightarrow & A \\ \downarrow = & & \downarrow & & \downarrow = \\ X & \longleftarrow & X \times A & \longrightarrow & A \\ \downarrow & & \downarrow & & \downarrow \\ * & \longleftarrow & X \wedge A & \longrightarrow & * \end{array}$$

are cofiber sequences. Take the homotopy push-out of all rows to obtain the cofiber sequence

$$* \rightarrow ? \rightarrow \Sigma(X \wedge A),$$

where  $?$  is the push-out of

$$X \leftarrow X \times A \rightarrow A.$$

This gives that  $\star$  is  $\Sigma(X \wedge A)$ . On the other hand,  $\star$  is homotopy equivalent to the push-out of

$$X \times \mathcal{C}A \leftarrow X \times A \rightarrow \mathcal{C}X \times A,$$

which is  $X \star A$ .

Assume for the moment that no point of  $X$  is antipodal to a point of  $A$ . Then we have a map  $X \star A \rightarrow S^n$  given by  $(x, t, a) \mapsto \gamma_{x,a}(t)$ , where  $\gamma_{x,a} : [0, 1] \rightarrow S^n$  is the geodesic of length one from  $x$  to  $a$ . Thus we have a map  $\Sigma X \wedge A \rightarrow S^n$ . In the stable homotopy category, we therefore have a map  $\Sigma^{-(n-1)}A \wedge X \rightarrow S^0$ . It follows that we have a natural map  $\Sigma^{-(n-1)}A \rightarrow F(X, S^0) = DX$ .

If there is a pair of antipodal points, one in  $X$  and one in  $A$ , we still have an analogous map  $\Sigma^{-(n-1)}A \rightarrow DX$ . Namely, wiggle  $A$  so there is a point of  $S^n$  that is not in  $X \cup A$ . Fix a point  $p$  not in  $X \cup A$ . Let  $\text{St} : S^n - \{p\} \rightarrow \mathbb{R}^n$  denote the stereographic projection. We obtain a map  $\mu : X \times A \rightarrow S^{n-1}$  by  $\mu(x, a) = (\text{St}(x) - \text{St}(a)) / |\text{St}(x) - \text{St}(a)|$ . Given a map  $X \times A \rightarrow Z$ , the *Hopf construction* is the map  $X \star A \rightarrow \Sigma Z$  obtained by the morphism of pushout diagrams from the push-out diagram formed by the front face to the push-out diagram formed by the back face

$$\begin{array}{ccccc}
 & & Z & \xrightarrow{\quad} & \mathcal{C}Z \\
 & \nearrow & \downarrow & & \nearrow \\
 X \times A & \xrightarrow{\quad} & \mathcal{C}X \times A & & \\
 \downarrow & & \downarrow & & \downarrow \\
 & \nearrow & \mathcal{C}Z & \xrightarrow{\quad} & \Sigma Z \\
 X \times \mathcal{C}A & \xrightarrow{\quad} & X \star A & & 
 \end{array}$$

There are nice pictures in [M, p. 54].

Applying the Hopf construction to  $\mu$  we obtain the desired map  $X \star A \rightarrow \Sigma S^{n-1}$ , whence  $\Sigma^{-(n-1)}A \rightarrow DX$ .

We will show that  $\Sigma^{-(n-1)}A \rightarrow DX$  is an isomorphism in the stable homotopy category. We will use the following facts.

1. Let  $Z$  be a spectrum and let  $Y$  be a finite spectra. Then  $DY \wedge Z \cong F(Y, Z)$ .

*Proof.* By the definition of  $F(Y, Z)$ , what we need to show is that for any spectrum  $X$ , we have  $[X, DY \wedge Z] \cong [X \wedge Y, Z]$ . This was Exercise 1.3 (1) and (2) from L8.  $\square$

2.  $\pi_r F(Y, Z) = [Y, Z]_r$ .

