

# Binomial Exercises

## Lecture I. Toric ideals

1. Exhibit a point configuration  $A$  whose affine semigroup  $\mathbb{N}A$  does not consist of the intersection of the lattice  $\mathbb{Z}A$  spanned by the columns of  $A$  with the real cone generated by  $A$ .
2. Prove that the affine toric variety  $Y_A$  for

$$A = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

is the affine cone over the product  $\mathbb{P}^1 \times \mathbb{P}^1$  of two projective lines. What does this have to do with the geometry and combinatorics of a square?

3. Let  $A$  be any matrix for the quotient of  $\mathbb{Z}^6$  modulo the sublattice  $L \subseteq \mathbb{Z}^6$  spanned by

$$\begin{bmatrix} 1 \\ 1 \\ 0 \\ -1 \\ -1 \\ 0 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \\ -1 \\ -1 \end{bmatrix}.$$

Show that the real cone  $\mathbb{R}_{\geq 0}A$  generated by the affine semigroup  $\mathbb{N}A$  is the cone over a triangular prism. Use any method you can think of (perhaps with the aid of a computer) to write down generators for the toric ideal  $I_A$ .

4. Let  $L \subseteq \mathbb{Z}^n$  be an unsaturated sublattice.
  - (i) Is it possible for  $I_\rho \subseteq \mathbb{C}[\partial]$  to be prime for some choice of character  $\rho : L \rightarrow \mathbb{C}^*$ ?
  - (ii) What would happen if  $\mathbb{C}[\partial]$  were replaced by  $\mathbb{k}[\partial]$  for an algebraically closed field  $\mathbb{k}$  of positive characteristic?
  - (iii) What if  $\mathbb{k}$  is allowed to have arbitrary characteristic, but is not required to be algebraically closed?

# Binomial Exercises

## Lecture II. Binomial primary decomposition

1. Let  $A = \begin{bmatrix} 0 & 1 & 2 & 3 \\ 3 & 2 & 1 & 0 \end{bmatrix}$ . In the primary decomposition

$$\langle \partial_2^2 - \partial_1 \partial_3, \partial_3^2 - \partial_2 \partial_4 \rangle = \langle \partial_2^2 - \partial_1 \partial_3, \partial_3^2 - \partial_2 \partial_4, \partial_2 \partial_3 - \partial_1 \partial_4 \rangle \cap \langle \partial_2, \partial_3 \rangle$$

determine whether each of the primary components is toral or Andean.

2. Let  $\mathfrak{p}$  and  $\mathfrak{q}$  be primes with  $\mathfrak{p} \subseteq \mathfrak{q}$ . Prove that if  $\mathfrak{q}$  is Andean, then so is  $\mathfrak{p}$ .

3. Let  $B = \begin{bmatrix} 1 & -5 & 0 \\ -1 & 1 & -1 \\ 0 & 3 & 1 \end{bmatrix}$ . Enumerate all of the equivalence classes on  $\mathbb{N}^3$  determined by  $I(B)$ . Can a computer help you do this? Draw a picture of every equivalence class.

4. Let  $A = [5 \ 4 \ 3]$  and  $B = \begin{bmatrix} -1 & 2 \\ 2 & -1 \\ -1 & -2 \end{bmatrix}$ . Then  $I(B) = \langle \partial_2^2 - \partial_1 \partial_3, \partial_1^2 - \partial_2 \partial_3^2 \rangle$ . Let  $I_{\rho, J} = \langle \partial_1, \partial_2 \rangle$ , so that  $J = \{3\}$  and  $\rho : L \rightarrow \mathbb{C}^*$  for the lattice  $L = \{0\}$ . Note that  $I_\rho = \langle 0 \rangle$ .

- (i) What are all of the equivalence classes on  $\mathbb{Z}^J \times \mathbb{N}^{\bar{J}} = \mathbb{N}^2 \times \mathbb{Z}$  determined by  $I(B)$ ? (Hint: Project every equivalence class onto  $\mathbb{N}^2$  and try to draw it there.)
- (ii) Determine every  $u \in \mathbb{N}^3$  that lies in a finite equivalence class on  $\mathbb{N}^2 \times \mathbb{Z}$ .
- (iii) Use your answers to write down the  $I_{\rho, J}$ -primary component of  $I(B)$ .
- (iv) What is the primary decomposition of  $I(B)$ ?

A computer could give you hints by finding the answers to (iii) and (iv) directly. (A free beer to anyone who can get a computer to find the answers to (i) and (ii) directly, without using the combinatorial primary decomposition theorem. A published paper to anyone who can write down an algorithm to do it in general.)

