

## PID and UFD

Fix a commutative integral domain  $R$ .

Def:  $a \in R$  is irreducible if  $a = bc \Rightarrow b \in R^*$  or  $c \in R^*$  but not both.

$$\langle a \rangle = \langle c \rangle \text{ or } \langle a \rangle = \langle b \rangle$$

Lemma:  $\langle p \rangle$  prime  $\Rightarrow p$  irreducible.

Pf:  $p = ab \Rightarrow a \in \langle p \rangle$  or  $b \in \langle p \rangle$ ; say  $a \in \langle p \rangle$ .

$$\begin{aligned} \text{Then } a &= pc, \text{ so } p = ab = \underbrace{pcb} \\ &\Rightarrow cb = 1 \text{ since } R \text{ is entire. } \square \end{aligned}$$

Def:  $a \mid b$  if  $ac = b$  for some  $c \in R$ .

$$b \in \langle a \rangle$$

$d \in R \setminus \{0\}$  is a gcd of  $a$  and  $b$  if

$$\bullet d \mid a \text{ and } d \mid b$$

$$\bullet c \mid a \text{ and } c \mid b \Rightarrow c \mid d.$$

Def:  $R$  (a commutative integral domain) is a PID <sup>principal ideal domain</sup> if every ideal is principal.

Prop: In a PID,  $\langle a, b \rangle = \langle d \rangle \Rightarrow d$  is a gcd of  $a$  and  $b$ .

Pf: Let  $d = \alpha a + \beta b$  and suppose  $a = ex$  and  $b = ey$ .

$$\begin{aligned} \text{Then } d &= \alpha ex + \beta ey \\ &= e(\alpha x + \beta y) \Rightarrow e \mid d. \end{aligned}$$

But  $d \mid a$  and  $d \mid b$  because  $a, b \in \langle d \rangle$ .  $\square$

Cor:  $\langle p \rangle$  prime  $\Leftarrow p$  irreducible if  $R$  is a PID.

Pf:  $p \mid ab$  and  $p \nmid a \Rightarrow \langle p, a \rangle = \langle d \rangle \neq \langle p \rangle$

$$\Rightarrow p = cd \text{ but } c \notin R^*$$

$$\Rightarrow d \in R^* \text{ since } p \text{ is irreducible } \text{ may as well take } d = 1$$

$$\Rightarrow 1 = xp + ya$$

$$\Rightarrow b = \underbrace{xp}_{p \mid} b + \underbrace{ya}_{p \mid} b$$

$$\Rightarrow p \mid b. \quad \square$$

Def:  $R$  (a commutative integral domain) is factorial (or a UFD) unique factorization domain (38)

if every  $r \in R \setminus \{0\}$  factors uniquely into irreducible elements:

$$r = up_1 \cdots p_k \quad \text{with } u \in R^* \text{ and}$$

$$r = vq_1 \cdots q_\ell \quad \text{with } v \in R^* \Rightarrow k = \ell \text{ and } q_i = u_i p_i \text{ for some } u_i \in R^* \text{ after permuting the } q_i.$$

Thm: PID  $\Rightarrow$  UFD.

Pf: Claim: Every  $r \in R$  factors into irreducibles. no uniqueness yet

Pf: Let  $S = \{\langle r \rangle \subseteq R \mid r \text{ doesn't factor into irreducibles}\}$ .

Assume  $S \neq \emptyset$ . Then  $S$  has a maximal element  $\langle r \rangle$  because

• every chain  $\langle r_1 \rangle \subseteq \langle r_2 \rangle \subseteq \cdots$  yields an ideal  $\langle b \rangle = \langle r_1 \rangle \cup \langle r_2 \rangle \cup \cdots$

•  $b \in \langle r_n \rangle$  for some  $n \Rightarrow \langle b \rangle \subseteq \langle r_n \rangle \subseteq \langle b \rangle$

$\Rightarrow \langle b \rangle = \langle r_n \rangle \in S$  is an upper bound.

Note:  $r$  is reducible since  $r \in S$ , so  $r = cd$  with  $c, d \notin R^*$ .

But then  $\langle r \rangle \subsetneq \langle c \rangle$  and  $\langle r \rangle \subsetneq \langle d \rangle$ , so

$c$  and  $d$  have factorizations. Hence  $r$  does, too.  $\times$

Thus  $S = \emptyset$ .  $\square$

$$r = up_1 \cdots p_k = vq_1 \cdots q_\ell \Rightarrow p_k \text{ prime by Cor}$$

$$\Rightarrow p_k \mid q_i \text{ for some } i; \text{ assume } i = \ell \text{ by permutation}$$

$$\Rightarrow p_k = u_k q_\ell \text{ with } u_k \in R^* \text{ since } q_\ell \text{ is irreducible}$$

$$\Rightarrow up_1 \cdots p_{k-1} = vu_k q_1 \cdots q_{\ell-1} \text{ because } R \text{ is entire.}$$

Done by induction.  $\square$

E.g. •  $R = \mathbb{Z}$

PID by Euclidean algorithm next week

$$\bullet R = \mathbb{k}[x]$$

• Thm:  $R$  factorial  $\Rightarrow R[x]$  is, too  $\Rightarrow \mathbb{Z}[x_1, \dots, x_n], \mathbb{k}[x_1, \dots, x_n]$  UFD

•  $\mathbb{k}[[x]]$  formal power series is UFD; one variable  $\Rightarrow$  PID

•  $\mathbb{k}[x^2, x^3]$  not UFD

•  $\mathbb{Z}[\sqrt{-5}]$  not UFD