*Proof.* By Lemma 1.2 of Lecture 4, which is [A, III Prop 2.8], we have  $\pi_r F(Y, Z) \cong [\Sigma^\infty S^r, F(Y, Z)]$ . By the definition of  $F(Y, Z)$  we have  $[\Sigma^\infty S^r, F(Y, Z)] \cong [S^r \wedge Y, Z]$ . By our identification of desuspension with a shift, we also have suspension identified with a shift. It follows that  $[S^r \wedge Y, Z] \cong [Y, Z]_r$ .  $\square$

3. Let  $Y$  be a finite spectrum. Suppose  $[Y, H\mathbb{Z}]_r = 0$  for all  $r \in \mathbb{Z}$ . Then  $Y \cong *$ .

*Proof.* Choose  $n$  such that  $Y_n$  contains representatives for all the stable cells. There is a finite subcomplex  $K \subset Y_n$  containing all the chosen representatives. By replacing  $K$  by its suspension  $\Sigma K \subset Y_{n+1}$ , we may assume that  $K$  is simply connected. This inclusion induces a map  $\Sigma^{-n} K \rightarrow Y$  which is the inclusion of a cofinal subspectrum, and therefore an isomorphism in the stable homotopy category. Thus  $0 = [\Sigma^{-n} K, H\mathbb{Z}]_r \cong [K, H\mathbb{Z}]_{r-n} \cong \tilde{H}^{n-r}(K, \mathbb{Z})$  by Lecture 4. This implies that  $K$  is null-homotopic by the Hurewicz theorem and the universal coefficient theorem.  $\square$

4. If  $X$  is a CW complex,  $\pi_r(X \wedge H\mathbb{Z}) \cong \tilde{H}_r(X, \mathbb{Z})$ . We'll postpone the proof of this until we do generalized homology. For any spectrum  $E$ , the generalized reduced  $E$ -homology of  $X$  is  $E_r X = \pi_r(X \wedge E)$ .

**Theorem 1.2.** (*Alexander Duality*) *Let  $X$  be a finite CW complex embedded into  $S^n$ , such that  $S^n - X$  is homotopy equivalent to a finite CW complex. Then*

$$DX \cong \Sigma^{-(n-1)}(S^n - X)$$

*is an isomorphism in the stable homotopy category. Furthermore, for any spectrum  $E$ ,*

$$E^r X \cong E_{n-r-1}(S^n - X) \tag{1}$$

$$E_r X \cong E^{n-1-r}(S^n - X). \tag{2}$$

*For example,  $\tilde{H}_r(X, \mathbb{Z}) \rightarrow \tilde{H}^{n-1-r}(S^n - X, \mathbb{Z})$  is an isomorphism.*

The assertion  $DX \cong \Sigma^{-(n-1)}(S^n - X)$  implies the other assertions of the theorem as follows. We have a map  $DX \rightarrow \Sigma^{-(n-1)}(S^n - X)$ , which we are assuming to be an isomorphism. Smashing with  $E$  and taking  $\pi_r$  shows (1). Changing the roles of  $S^n - X$  and  $X$ , as well as changing  $r$  to  $n - 1 - r$ , shows (2).

To prove Theorem 1.2, we first show that the converse of this implication holds as well.

**Proposition 1.3.** *If the induced map  $\tilde{H}_r(X, \mathbb{Z}) \rightarrow \tilde{H}^{n-1-r}(S^n - X, \mathbb{Z})$  is an isomorphism, then  $\Sigma^{-(n-1)}A \rightarrow F(X, S^0)$  is an isomorphism in the stable homotopy category.*