- 5\* Let  $\mathbb{k}$  be a field of characteristic  $p > 0$ . Assuming that  $I_{\rho, J}$  is minimal over a binomial ideal  $I \subseteq \mathbb{k}[\partial]$ , find a combinatorial characterization of the  $I_{\rho, J}$ -primary component of  $I$ . (It is known [Eisenbud & Sturmfels, *Binomial ideals*, Duke Math. J. **84** (1996), no. 1, 1–45] that this primary component is a binomial ideal.)

6. (i) Find a binomial prime  $I_{\rho, J}$  and an irreducible  $I_{\rho, J}$ -primary ideal that is not binomial.  
 (ii)\* Characterize the irreducible  $I_{\rho, J}$ -primary binomial ideals in  $\mathbb{C}[\partial]$ .

# Binomial Exercises

## Lecture III. Introduction to $D$ -modules

1. Write  $E = x_1\partial_1 + \cdots + x_n\partial_n$  for the Euler operator, and let  $f$  be a function of  $x_1, \dots, x_n$ .
  - (i) If  $f$  is a polynomial, prove that it is homogeneous of degree  $d$  if and only if  $E(f) = d \cdot f$ .
  - (ii) Do you get more solutions to  $E(f) = d \cdot f$  if  $f$  is allowed to be a series involving positive and negative powers of some of the variables  $x_i$ ? If there are more solutions, do any of them possess nonempty open subsets of  $\mathbb{C}^n$  where they converge?
  - (iii) In what kinds of modules might you look for solutions to  $Ef = \beta f$  for  $\beta \in \mathbb{C}$ ?
2. The *order* of a differential operator  $\phi \in D_n$  is its degree in  $\partial_1, \dots, \partial_n$  (i.e., think of  $x_1, \dots, x_n$  as having degree zero).
  - (i) Show that taking the operators of order  $\leq k$  for each  $k$  induces an increasing filtration

$$0 = F_0 \subset F_1 \subset F_2 \subset \cdots \subset F_k \subset \cdots$$

of  $D$  whose associated graded ring is a commutative polynomial ring  $\mathbb{C}[\mathbf{x}, \xi]$  in  $2n$  variables  $\mathbf{x} = x_1, \dots, x_n$  and  $\xi = \xi_1, \dots, \xi_n$ .

- (ii) Show that the *order filtration* in (i) descends to the quotient of  $D$  by any left ideal.
- (iii) The *symbol* of  $\phi \in D$  is the result of replacing  $\partial$  with  $\xi$  in the sum of all of its highest-order terms. Show that the associated graded module of  $D/I$  is  $D/s(I)$ , where  $s(I)$  is generated by the symbols of all of the operators in  $I$ .

Order filtrations reduce the computation of holonomic ranks to commutative algebra:

(iv) Show that  $\dim_{\mathbb{C}(\mathbf{x})} (\mathbb{C}(\mathbf{x}) \otimes_{\mathbb{C}[\mathbf{x}]} D/I) = \dim_{\mathbb{C}(\mathbf{x})} (\mathbb{C}(\mathbf{x}) \otimes_{\mathbb{C}[\mathbf{x}]} D/s(I))$ .

Note: It is a fact that a quotient  $D_n/I$  is holonomic if and only if  $D_n/s(I)$  has Krull dimension  $n$  as a module over the polynomial ring  $\mathbb{C}[\mathbf{x}, \xi]$ .

3. Calculate the holonomic ranks of the following  $D_1$ -modules  $\mathcal{M}$  by finding analytic solutions.
  - (i)  $\mathcal{M} = D_1/D_1\langle \partial^2 + 1 \rangle$
  - (ii)  $\mathcal{M} = D_1/D_1\langle x^2\partial + 1 \rangle$

In (ii), is there a point  $p \in \mathbb{C}$  where  $r_p \neq r$ ?

4. Do the previous exercise using Kashiwara's theorem, by finding  $\mathbb{C}(\mathbf{x})$ -vector space bases. Or use Exercise 2(iv).
5. Find a holonomic module that is not regular holonomic.

# Binomial Exercises

## Lecture IV. Hypergeometric systems

1. What is the rational function  $r$  such that  $\frac{h_{\sigma+1}}{h_\sigma} = r(\sigma)$  for the Gauss hypergeometric series?
2. Provide the details in an argument showing that  $g(z_1\partial_{z_1}, \dots, z_m\partial_{z_m})(\mathbf{z}^\sigma) = g(\sigma)\mathbf{z}^\sigma$ .
3. Let  $f(\mathbf{x}) = \frac{x_3^{c-1}}{x_1^a x_2^b} F\left(\frac{x_3 x_4}{x_1 x_2}\right)$ , where  $F(z) = 1 + \frac{ab}{c}z + \frac{a(a+1)b(b+1)}{c(c+1)}\frac{z^2}{2!} + \dots$ .
  - (i) The series  $f(\mathbf{x})$  equals  $\mathbf{x}^\gamma F(\mathbf{x}^B)$  for some integer matrix  $B$  and vector  $\gamma \in \mathbb{C}^4$ . What are  $B$  and  $\gamma$ ?
  - (ii) Prove that  $\partial_3\partial_4 f(\mathbf{x}) = \partial_1\partial_2 f(\mathbf{x})$ .
  - (iii) Prove that
 