*Proof.* It suffices to show that this map is an isomorphism on  $\pi_r$ . Since a cofiber sequence induces a long exact sequence in homotopy groups, it suffices to show that the cofiber  $Y = F(X, S^0) \cup C(\Sigma^{-(n-1)}A)$  of  $\Sigma^{-(n-1)}A \rightarrow F(X, S^0)$  satisfies  $\pi_r Y = 0$ . By (3), we may show  $[Y, H\mathbb{Z}]_r = 0$ . Since

$$\Sigma^{-(n-1)}A \rightarrow F(X, S^0) \rightarrow Y$$

is a cofiber sequence, we have that

$$DY \rightarrow X \rightarrow D(\Sigma^{-(n-1)}A)$$

is a cofiber sequence. Since smashing with  $H\mathbb{Z}$  preserves cofiber sequences, we have that

$$DY \wedge H\mathbb{Z} \rightarrow X \wedge H\mathbb{Z} \rightarrow D(\Sigma^{-(n-1)}A) \wedge H\mathbb{Z}$$

is a cofiber sequence. By (1),  $DY \wedge H\mathbb{Z} = F(Y, H\mathbb{Z})$ . By (2), we have that  $\pi_r F(Y, H\mathbb{Z}) = [Y, H\mathbb{Z}]_r$ . Thus it suffices to show that  $X \wedge H\mathbb{Z} \rightarrow D(\Sigma^{-(n-1)}A) \wedge H\mathbb{Z}$  induces an isomorphism on  $\pi_r$  for all  $r$ . By (4),  $\pi_r(X \wedge H\mathbb{Z}) \cong \tilde{H}_r(X, \mathbb{Z})$ . By (1) and (2) and Prop 1.1 Lecture 4, we have  $\pi_r D(\Sigma^{-(n-1)}A) \wedge H\mathbb{Z} \cong \tilde{H}^{n-1-r}(A, \mathbb{Z})$ . Thus what we have to show is that the constructed natural map

$$\tilde{H}_r(X, \mathbb{Z}) \rightarrow \tilde{H}^{n-1-r}(A, \mathbb{Z})$$

is an isomorphism, which is our hypothesis.  $\square$

The assertion  $\tilde{H}_r(X, \mathbb{Z}) \rightarrow \tilde{H}^{n-1-r}(A, \mathbb{Z})$  is also called Alexander duality, which is Proposition 1.4, or [H, Theorem 3.44].

**Proposition 1.4.**  $H_r(X, \mathbb{Z}) \rightarrow H^{n-1-r}(A, \mathbb{Z})$  is an isomorphism.

*Proof.* We've seen that Proposition 1.4 holds if and only if  $\Sigma^{-(n-1)}A \rightarrow F(X, S^0)$  is an isomorphism in the stable homotopy category. We show both by induction on the number of cells of  $X$ . If  $X$  has one cell,  $X$  is a point and the claim holds. Since gluing on a cell can be expressed as a cofiber sequence with a sphere, let's also do the case where  $X$  is a sphere. If  $X$  is embedded as a lower dimensional equator, then we can see directly that the complement  $A \cong S^n - X$  is as in Example 1.1. However, part of what we need to show is that the claims hold for all the embeddings. We proved [H, Proposition 2B.1] last semester in Math 6441, which computes the homology of  $S^n - S^k$  for any embedding, and this shows the claim for a sphere. We should really be showing that all these maps are compatible, so here is another way of doing the case where  $X$  is a sphere.

If  $H : X \times [0, 1] \rightarrow S^n$  is an isotopy between  $f : X \rightarrow S^n$  and  $g : X \rightarrow S^n$ , then the maps

$$S^n - f(X) \rightarrow S^n \times [0, 1] - H(X \times [0, 1]) \rightarrow S^n \times [0, 1] - f(X) \rightarrow S^n - f(X)$$