$$\begin{aligned} (x_1\partial_1 + x_4\partial_4)f(\mathbf{x}) &= -af(\mathbf{x}) \\ (x_2\partial_2 + x_4\partial_4)f(\mathbf{x}) &= -bf(\mathbf{x}) \\ (-x_3\partial_3 + x_4\partial_4)f(\mathbf{x}) &= (1-c)f(\mathbf{x}). \end{aligned}$$
 (Hint: using Exercise 1 of Lecture III, it isn't necessary to calculate any derivatives.)
  - (iv) Conclude that  $f(\mathbf{x})$  satisfies the binomial Gauss system for the vector  $\beta = A\gamma$ , where  $A$  is any matrix for the left kernel of  $B$ , and  $\gamma$  is from part (i).

4. Consider the matrices

$$A = \begin{bmatrix} 0 & 1 & 2 & 3 \\ 3 & 2 & 1 & 0 \end{bmatrix} \quad \text{and} \quad B = \begin{bmatrix} 1 & 0 \\ -2 & 1 \\ 1 & -2 \\ 0 & 1 \end{bmatrix}.$$

Verify that  $f(\mathbf{x}) = x_1^{\beta_2/3} x_4^{\beta_1/3}$  is a solution of  $H_A(I(B), \beta)$  for every choice of  $\beta \in \mathbb{C}^2$ .

# Binomial Exercises

## Lecture V. Euler–Koszul homology

1. What conditions on a set of lattice points in  $\mathbb{Z}^3$  guarantee that its Zariski closure in  $\mathbb{C}^3$  is a 2-dimensional plane?
2. Let  $R$  be a noetherian  $A$ -graded ring that is finitely generated over its degree 0 piece. Prove that  $\text{qdeg}(M)$  for any finitely generated  $A$ -graded  $R$ -module  $M$  is a finite union of affine subspaces of  $\mathbb{C}^d$ , each spanned by the degrees of some subset of the generators of  $R$ , as follows.
  - (i) Prove that  $M$  has a submodule isomorphic to an  $A$ -graded translate of a quotient by an  $A$ -graded prime (i.e., a submodule isomorphic as an ungraded module to  $R/\mathfrak{p}$ , but generated in some possibly nonzero  $A$ -graded degree).
  - (ii) Use noetherian induction to deduce that  $M$  has a finite filtration whose successive quotients are  $A$ -translates of quotients of  $R$  modulo prime ideals.
  - (iii) Finish the proof by showing that the true degree set of a quotient  $R/\mathfrak{p}$  by a prime ideal  $\mathfrak{p}$  is an affine semigroup generated by the degrees of some of the generators of  $R$ .
3. Let  $\mathcal{M}$  be an  $A$ -graded  $D$ -module, where  $\deg(x_j) = \mathbf{a}_j$  and  $\deg(\partial_j) = -\mathbf{a}_j$ . Consider the action of  $E_i - \beta_i$  on  $\mathcal{M}$  determined by  $(E_i - \beta_i) \circ z = (E_i - \beta_i - \alpha_i) \cdot z$  for homogeneous elements  $z \in \mathcal{M}$  of degree  $\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{Z}^d$ . Prove that this is a well-defined action of the commutative subalgebra  $\mathbb{C}[E - \beta] \subset D$  on  $\mathcal{M}$ .
4.
  - (i) Verify that  $\mathcal{K}_\bullet(E - \beta; M)$  is a complex of  $D$ -modules.
  - (ii) Check that  $\mathcal{H}_0(E - \beta; \mathbb{C}[\partial]/I) = D/H_A(I, \beta)$ .
5. Prove that if  $I \subseteq J \subseteq \mathbb{C}[\partial]$  (think  $J = I_{\text{Andean}}$ ) are  $A$ -graded, then the natural surjection  $\mathbb{C}[\partial]/I \rightarrow \mathbb{C}[\partial]/J$  yields a map  $\mathcal{H}_0(E - \beta; \mathbb{C}[\partial]/I) \rightarrow \mathcal{H}_0(E - \beta; \mathbb{C}[\partial]/J)$  that is surjective.
6.
  - (i) What is the volume of the convex hull in  $\mathbb{R}^3$  of the origin and the columns of

$$\begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & -1 & 1 \end{bmatrix}?$$

- (ii) What is the rank of the binomial Gauss system?
- (iii) What has feathers and sounds like “Gauss”? (Hint: lieu, lieu, lieu.)