define a homotopy equivalence between  $S^n - f(X)$  and  $S^n \times [0, 1] - H(X \times [0, 1])$ . Running the same argument with  $g$  replacing  $f$ , we have that  $S^n - f(X)$  is homotopy equivalent to  $S^n - g(X)$ . Now note that if  $X \subset S^n$  is an embedding, and if we then embed  $S^n \subset S^{n+1}$  along the equator, we get a new embedding  $X \subset S^{n+1}$  such that  $S^{n+1} - X \cong \Sigma(S^n - X)$ . If we prove that  $DX \cong \Sigma^{-(n+1)-1}(S^{n+1} - X)$ , we then have  $DX \cong \Sigma^{-(n+1)-1}\Sigma(S^n - X) \cong \Sigma^{-(n-1)}(S^n - X)$ , which is what we wanted to show. In other words, given an embedding  $X \subset S^n$ , we may assume that  $n$  is as large as we wish. For  $n$  sufficiently large, the Whitney embedding theorem says that all embeddings are isotopic, so we may use Example 1.1 to prove the general case when  $X$  is a sphere. So, we have the case where  $X$  has one cell, and the case where  $X$  is a sphere.

For the inductive step, it remains to show that if  $B \rightarrow X \rightarrow Y$  is a cofiber sequence such that  $B$  and  $X$  satisfy Proposition 1.4 (for any embedding satisfying the hypotheses), then  $Y$  satisfies Proposition 1.4. If  $B \subset S^m$  and  $X \subset S^p$ , then the mapping cylinder of  $B \rightarrow X$  is embedded inside  $S^m \star S^p \cong S^{m+p+1}$  (see [A, p. 191]). Thus we may assume that  $B \subset X$  are embedded in a single sphere  $S^n$ . Since taking duals preserves cofiber sequences,

$$DY \rightarrow DX \rightarrow DB$$

is a cofiber sequence. By the inductive hypothesis,  $DX \cong \Sigma^{-(n-1)}(S^n - X)$  and  $DB \cong \Sigma^{-(n-1)}(S^n - B)$ . So we are reduced to showing that

$$\Sigma^{-(m-1)}(S^m - Y) \rightarrow \Sigma^{-(n-1)}(S^n - X) \rightarrow \Sigma^{-(n-1)}(S^n - B)$$

is a cofiber sequence for  $Y$  embedded in some  $S^m$ . Embedding  $S^n$  into  $S^{m+1}$  along the equator, and choose  $m = n + 1$ . Then the cone on  $B$  can be moved into the north pole of  $S^{m+1}$ , giving an embedding of  $Y$ . From the picture, we see that

$$(S^n - X) \rightarrow (S^n - B) \rightarrow (S^{n+1} - Y)$$

is a cofiber sequence. Note that this also shows that any finite CW complex can be embedded in some sphere.

Now, we really want to start with  $Y \subset S^m$  for some  $m$  satisfying the hypothesis and show the claim for this embedding. So, choose a top cell  $D$  of  $Y$ , and express  $Y$  as a cofiber sequence  $S^d = B = \partial D \rightarrow X \rightarrow Y$  all embedded in  $S^m$ . We are assuming  $Y \subset S^m$ . We embed  $S^m$  as the equator in  $S^{m+1}$ . Then the complement of  $Y$  in  $S^{m+1}$  is  $S^{m+1} - Y = \Sigma(S^m - Y)$ . So showing the claim for this embedding in  $S^{m+1}$  shows the claim for the embedding we started with.

We claim that this equatorial embedding of  $Y$  has equivalent complement to the embedding of  $Y$  we just constructed associated to  $B \rightarrow X$  in  $S^m$ . Both embeddings are formed by filling in an embedded disk to the same embedded circle in  $S^{m+1}$ , and by moving the equatorial embedding into the upper hemisphere, we get a family of embeddings, giving a homotopy equivalence of the complements.  $\square$

## References

- [A] J.F. Adams, *Stable Homotopy and Generalized Homology* Chicago Lectures in Mathematics, The University of Chicago Press, 1974.
- [H] Allen Hatcher, *Algebraic Topology*.
- [M] Haynes Miller, *Vector Fields on spheres, etc. (course notes)*.